

# CANONICAL BASES FOR CLUSTER ALGEBRAS

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ABSTRACT. In [GHK11], Conjecture 0.6, the first three authors conjectured that the ring of regular functions on a natural class of affine log Calabi-Yau varieties (those with maximal boundary) has a canonical vector space basis parameterized by the integral tropical points of the mirror. Further, the structure constants for the multiplication rule in this basis should be given by counting broken lines (certain combinatorial objects, morally the tropicalisations of holomorphic discs).

Here we prove the conjecture in the case of cluster varieties, where the statement is a more precise form of the Fock-Goncharov dual basis conjecture, [FG06], Conjecture 4.3. In particular, under suitable hypotheses, for each  $Y$  the partial compactification of an affine cluster variety  $U$  given by allowing some frozen variables to vanish, we obtain canonical bases for  $H^0(Y, \mathcal{O}_Y)$  extending to a basis of  $H^0(U, \mathcal{O}_U)$ . Each choice of seed canonically identifies the parameterizing sets of these bases with integral points in a polyhedral cone. These results specialize to basis results of combinatorial representation theory. For example, by considering the open double Bruhat cell  $U$  in the basic affine space  $Y$  we obtain a canonical basis of each irreducible representation of  $SL_r$ , parameterized by a set which each choice of seed identifies with integral points of a lattice polytope. These bases and polytopes are all constructed essentially without representation theoretic considerations.

Along the way, our methods prove a number of conjectures in cluster theory, including positivity of the Laurent phenomenon for cluster algebras of geometric type.

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## INTRODUCTION

Fock and Goncharov conjectured that the algebra of functions on a cluster variety has a canonical vector space basis parameterized by the tropical points of the mirror cluster variety. Unfortunately, as shown in [GHK13] by the first three authors of this paper, this conjecture is usually false: in general the cluster variety may have far too few global functions. One can only expect a power series version of the conjecture, holding in the “large complex structure limit,” and honest global functions parameterized by a subset of the mirror tropical points. For the conjecture to hold as stated, one needs further affineness assumptions. Here we apply the methods of [GHK11], in particular *scattering diagrams*, *broken lines* and *theta functions*, to prove the conjecture in this corrected form. We give in addition a formula for the structure constants in this basis, non-negative integers given by counts of *broken lines*. These are certain combinatorial objects we will define. Here are more precise statements of our results.

For basic cluster variety notions we follow the notation of [GHK13], §2, for convenience, as we have collected there a number of definitions across the literature; nothing there is original. We recall some of this notation in Appendices A and B. The various

flavors of cluster varieties are all varieties of the form  $V = \bigcup_{\mathbf{s}} T_{L,\mathbf{s}}$ , where  $T_{L,\mathbf{s}}$  is a copy of the algebraic torus

$$T_L := L \otimes_{\mathbb{Z}} \mathbb{G}_m = \text{Hom}(L^*, \mathbb{G}_m) = \text{Spec } \mathbb{k}[L^*]$$

over a field  $\mathbb{k}$  of characteristic zero, and  $L = \mathbb{Z}^n$  is a lattice, indexed by  $\mathbf{s}$  running over a set of *seeds* (a seed being roughly an ordered basis for  $L$ ). The birational transformations induced by the inclusions of two different copies of the torus are compositions of *mutations*. Fock and Goncharov introduced a simple way to dualize the mutations, and using this define the *mirror*, or *Fock-Goncharov dual*,  $V^\vee = \bigcup_{\mathbf{s}} T_{L^*,\mathbf{s}}$ . We write  $\mathbb{Z}^T$  for the tropical semi-field of integers under  $\max, +$ . There is a notion of the set of  $\mathbb{Z}^T$ -valued points of  $V$ , written as  $V(\mathbb{Z}^T)$ . This can also be viewed as being canonically in bijection with  $V^{\text{trop}}(\mathbb{Z})$ , the set of divisorial discrete valuations on the field of rational functions of  $V$  where the canonical volume form has a pole, see §2. Each choice of seed  $\mathbf{s}$  determines an identification  $V(\mathbb{Z}^T) = L$ .

Our main object of study is the  $\mathcal{A}$  *cluster variety with principal coefficients*,  $\mathcal{A}_{\text{prin}} = \bigcup_{\mathbf{s}} T_{\tilde{N}^\circ,\mathbf{s}}$ . (See Appendices A and B for notation.) This comes with a canonical fibration over a torus  $\pi : \mathcal{A}_{\text{prin}} \rightarrow T_M$ , and a canonical free action by a torus  $T_{N^\circ}$ . We let  $\mathcal{A}_t := \pi^{-1}(t)$ . The fibre  $\mathcal{A}_e \subset \mathcal{A}_{\text{prin}}$  ( $e \in T_M$  the identity) is the Fock-Goncharov  $\mathcal{A}$  variety (whose algebra of regular functions is the Fomin-Zelevinsky upper cluster algebra). The quotient  $\mathcal{A}_{\text{prin}}/T_{N^\circ}$  is the Fock-Goncharov  $\mathcal{X}$  variety.

**Definition 0.1.** A *global monomial* on a cluster variety  $V = \bigcup_{\mathbf{s} \in S} T_{L,\mathbf{s}}$  is a global regular function which restricts to a character on some torus  $T_{L,\mathbf{s}}$  in the atlas. For  $V$  an  $\mathcal{A}$ -type cluster variety a global monomial is the same as a cluster monomial. One defines the *upper cluster algebra*  $\text{up}(V)$  associated to  $V$  by  $\text{up}(V) := \Gamma(V, \mathcal{O}_V)$ , and the *ordinary cluster algebra*  $\text{ord}(V)$  to be the subalgebra of  $\text{up}(V)$  generated by global monomials.

For example,  $\text{ord}(\mathcal{A})$  is the original cluster algebra defined by Fomin and Zelevinsky in [FZ02a], and  $\text{up}(\mathcal{A})$  is the corresponding upper cluster algebra as defined in [BFZ05].

Given a global monomial  $f$  on  $V$ , there is a seed  $\mathbf{s}$  such that  $f|_{T_{L,\mathbf{s}}}$  is a character  $z^m$ ,  $m \in L^*$ . Because the seed  $\mathbf{s}$  gives an identification of  $V^\vee(\mathbb{Z}^T)$  with  $L^*$ , we obtain an element  $\mathbf{g}(m) \in V^\vee(\mathbb{Z}^T)$ , which we show is well-defined (independent of the open set  $T_{L,\mathbf{s}}$ ), see Lemma 7.10. This is the *g-vector* of the global monomial  $f$ . We show this notion of *g-vector* coincides with the notion of *g-vector* from [FZ07] in the  $\mathcal{A}$  case, see Corollary 5.9. Let  $\Delta^+(\mathbb{Z}) \subset V^\vee(\mathbb{Z}^T)$  be the set of *g-vectors* of all global monomials on  $V$ . Finally, we write  $\text{can}(V)$  for the  $\mathbb{k}$ -vector space with basis  $V^\vee(\mathbb{Z}^T)$ , i.e.,

$$\text{can}(V) := \bigoplus_{q \in V^\vee(\mathbb{Z}^T)} \mathbb{k} \cdot \vartheta_q$$

(where  $\vartheta_q$  for the moment indicates the abstract basis element corresponding to  $q \in V^\vee(\mathbb{Z}^T)$ ).

Fock and Goncharov's dual basis conjecture says that  $\text{can}(V)$  is canonically identified with the vector space  $\text{up}(V)$ , and so in particular  $\text{can}(V)$  should have a canonical  $\mathbb{k}$ -algebra structure. Note that such an algebra structure is determined by its structure constants, a function

$$\alpha : V^\vee(\mathbb{Z}^T) \times V^\vee(\mathbb{Z}^T) \times V^\vee(\mathbb{Z}^T) \rightarrow \mathbb{k}$$

such that for fixed  $p, q$ ,  $\alpha(p, q, r) = 0$  for all but finitely many  $r$  and

$$\vartheta_p \cdot \vartheta_q = \sum_r \alpha(p, q, r) \vartheta_r.$$

With this in mind, we have:

**Theorem 0.2.** *Let  $V$  be one of  $\mathcal{A}, \mathcal{X}, \mathcal{A}_{\text{prin}}$ . The following hold:*

- (1) *There are canonically defined non-negative structure constants*

$$\alpha : V^\vee(\mathbb{Z}^T) \times V^\vee(\mathbb{Z}^T) \times V^\vee(\mathbb{Z}^T) \rightarrow \mathbb{Z}_{\geq 0} \cup \{\infty\}.$$

*These are given by counts of broken lines, certain combinatorial objects which we will define. The value  $\infty$  is not taken in the  $\mathcal{X}$  or  $\mathcal{A}_{\text{prin}}$  case.*

- (2) *There is a canonically defined subset  $\Theta \subset V^\vee(\mathbb{Z}^T)$  with  $\alpha(\Theta \times \Theta \times \Theta) \subseteq \mathbb{Z}_{\geq 0}$  such that the restriction of  $\alpha$  gives the vector subspace  $\text{mid}(V) \subset \text{can}(V)$  with basis indexed by  $\Theta$  the structure of an associative commutative  $\mathbb{k}$ -algebra.*
- (3)  *$\Delta^+(\mathbb{Z}) \subset \Theta$ , i.e.,  $\Theta$  contains the  $g$ -vector of each global monomial.*
- (4) *For the lattice structure on  $V^\vee(\mathbb{Z}^T)$  determined by any choice of seed,  $\Theta \subset V^\vee(\mathbb{Z}^T)$  is closed under addition. Furthermore,  $\Theta \subset V^\vee(\mathbb{Z}^T)$  is saturated: for  $k > 0$  and  $x \in V^\vee(\mathbb{Z}^T)$ ,  $k \cdot x \in \Theta$  if and only if  $x \in \Theta$ .*
- (5) *There is a canonical  $\mathbb{k}$ -algebra map  $\nu : \text{mid}(V) \rightarrow \text{up}(V)$  which sends  $\vartheta_q$  for  $q \in \Delta^+(\mathbb{Z})$  to the corresponding global monomial.*
- (6) *The image  $\nu(\vartheta_q) \in \text{up}(V)$  is a universal positive Laurent polynomial (i.e., a Laurent polynomial with non-negative integers in the cluster variables for each seed).*
- (7)  *$\nu$  is injective for  $V = \mathcal{A}_{\text{prin}}$  or  $V = \mathcal{X}$ . Furthermore,  $\nu$  is injective for  $V = \mathcal{A}$  under the additional assumption that there is a seed  $\mathbf{s} = (e_1, \dots, e_n)$  for which all the covectors  $\{e_i, \cdot\}$  lie in a convex cone. When  $\nu$  is injective we have canonical inclusions*

$$\text{ord}(V) \subset \text{mid}(V) \subset \text{up}(V).$$

There is an analog to Theorem 0.2 for  $\mathcal{A}_t$  (the main difference is that the *theta functions*, i.e., the canonical basis for  $\text{mid}(\mathcal{A}_t)$ , are only defined up to scaling each individual element, and the structure constants will not in general be integers). Injectivity in (7) holds for very general  $\mathcal{A}_t$ . See Theorem 7.16.

Note that (5-6) immediately imply:

**Corollary 0.3** (Positivity of the Laurent Phenomenon). *Each cluster variable of an  $\mathcal{A}$ -cluster algebra is a Laurent polynomial with non-negative integer coefficients in the cluster variables of any given seed.*

This was conjectured by Fomin and Zelevinsky in their original paper [FZ02a]. Positivity was obtained independently in the skew-symmetric case by [LS13], by an entirely different argument. In our proof the positivity in (1) and (6) both come from positivity in the scattering diagram, a powerful tool fundamental to the entire paper. See Theorem 1.28.

We conjecture that injectivity in (7) holds for all  $\mathcal{A}_t$  (without the convexity assumption). Note (7) includes the linear independence of cluster monomials, which has already been established (without convexity assumptions) for skew-symmetric cluster algebras in [CKLP], by a very different argument. The linear independence of cluster monomials in the principal case also follows easily from our scattering diagram technology, as pointed out to us by Greg Muller. See Theorem 7.20.

When there are frozen variables, one obtains a partial compactification  $V \subset \overline{V}$  (where the frozen variables are allowed to take the value 0) for  $V = \mathcal{A}$ ,  $\mathcal{A}_{\text{prin}}$  or  $\mathcal{A}_t$ . The notions of  $\text{ord}$ ,  $\text{up}$ ,  $\text{can}$ , and  $\text{mid}$  extend naturally to  $\overline{V}$ . See Construction B.9.

Of course if  $\text{ord}(V) = \text{up}(V)$ , and we have injectivity in (7),  $\text{ord}(V) = \text{mid}(V) = \text{up}(V)$  has a canonical basis  $\Theta$  with the given properties. Also,  $\text{ord}(V) = \text{up}(V)$  implies, under certain hypotheses,  $\text{ord}(\overline{V}) = \text{up}(\overline{V})$ , see Lemma 10.10.

**Example 0.4.** Let  $G = \text{SL}_r$ . Choose a Borel subgroup  $B$  of  $G$ ,  $H \subset B$  a maximal torus,  $N = [B, B]$  the unipotent radical of  $B$ . These choices determine a cluster variety structure (with frozen variables) on  $\overline{\mathcal{A}} = G/N$ , with  $\text{up}(\overline{\mathcal{A}}) = \text{ord}(\overline{\mathcal{A}}) = \mathcal{O}(G/N)$ , the ring of regular functions on  $G/N$ , see [GLS], §10.4.2. Now Theorem 0.2 implies:

**Corollary 0.5.** *Let  $G = \text{SL}_n$ . Choose  $H \subset B$  a maximal torus inside a Borel subgroup, and let  $N = [B, B]$  be the unipotent radical of  $N$ . These choices canonically determine a vector space basis  $\Theta \subset \mathcal{O}(G/N)$ . Each basis element is an  $H$ -eigenfunction for the natural (right) action of  $H$  on  $G/N$ . For each character  $\lambda \in \chi^*(H)$ ,  $\Theta \cap \mathcal{O}(G/N)^\lambda$  is a basis of the weight space  $\mathcal{O}(G/N)^\lambda =: V_\lambda$ . The  $V_\lambda$  are the collection of irreducible representations of  $G$ , each of which thus inherits a basis, canonically determined by the choice of  $H \subset B \subset G$ .*

We give the proof at the end of §10.

Canonical bases for  $\mathcal{O}(G/N)$  have been constructed by Lusztig. Here we will obtain bases by a procedure very different from Lusztig's, as a special case of the more general [GHK11], Conjecture 0.6, which applies in theory to any variety with the right sort of volume form. We note that a cluster variety may have many cluster structures (i.e., it may be expressed as a union of tori in many different ways). But [GHK11], Conjecture 0.6, suggests that the canonical bases, e.g., the bases in Theorem 0.2, depend only on the underlying variety, i.e., are independent of this choice of cluster structure. Indeed, in the case that the exchange matrix has rank 2, the work of [GHK11], [GHKII] and [GHK12] shows that the canonical functions we construct on the  $\mathcal{X}$  cluster variety are completely intrinsic and are constructed without reference to a cluster structure. The suggestion that the canonical basis is independent of the cluster structure may surprise some, as understanding the canonical basis was the initial motivation for the Fomin-Zelevinsky definition of cluster algebras. However, we strongly suspect this independence should hold generally.

**Definition 0.6.** We say the *full Fock-Goncharov conjecture holds* for a cluster variety  $V$  if the map  $\nu : \text{mid}(V) \rightarrow \text{up}(V)$  of Theorem 0.2 is injective,

$$\text{up}(V) = \text{can}(V), \text{ and } \Theta = V^\vee(\mathbb{Z}^T).$$

Note this implies  $\text{mid}(V) = \text{up}(V) = \text{can}(V)$ .

As noted above, one cannot expect this to hold in general. However, in §6, we prove a formal version: A choice of initial seed  $\mathbf{s}$  provides a partial compactification  $\mathcal{A}_{\text{prin},\mathbf{s}}$  of  $\mathcal{A}_{\text{prin}}$  by allowing the variables  $X_1, \dots, X_n$  (the principal coefficients) to be zero. These variables induce a flat map  $\pi : \mathcal{A}_{\text{prin},\mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$ , with  $\mathcal{A}$  being the fibre over  $(1, \dots, 1)$ . Our scattering diagram method easily shows:

**Theorem 0.7.** (*Corollary 5.3, (1)*) *The central fibre  $\pi^{-1}(0) \subset \mathcal{A}_{\text{prin},\mathbf{s}}$  is the algebraic torus  $T_{N^\circ, \mathbf{s}}$ .*

Though immediate from our scattering diagram methods, the result is not obvious from the original definitions: indeed, it is equivalent to the sign-coherence of  $c$ -vectors, see Corollary 5.5.

We prove that the Fock-Goncharov conjecture holds in a formal neighbourhood of this torus fibre. We show the structure constants given in Theorem 0.2, (1), determine an associative product on  $\text{can}(\mathcal{A}_{\text{prin}})$ , except that  $\vartheta_p \cdot \vartheta_q$  will in general be an infinite sum of theta functions. Further, canonically associated to each universal Laurent polynomial  $g \in \text{up}(\mathcal{A}_{\text{prin}})$  is a formal power series  $\sum_{q \in \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)} \alpha_q \vartheta_q$  which converges to  $g$  in a formal neighbourhood of the central fibre. For the precise statement see Theorem

6.7, which we interpret as saying that the Fock-Goncharov dual basis conjecture always holds in the *large complex structure limit*. This is all one should expect from log Calabi-Yau mirror symmetry, in the absence of further affineness assumptions. A crucial point, shown in the proof of Theorem 6.7, is that the expansion of  $g \in \text{up}(\mathcal{A}_{\text{prin}})$  is independent of the choice of seed  $\mathbf{s}$  determining the compactification  $\mathcal{A}_{\text{prin},\mathbf{s}}$ , i.e., is independent of which degeneration is used to perform the expansion.

Next we consider conditions under which the full Fock-Goncharov conjecture holds. Our main condition is the following:

**Definition 0.8.** We say that a cluster variety  $V$  has *Enough Global Monomials* (EGM) if for each valuation  $0 \neq v \in V^{\text{trop}}(\mathbb{Z})$  there is a global monomial  $f$  with  $v(f) < 0$ .

*Remark 0.9.* The examples of [GHK13], §7, show that for the full Fock-Goncharov conjecture to hold, we need to assume  $V$  has enough global functions. We think the *right* condition would be the analogous definition but with  $f$  any global function. But we are only able to prove things using global monomials — the key missing ingredient in general is Conjecture 8.11. We explain the convex geometric meaning of EGM later in this introduction.

**Theorem 0.10.** *Let  $V$  be a cluster variety. Then:*

- (1) (Proposition 8.17) *If  $V^\vee$  satisfies the EGM condition, then the multiplication rule on  $\text{can}(V)$  is polynomial, i.e., for given  $p, q \in V^\vee(\mathbb{Z}^T)$ ,  $\alpha(p, q, r) = 0$  for all but finitely many  $r \in V^\vee(\mathbb{Z}^T)$ . This gives  $\text{can}(V)$  the structure of a finitely generated commutative associative  $\mathbb{k}$ -algebra.*
- (2) (Proposition 8.20) *If  $V = \mathcal{A}_{\text{prin}}$  and  $V$  satisfies the EGM condition, then there are canonical inclusions*

$$\text{ord}(V) \subset \text{mid}(V) \subset \text{up}(V) \subset \text{can}(V).$$

- (3) (Proposition 8.25) *If the set  $\Delta^+(\mathbb{Z})$  of all  $g$ -vectors of global monomials of  $\mathcal{A}$  in  $\mathcal{A}^\vee(\mathbb{Z}^T)$  is not contained in a half-space under the identification of  $\mathcal{A}^\vee(\mathbb{Z}^T)$  with  $M^\circ$  induced by some choice of seed, then the full Fock-Goncharov conjecture holds for  $\mathcal{A}_{\text{prin}}$ .*

*Remark 0.11.* We believe, based on calculations in [M13], §7.1, that the conditions of the theorem ( $\mathcal{A}_{\text{prin}}$  has EGM, and  $\Theta = \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$ ) hold for the cluster variety associated with the once punctured torus, see some details in Examples 2.13 and 7.18. However, the equality  $\text{up}(\mathcal{A}) = \text{can}(\mathcal{A})$  is expected to fail, and in particular in this case we expect the full Fock-Goncharov holds for  $\mathcal{A}_{\text{prin}}$ ,  $\mathcal{X}$  and very general  $\mathcal{A}_t$  but not for  $\mathcal{A}$ .

The set  $\Delta^+(\mathbb{Z})$  in the theorem in fact consists of the integral points of a union of chambers which encode the mutation combinatorics:

**Theorem 0.12** (Lemma 2.9 and Theorem 2.12). *For each seed  $\mathbf{s} = (e_1, \dots, e_n)$  of a  $\mathcal{A}$ -cluster variety, let*

$$\mathcal{C}_{\mathbf{s}}^+ := \{x \in \mathcal{A}^\vee(\mathbb{R}^T) \mid (z^{e_i})^T(x) \leq 0 \text{ for all } 1 \leq i \leq n\},$$

where  $(z^{e_i})^T$  denotes the tropicalization of the monomial  $z^{e_i}$ , see §2. The collection  $\Delta^+$  of such subsets of  $\mathcal{A}^\vee(\mathbb{R}^T)$  over all mutation equivalent seeds form the maximal cones of a simplicial fan. This fan, as an abstract fan, is the dual fan to the Fomin-Zelevinsky exchange graph.

The collection of cones  $\Delta^+$  was introduced by Fock and Goncharov, who conjectured they formed a fan (it is not at all obvious from the definition that the interiors of the cones cannot overlap).

We note that  $\mathcal{A}_{\text{prin}}$  has Enough Global Monomials in many cases:

**Proposition 0.13.** *Consider the following conditions on a cluster algebra  $\mathcal{A}$ :*

- (1) *The exchange matrix has full rank,  $\text{up}(\mathcal{A})$  is generated by finitely many cluster variables, and  $\text{Spec}(\text{up}(\mathcal{A}))$  is a smooth affine variety.*
- (2)  *$\mathcal{A}$  has an acyclic seed.*
- (3)  *$\mathcal{A}$  has a seed with a maximal green sequence.*
- (4) *For some seed, the cluster complex  $\Delta^+(\mathbb{Z}) \subset \mathcal{A}^\vee(\mathbb{R}^T)$  is not contained in a half-space.*
- (5)  *$\mathcal{A}_{\text{prin}}$  has Enough Global Monomials.*

*Then (1) implies (5) (Proposition 8.22). Furthermore, (2) implies (3) implies (4) implies (5) (Propositions 8.27, 8.26, and 8.24). Finally (4) implies the full Fock-Goncharov conjecture, for  $V = \mathcal{A}_{\text{prin}}$ ,  $\mathcal{X}$  or very general  $\mathcal{A}_t$  (Proposition 8.25).*

**Example 0.14.** A recent paper [GY13] of Goodearl and Yakimov announces the equality  $\text{up} = \text{ord}$  for all double Bruhat cells in semi-simple groups. In this case, furthermore Yakimov has announced the existence of a maximal green sequence. Many cluster varieties  $\mathcal{A}$  associated to a marked bordered surface with at least two punctures also have a maximal green sequence, see [CLS], §1.3 for a summary of known results on this. We hope that it may be easier to prove that in general if there are at least two punctures, the cluster complex  $\Delta^+(\mathbb{Z}) \subset \mathcal{A}^\vee(\mathbb{R}^T)$  is not contained in a half-space. Together with Proposition 0.13 these results would then imply the full Fock-Goncharov theorem in any of these cases.

We note that for the cluster algebra associated to a marked bordered surface, a canonical basis of  $\text{up}(\mathcal{X})$  parameterized by  $\mathcal{A}(\mathbb{Z}^T)$  has been previously obtained by Fock-Goncharov, [FG06], Theorem 12.3. They show that the  $\mathcal{A}$  and  $\mathcal{X}$  varieties have natural modular meaning as moduli spaces of local systems. They identify  $\mathcal{A}(\mathbb{Z}^T)$  with

a space of integer laminations (isotopy classes of disjoint loops with integer weights) and their associated basis element is a natural function given by trace of monodromy around a loop. We checked, together with A. Neitzke, that our basis agrees with the Fock-Goncharov basis of trace functions in the case of a sphere with four punctures. Our theta function basis comes canonically from the cluster structure (it does not depend on any modular interpretation). As mentioned earlier, we conjecture the basis is even intrinsic to the underlying log Calabi-Yau variety, and the results of [GHK11], [GHK12] and [GHKII] prove this in the case of the  $\mathcal{X}$  cluster varieties where the skew-symmetric form has rank two, which includes the case of the sphere with four punctures. Thus we have the (at least to us) remarkable conclusion that these trace functions, which would appear to depend on the realization of the cluster variety as a moduli space of local systems, are actually intrinsic to the underlying variety.

Next we explain our results on compactification and degeneration of cluster varieties, which we obtain in §8–11.

A *partial minimal model* of a log Calabi-Yau variety is an inclusion  $V \subset Y$  as an open subset such that the canonical volume form on  $V$  has a simple pole along each irreducible divisor of the boundary  $Y \setminus V$ . It turns out a partial minimal model for an algebraic torus is the same as a toric compactification. We believe all the elementary constructions of toric geometry extend to log Calabi-Yau varieties (with maximal boundary), and we prove many such results in the cluster case. The partial compactification  $\mathcal{A} \subset \overline{\mathcal{A}}$  determined by frozen variables is a partial minimal model. The generalisation of the cocharacter lattice  $N \subset N_{\mathbb{R}}$  of the algebraic torus  $T_N := N \otimes \mathbb{G}_m$  is the tropical set  $V(\mathbb{Z}^T) \subset V(\mathbb{R}^T)$  of  $V$ . The main difference between the torus and general case is that  $V(\mathbb{R}^T)$  is not in general a vector space. Indeed, the identification of  $V(\mathbb{Z}^T)$  with the cocharacter lattices of various charts of  $V$  induce piecewise linear (but not linear) identifications between the cocharacter lattices. As a result, a piecewise straight path in  $V(\mathbb{R}^T)$  straight under one identification  $V(\mathbb{R}^T) = N_{\mathbb{R}}$  will be bent under another. Thus the usual notions of straight lines, convex functions or convex sets do not make sense on  $V(\mathbb{R}^T)$ .

A basic mirror symmetry idea, used extensively in [GHK11], is that there is a distinguished collection of piecewise straight paths, called *broken lines*, intrinsic to  $V$ . These were first introduced in [G09] and their theory was developed further in [CPS]. Morally these are tropicalisations of (punctured) holomorphic discs in  $V$ . We will define them using scattering diagrams in a purely combinatorial way in §3. Using broken lines in place of straight lines we can say which piecewise linear functions, and thus which polytopes, are convex, see Definition 8.2. Each regular function  $W : V \rightarrow \mathbb{A}^1$  has a canonical piecewise linear tropicalisation  $w := W^T : V(\mathbb{R}^T) \rightarrow \mathbb{R}$ , which we conjecture

is convex in the sense of Definition 8.2. The conjecture is easy for  $W \in \text{ord}(V) \subset \text{up}(V)$ , see Proposition 8.13 (the main reason we use global monomials, rather than arbitrary global functions, in the definition EGM is that we can prove this convexity). Each *convex* piecewise linear  $w$  gives a *convex* polytope  $\Xi_w = \{x \mid w(x) \geq -1\}$  and a *convex* cone  $\{x \in V^\vee(\mathbb{R}^T) \mid w(x) \geq 0\}$ , where italics indicates convexity in our broken line sense. Our condition that  $V$  has EGM is equivalent to the existence of  $W \in \text{ord}(V)$  whose associated convex polytope  $\Xi_{W^T}$  is bounded, see Lemma 8.15. We believe the existence of a bounded polytope is equivalent to the full Fock-Goncharov conjecture:

**Conjecture 0.15.** *The full Fock-Goncharov conjecture holds for  $\mathcal{A}_{\text{prin}}$  if and only if the tropical space  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$  contains a full dimensional bounded polytope, convex in our sense.*

We can view the conjecture as having two parts. First that the vector space  $\text{can}(\mathcal{A}_{\text{prin}})$  is a finitely generated algebra under the structure constants of Theorem 0.2, and the associated affine variety is log Calabi-Yau. (One could then conjecture this log Calabi-Yau is mirror to  $\mathcal{A}_{\text{prin}}^\vee$  in the homological mirror symmetry sense — note the structure constants are given by counting tropical discs in  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$ , but this HMS statement is not part of the full Fock-Goncharov conjecture). Then secondly, that that this log CY is  $\mathcal{A}_{\text{prin}}$  up to codimension two.

We prove a weakening of the first part:

**Theorem 0.16.** *Assume  $\mathcal{A}_{\text{prin}}^\vee$  has EGM. Then for  $V = \mathcal{X}$ ,  $\mathcal{A}_{\text{prin}}$  or  $\mathcal{A}_t$  for very general  $t$ , or, under the convexity assumption (7) of Theorem 0.2,  $\mathcal{A}$ ,  $\text{can}(V)$  (with structure constants as in 0.2) is a finitely generated algebra and  $\text{Spec}(\text{can}(V))$  is a log canonical Gorenstein  $K$ -trivial affine variety of dimension  $\dim(V)$ .*

For the proof see Theorem 9.10.

Our formula for the structure constants  $\alpha$  of Theorem 0.2, (1), are given by counting broken lines. As a result, our notion of convex interacts nicely with the multiplication rule. This allows us to generalize basic polyhedral constructions from toric geometry in a straight-forward way.

A polytope  $\Xi \subset V^\vee(\mathbb{R}^T)$  convex in our sense determines (by familiar Rees-type constructions for graded rings) a compactification of  $V$ . Furthermore, for any choice of seed,  $V^\vee(\mathbb{R}^T)$  is identified with a linear space  $\mathbb{R}^n$  and  $\Xi$  with an ordinary convex polytope. Our construction also gives a flat degeneration of this compactification of  $V$  to the ordinary polarized toric variety for  $\Xi \subset \mathbb{R}^n$ . See §9. We expect this specializes to a uniform construction of many degenerations of representation theoretic objects to toric varieties, see e.g., [C02], [AB], and [KM05]. Applied to the Fock-Goncharov moduli spaces of  $G$ -local systems, this will give for the first time compactifications

of character varieties with nice (e.g., toroidal anti-canonical) boundary. See Remark 9.12. The polytope can be chosen so that the boundary of the compactification is very simple, a union of toric varieties. For example, let  $\text{Gr}^o(k, n) \subset \text{Gr}(k, n)$  be the open subset where the frozen variables for the standard cluster structure are non-vanishing. Then the boundary  $\text{Gr}(k, n) \setminus \text{Gr}^o(k, n)$  consists of a union of certain Schubert cells. We obtain using a polytope an alternative compactification where the Schubert cells (which are highly non-toric) are replaced by toric varieties. See Theorem 9.13.

For a partial minimal model  $\mathcal{A} \subset \overline{\mathcal{A}}$ , often the vector subspace  $\text{up}(\overline{\mathcal{A}}) \subset \text{up}(\mathcal{A})$  is more important than  $\text{up}(\mathcal{A})$  itself. For example there is a cluster structure with frozen variables for the open double Bruhat cell  $U$  in a semi-simple group  $G$ . Then  $\text{up}(\mathcal{A})$  is the ring of functions on the open double Bruhat cell and  $\text{up}(\overline{\mathcal{A}}) = H^0(G, \mathcal{O}_G)$ . Of course  $H^0(G, \mathcal{O}_G)$  is the most important representation of  $G$ . However, one cannot expect a canonical basis of  $\text{up}(\overline{\mathcal{A}})$ , i.e., one determined by the intrinsic geometry of  $\overline{\mathcal{A}}$ . For example,  $G$  has no non-constant global functions which are eigenfunctions for the action of  $G$  on itself. But we expect, and in the myriad cases above can prove, that the affine log Calabi-Yau open subset  $\mathcal{A} \subset \overline{\mathcal{A}}$  has a canonical basis,  $\Theta$ , and we believe that  $\Theta \cap \text{up}(\overline{\mathcal{A}})$ , the set of theta functions on  $\mathcal{A}$  that extends regularly to all of  $\overline{\mathcal{A}}$ , is a basis for  $\text{up}(\overline{\mathcal{A}})$ , canonically associated to the choice of log Calabi-Yau open subset  $\mathcal{A} \subset \overline{\mathcal{A}}$ , see [GHK13], Remark 1.10. This is not a basis of  $G$ -eigenfunctions, but they are eigenfunctions for the associated maximal torus, which is the subgroup of  $G$  that preserves  $U$ . This is exactly what one should expect: the basis is not intrinsic to  $G$ , instead it is (we conjecture) intrinsic to the pair  $U \subset G$ . We shall now describe in more detail what can be proved for partial compactifications of cluster varieties coming from frozen variables, assuming each variable has an *optimized seed*:

**Definition-Lemma 0.17** (Definition 10.1, Lemmas 10.2 and 10.3). *We say that a seed  $\mathbf{s} = (e_1, \dots, e_r, h_1, \dots, h_m)$ , where the  $h_i$  are frozen, is optimized for the frozen index  $i$  if  $\{e_j, h_i\} \geq 0$  for  $j \in \{1, \dots, r\}$ . Note for a skew-symmetric cluster algebra this is the same as saying that in the corresponding quiver, all arrows between unfrozen vertices and the given frozen vertex point towards the given frozen vertex.*

*The seed is optimized for  $i$  if and only if the monomial  $z^{h_i}$  on the torus  $T_{M^\circ, \mathbf{s}} \subset \mathcal{A}^\vee$  in the atlas for the dual cluster variety is a global monomial.*

The condition holds for the cluster structures on the Grassmannian, and, for  $\text{SL}_r$ , for the cluster structure on a maximal unipotent subgroup  $N \subset \text{SL}_r$ , the basic affine space  $\mathcal{A} = G/N$ , and the Fock-Goncharov cluster structure on  $(\mathcal{A} \times \mathcal{A} \times \mathcal{A})/G$ , see Remark 10.5.

Let us now work with the principal cluster variety  $\mathcal{A}_{\text{prin}}$ . Consider the partial compactification  $\mathcal{A}_{\text{prin}} \subset \overline{\mathcal{A}}_{\text{prin}}$  by allowing the frozen variables to be zero. Each

boundary divisor  $E \subset \overline{\mathcal{A}}_{\text{prin}}$  gives a point  $E \in \mathcal{A}_{\text{prin}}(\mathbb{Z}^T)$  and thus (in general conjecturally), a canonical theta function  $\vartheta_E$  on  $\mathcal{A}_{\text{prin}}^\vee$ . We then define the *potential*  $W = \sum_{E \subset \partial \overline{\mathcal{A}}_{\text{prin}}} \vartheta_E \in \text{up}(\mathcal{A}_{\text{prin}}^\vee)$  as the sum of these theta functions. We have its piecewise linear tropicalisation  $W^T : \mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T) \rightarrow \mathbb{R}$ . This defines a cone

$$\Xi := \{x \in \mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T) \mid W^T(x) \geq 0\} \subset \mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T).$$

Potentials were considered in the work of Goncharov and Shen, [GS13], which in turn built on work of Berenstein and Zelevinsky, [BZ01] and Berenstein and Kazhdan, [BK00], [BK07]. The potential constructed by Goncharov and Shen has a beautiful representation theoretic meaning, and was found in many situations to coincide with known constructions of Landau-Ginzburg potentials. On the other hand, the construction of the potential in terms of theta functions coincides precisely with the construction of the mirror Landau-Ginzburg potential as carried out in [G09],[CPS]. The latter work can be viewed as a tropicalization of the descriptions of the potential in terms of holomorphic disks in [CO06],[A07]. We confidently conjecture that the Goncharov-Shen potential is the same as the one we build using broken lines, and thus our construction explains the emergence of the Landau-Ginzburg potentials in [GS13]. Our potentials are determined by the cluster structure (and conjecturally, just the underlying log Calabi-Yau variety), and in particular are independent of any modular or representation theoretic interpretation of the cluster variety.

**Theorem 0.18** (Corollaries 11.9 and 11.10). *Assume that each frozen index  $i$  has an optimized seed. Then:*

- (1)  $W^T$  and  $\Xi$  are convex in our sense.
- (2) The set  $\Xi \cap \Theta$  parameterizes a canonical basis of an algebra  $\text{mid}(\overline{\mathcal{A}}_{\text{prin}})$ , and

$$\text{mid}(\overline{\mathcal{A}}_{\text{prin}}) = \text{up}(\overline{\mathcal{A}}_{\text{prin}}) \cap \text{mid}(\mathcal{A}_{\text{prin}}) \subset \text{up}(\mathcal{A}_{\text{prin}}).$$

- (3) Now assume further that we have Enough Global Monomials on  $\mathcal{A}_{\text{prin}}^\vee$ . If for some seed  $\mathbf{s}$ , every edge of  $\Xi$  is contained in the convex hull of  $\Theta$  (which itself contains the convex hull of  $\Delta^+(\mathbb{Z})$ ) then  $\Theta = \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$ ,  $\text{mid}(\overline{\mathcal{A}}_{\text{prin}}) = \text{up}(\overline{\mathcal{A}}_{\text{prin}})$  is finitely generated, and the integer points  $\Xi \cap \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T) \subset \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  parameterize a canonical basis.

Each choice of seed identifies  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  with a lattice, and  $\Xi \subset \mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$  with a rational polyhedral cone, described by canonical linear inequalities given by the tropicalisation of the potential. We are confident these specialize to the piecewise linear parameterizations of canonical bases of Berenstein and Zelevinsky [BZ01], Knutson and Tao [KT99], and Goncharov and Shen [GS13]:

**Conjecture 0.19.** *For  $G = \mathrm{SL}_{r+1}$  and the Fomin-Zelevinsky cluster structure on the basic affine space  $G/N$ , and the seed given by the standard reduced decomposition of the longest word*

$$w_0 = (s_1)(s_2s_1)(s_3s_2s_1) \dots (s_{r+1}s_r \dots s_1),$$

*the edges of the polytope  $\Xi$  are spanned by the  $g$ -vectors for the generalized minors (each of which is known to be a cluster variable for some seed).  $\Xi$  itself is the Gelfand-Tsetlin cone.*

*For  $\overline{\mathcal{A}} = (G/N \times G/N \times G/N)/G$ , the potential function  $W$  above agrees with the potential function of [GS13], and thus (by combinatorial results in [GS13]) the cone  $\Xi$  is identified with the hive cone of Knutson-Tao.*

For  $H \subset G$  the maximal torus,  $H^{\times 3}$  acts naturally on the open subset  $\mathcal{A} \subset \overline{\mathcal{A}} = (G/N)^{\times 3}/G$ , the elements of the canonical basis are  $H^{\times 3}$  eigenfunctions, the eigenspaces are  $(V_\alpha \otimes V_\beta \otimes V_\gamma)^G$ , for dominant weights  $\alpha, \beta, \gamma$ . Fixing the weight corresponds to intersecting  $\Xi$  with an affine hyperplane. The intersection is identified by each choice of seed with a bounded polytope, whose integral points parameterize a basis of  $(V_\alpha \otimes V_\beta \otimes V_\gamma)^G$ . The number of these integral points is the dimension of this space, the so-called Littlewood-Richardson coefficient. We stress that these results come *for free* from general properties of our mirror symmetry construction: any partial minimal model  $V \subset Y$  of an affine log Calabi-Yau variety with maximal boundary determines (in general conjecturally) a cone  $\Xi \subset V^\vee(\mathbb{R}^T)$  with the analogous meaning. We are getting these basic representation theoretic results without representation theory!

We conclude this introduction by giving some indication of the basic tools we use, and at the same time indicating the layout of the paper.

The first key point is the notion of scattering diagram, developed in [KS06], [GS11] and [KS13], which gives a new way of constructing  $\mathcal{A}_{\mathrm{prin}}$ . A self-contained treatment of scattering diagrams is given in §1.

For simplicity of notation in this introduction, let us describe instead the scattering diagram for  $\mathcal{A}$  in certain cases, and in particular with no frozen variables. Recall that part of the initial data of a cluster variety (see Appendix A for this notation) is a skew-symmetric form  $\{\cdot, \cdot\} : N \times N \rightarrow \mathbb{Q}$ . We write  $M = \mathrm{Hom}(N, \mathbb{Z})$ , and there is given a finite index sublattice  $N^\circ \subset N$ , with dual lattice  $M^\circ$  a superlattice of  $M$ . Fixing a seed  $\mathbf{s} = (e_1, \dots, e_n)$  a basis of  $N$ , with covectors  $v_i := \{e_i, \cdot\} \in M^\circ$ , let us further assume that the  $v_i$  lie in a strictly convex rational polyhedral cone  $\sigma \subseteq M^\circ \otimes_{\mathbb{Z}} \mathbb{R}$ . Let  $P = \sigma \cap M^\circ$ , and denote by  $\widehat{\mathbb{k}[P]}$  the completion of the monoid ring  $\mathbb{k}[P]$  with respect to its maximal monomial ideal.

For us, a *wall* is a pair  $(\mathfrak{d}, f_{\mathfrak{d}})$  where  $\mathfrak{d} \subseteq M_{\mathbb{R}}^{\circ}$  is a codimension one convex rational polyhedral cone spanning a rational hyperplane  $n^{\perp}$  (for some primitive  $n \in N^{\circ}$  with  $\{n, \cdot\} \in P$ ) and  $f_{\mathfrak{d}} \in \widehat{\mathbb{k}[P]}$  is a power series (in the single variable  $z^{\{n, \cdot\}}$ ) with certain properties. A scattering diagram  $\mathfrak{D}$  is then a set of walls with a certain finiteness property (although  $\mathfrak{D}$  itself may be countably infinite). Associated to crossing a wall in a given direction is an automorphism of  $\widehat{\mathbb{k}[P]}$  of the form  $z^p \mapsto z^p f_{\mathfrak{d}}^{\langle \pm n, p \rangle}$  for some suitably chosen sign. Then associated to a path  $\gamma$  whose endpoints do not lie in any  $\mathfrak{d}$  with  $(\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D}$ , one has the path-ordered product  $\theta_{\gamma, \mathfrak{D}}$ , a composition of automorphisms of  $\widehat{\mathbb{k}[P]}$  induced by the walls traversed by  $\gamma$ , in the order traversed.

The choice of seed  $\mathbf{s}$  determines canonically a scattering diagram

$$\mathfrak{D}_{\text{in}, \mathbf{s}} := \{(e_k^{\perp}, 1 + z^{v_k}) \mid 1 \leq k \leq n\}.$$

These walls have the feature that crossing the wall  $e_k^{\perp}$  from the side on which  $e_k$  is negative induces precisely the usual  $\mathcal{A}$ -mutation  $\mu_k$  on  $\widehat{\mathbb{k}[P]}$ , which is usually interpreted as giving a birational map  $\mu_k : T_{N^{\circ}} \dashrightarrow T_{N^{\circ}}$ . A key result, first proven in two dimensions by Kontsevich and Soibelman in [KS06] and in all dimensions by Gross and Siebert in [GS11], is that there is a canonical procedure for determining a larger scattering diagram  $\mathfrak{D}_{\mathbf{s}} \supseteq \mathfrak{D}_{\text{in}, \mathbf{s}}$  with the property that  $\theta_{\gamma, \mathfrak{D}}$  only depends on the endpoints of  $\gamma$ . A different, simpler, construction of  $\mathfrak{D}_{\mathbf{s}}$  was given by Kontsevich and Soibelman in [KS13], and we review it in §1. A special case of the proof in [GS11] is given in Appendix C as it is needed for the main positivity result.

The scattering diagram  $\mathfrak{D}_{\mathbf{s}}$  obviously depends on the choice of seed  $\mathbf{s}$ . However, one can show (Theorem 1.33) that if  $\mathbf{s}'$  is obtained from  $\mathbf{s}$  by a series of mutations (assuming that all such seeds  $\mathbf{s}'$  still satisfy the hypothesis necessary for the existence of  $\mathfrak{D}_{\mathbf{s}'}$ ) then  $\mathfrak{D}_{\mathbf{s}'}$  and  $\mathfrak{D}_{\mathbf{s}}$  are related by the tropicalizations of these mutations (which are piecewise linear isomorphisms). Thus if we write  $\text{Supp}(\mathfrak{D}) := \bigcup_{(\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D}} \mathfrak{d}$ , then  $\text{Supp}(\mathfrak{D}_{\mathbf{s}})$  and  $\text{Supp}(\mathfrak{D}_{\mathbf{s}'})$  coincide as subsets of  $\mathcal{A}^{\vee}(\mathbb{R}^T)$ , and thus this set only depends on the mutation class of the seed. One key property of  $\mathfrak{D}_{\mathbf{s}}$  is that the positive orthant  $\mathcal{C}_{\mathbf{s}}^+$  with respect to the basis  $\mathbf{s}$  is free of walls. It then follows from the mutation invariance that the interiors of the cones of the Fock-Goncharov cluster complex  $\Delta^+$  are connected components of  $\mathcal{A}^{\vee}(\mathbb{R}^T) \setminus \text{Supp}(\mathfrak{D})$ . We introduce tropicalizations in §2 and use this observation to prove Theorem 0.12.

The scattering diagram  $\mathfrak{D}_{\mathbf{s}}$  then encodes the cluster variety  $\mathcal{A}$  in the following way. Associating to each chamber  $\sigma \in \Delta^+$  a torus  $T_{N^{\circ}, \sigma}$ , one can then glue  $T_{N^{\circ}, \sigma}$  to  $T_{N^{\circ}, \sigma'}$  via a birational map  $T_{N^{\circ}, \sigma} \dashrightarrow T_{N^{\circ}, \sigma'}$  induced by the map  $\theta_{\gamma, \mathfrak{D}_{\mathbf{s}}}$  where  $\gamma$  is any path from  $\sigma'$  to  $\sigma$ . We show in Theorem 4.4 that the variety constructed in this way coincides with  $\mathcal{A}$ . This makes the connection between scattering diagrams and cluster varieties.

In general, the cluster complex  $\Delta^+$  only fills up a small portion of  $\mathcal{A}^\vee(\mathbb{R}^T)$ . The scattering diagram extends the cluster complex to all of  $\mathcal{A}^\vee(\mathbb{R}^T)$ . We believe the collection of walls  $\text{Supp}(\mathfrak{D}) \subset \mathcal{A}^\vee(\mathbb{R}^T)$  is intrinsic to the variety  $\mathcal{A}^\vee$ . In general it will contain many subfans *just as good as*  $\Delta^+$ . For example there is always  $\Delta^-$  (coming from the negative orthants  $\mathcal{C}_s^-$ ), which may be distinct from  $\Delta^+$ , and there could be subfans corresponding to other cluster structures on  $V^\vee$ . The extra information in the scattering diagram vis a vis the cluster complex is key to all our results.

*Remark 0.20.* The cluster variety is built by gluing together copies of  $T_{N^\circ}$ , one for each cone of the particular subfan  $\Delta^+$  of the fan determined by  $\text{Supp}(\mathfrak{D})$ . Focusing on this particular collection of subcones (and ignoring the other equally good cones) strikes us as odd; taking instead one torus for each chamber in  $\text{Supp}(\mathfrak{D})$  seems more natural. Of course to build a variety in this way one would need that the composition of (in general infinitely many) wall crossing automorphisms between cones of e.g.  $\Delta^+$  and these other chambers give birational automorphisms of  $T_{N^\circ}$ . We don't know conditions that guarantee this, and for this reason do not pursue this idea here.

The other important ingredient is the notion of broken line, introduced here in §3. Originally introduced in [G09] and developed further in [CPS], they were used in [GHK11] to construct theta functions. Broken lines, which are decorated piecewise straight paths in  $\mathcal{A}^\vee(\mathbb{R}^T)$ , come in from infinity in some direction (the asymptotic direction) and end at a chosen basepoint. See Definition 3.1. Continuing with the special case being described, for a point  $m_0 \in M^\circ$  and a general basepoint  $Q \in M_{\mathbb{R}}^\circ$ , one can define (Definition 3.3)  $\vartheta_{Q,m_0} \in z^{m_0} \widehat{\mathbb{k}[P]}$ , a formal power series formed as a sum over broken lines with endpoint  $Q$  and asymptotic direction  $m_0$ . A crucial property of theta functions, proved in much greater generality in [CPS], is that given  $Q, Q' \in M_{\mathbb{R}}^\circ$  general and  $\gamma$  a path joining  $Q$  to  $Q'$ , then

$$(0.21) \quad \theta_{\gamma, \mathfrak{D}_s}(\vartheta_{Q,m_0}) = \vartheta_{Q',m_0}.$$

In particular, if we are lucky and  $\vartheta_{Q,m_0}$  is a Laurent polynomial rather than a power series for  $Q$  in some cluster chamber, then in fact (Proposition 7.1)  $\vartheta_{Q',m_0}$  is a Laurent polynomial for  $Q'$  in *any* cluster chamber. Viewing  $\vartheta_{Q,m_0}$  as a regular function on the torus  $T_{N^\circ, \sigma}$  associated to the cluster chamber  $\sigma$  containing  $Q$ , then (0.21) implies the  $\vartheta_{Q',m_0}$  glue to give a regular function on  $\mathcal{A}$ , i.e., a universal Laurent polynomial.

This then gives a new method of constructing elements of the upper cluster algebra. Furthermore, positivity of the scattering diagram (Theorem 1.28) immediately implies that the formal power series  $\vartheta_{Q,m_0}$  has positive integer coefficients. Thus when a theta function determines a universal Laurent polynomial, it is automatically a positive one.

For example, if  $m_0$  and  $Q$  both lie inside a cluster chamber, it is easy to show (Proposition 3.8) that  $\vartheta_{Q,m_0} = z^{m_0}$ . This is a cluster monomial, and the fact that this then gives a universal positive Laurent polynomial proves positivity of the Laurent phenomenon (see Theorem 4.8), essentially for free out of the general formalism. Previously this positivity was only known in the skew-symmetric case [LS13].

Having now developed a basic understanding of the interplay between scattering diagrams and cluster varieties, we complete the proof of Theorem 0.2 in §6 and 7. There remain several key points. First, there is a simple formula for the structure constants  $\alpha$  given in Theorem 0.2 in terms of sums over pairs of broken lines. The sum is automatically finite, unlike in the definition of theta function. Positivity of these constants follows automatically from positivity of the scattering diagram. Second is the definition of the canonically defined subset  $\Theta$  as mentioned in Theorem 0.2. In the  $\mathcal{A}$  case described above (but more accurately in the  $\mathcal{A}_{\text{prin}}$  case),  $\Theta$  would be defined as the set of  $m \in M^\circ$  such that for  $Q$  in a cluster chamber,  $\vartheta_{Q,m}$  defines a Laurent polynomial, i.e., is given by a *finite* sum over broken lines. We use positivity in a crucial way to show that the subspace of  $\text{can}(V)$  spanned by  $\vartheta_m$ ,  $m \in \Theta$ , is in fact closed under multiplication, thus allowing us to define the *middle cluster algebra*  $\text{mid}(V)$ .

The proof of Theorem 0.2 then follows from these formal results quickly in the  $\mathcal{A}_{\text{prin}}$  case in §7, and the  $\mathcal{A}$ ,  $\mathcal{A}_t$  and  $\mathcal{X}$  cases are derived from this.

The remainder of the paper largely focuses on proving the various theorems stated above concerning convexity and other conditions which imply the full Fock-Goncharov conjecture and its variants. §8 introduces and explores suitable notions of convexity in the tropical space associated to a cluster variety. We introduce the notion of *Enough Global Monomials* and explore its ramifications. In §9, we use analogies with toric geometry to show how convex polytopes in our sense lead to compactifications of cluster varieties with generally well-behaved boundary components. §§10 and 11 then lead to our strongest results on the existence of canonical bases, as described earlier in this introduction.

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## 1. SCATTERING DIAGRAMS AND CHAMBER STRUCTURES

**1.1. Definition and constructions.** Here we recall the basic properties of scattering diagrams, the main technical tool in this paper. Everything we do in this subsection can already be found in [GS11] and [KS13], but we give here a self-contained treatment for the reader's convenience and edification.

We fix a lattice  $N$ , with dual lattice  $M$ . Let  $N^+$  be contained in the intersection of a convex rational polyhedral cone in  $N_{\mathbb{R}} := N \otimes_{\mathbb{Z}} \mathbb{R}$  with  $N$ , and suppose furthermore that  $N^+$  is closed under addition and  $0 \notin N^+$  and that there exists a linear function  $d : N \rightarrow \mathbb{Z}$  such  $d(n) > 0$  for  $n \in N^+$ . For example, if the cone is strictly convex, we can choose a basis so that  $N^+$  lies in the positive octant, and take  $d$  the sum of the coordinates.

We let  $\mathfrak{g} = \bigoplus_{n \in N^+} \mathfrak{g}_n$  be a Lie algebra over a ground field  $\mathbb{k}$  of characteristic 0 graded by  $N^+$  (i.e.,  $[\mathfrak{g}_{n_1}, \mathfrak{g}_{n_2}] \subset \mathfrak{g}_{n_1+n_2}$ ). We then have

$$\mathfrak{g}^{>k} := \bigoplus_{d(n) > k} \mathfrak{g}_n \subset \mathfrak{g}$$

a Lie subalgebra, and  $\mathfrak{g}^{\leq k} := \mathfrak{g}/\mathfrak{g}^{>k}$  is a nilpotent Lie algebra. We let  $G^{\leq k} := \exp(\mathfrak{g}^{\leq k})$  be the corresponding nilpotent group. This group, as a set, is just  $\mathfrak{g}^{\leq k}$ , but multiplication is given by the Baker-Campbell-Hausdorff formula. We set

$$G := \exp(\mathfrak{g}) := \varprojlim G^{\leq k}$$

the corresponding pro-nilpotent group. We have the canonical set bijections

$$\exp : \mathfrak{g}^{\leq k} \rightarrow G^{\leq k} \quad \text{and} \quad \exp : \varprojlim \mathfrak{g}^{\leq k} \rightarrow G.$$

For  $n_0 \in N^+$  we define

$$\begin{aligned} \mathfrak{g}_{n_0}^{\parallel} &= \bigoplus_{k > 0} \mathfrak{g}_{k \cdot n_0} \subset \mathfrak{g} \quad (\text{note this is a Lie subalgebra}) \\ G_{n_0}^{\parallel} &= \exp(\mathfrak{g}_{n_0}^{\parallel}) \subset G. \end{aligned}$$

**Assumption:** We assume each Lie subalgebra  $\mathfrak{g}_{n_0}^{\parallel}$  (and thus each subgroup  $G_{n_0}^{\parallel}$ ) is abelian.

**Definition 1.1.** A *wall* in  $M_{\mathbb{R}}$  (for  $N^+$  and  $\mathfrak{g}$ ) is a pair  $(\mathfrak{d}, g_{\mathfrak{d}})$  such that

- (1)  $g_{\mathfrak{d}} \in G_{n_0}^{\parallel}$  for some primitive  $n_0 \in N^+$ .
- (2)  $\mathfrak{d} \subset n_0^{\perp} \subset M_{\mathbb{R}}$  is a  $(\text{rank } N - 1)$ -dimensional convex (but not necessarily strictly convex) rational polyhedral cone.

The set  $\mathfrak{d} \subset M_{\mathbb{R}}$  is called the *support* of the wall  $(\mathfrak{d}, g_{\mathfrak{d}})$ .

**Definition 1.2.** A *scattering diagram*  $\mathfrak{D}$  for  $N^+$  and  $\mathfrak{g}$  is a set of walls such that for every degree  $k > 0$ , there are only a finite number of  $(\mathfrak{d}, g_{\mathfrak{d}}) \in \mathfrak{D}$  with the image of  $g_{\mathfrak{d}}$  in  $G^{\leq k}$  not the identity.

If  $\mathfrak{D}$  is a scattering diagram, we write

$$\text{Supp}(\mathfrak{D}) = \bigcup_{\mathfrak{d} \in \mathfrak{D}} \mathfrak{d}, \quad \text{Sing}(\mathfrak{D}) = \bigcup_{\mathfrak{d} \in \mathfrak{D}} \partial \mathfrak{d} \cup \bigcup_{\substack{\mathfrak{d}_1, \mathfrak{d}_2 \in \mathfrak{D} \\ \dim \mathfrak{d}_1 \cap \mathfrak{d}_2 = n-2}} \mathfrak{d}_1 \cap \mathfrak{d}_2$$

for the support and singular locus of the scattering diagram. If  $\mathfrak{D}$  is a finite scattering diagram, then its support is a finite polyhedral cone complex. A *joint* is an  $n - 2$ -dimensional cell of this complex, so that  $\text{Sing}(\mathfrak{D})$  is the union of all joints of  $\mathfrak{D}$ .

Note any scattering diagram for  $\mathfrak{g}$  induces a finite scattering diagram for  $\mathfrak{g}^{\leq k}$ .

Given a scattering diagram  $\mathfrak{D}$ , we obtain the *path-ordered product*. Assume given a smooth immersion

$$\gamma : [0, 1] \rightarrow M_{\mathbb{R}} \setminus \text{Sing}(\mathfrak{D})$$

with endpoints not contained in the support of  $\mathfrak{D}$ . Assume  $\gamma$  is transversal to each wall of  $\mathfrak{D}$  that it crosses. For each degree  $k > 0$ , we can find numbers

$$0 < t_1 \leq t_2 \leq \dots \leq t_s < 1$$

and elements  $\mathfrak{d}_i \in \mathfrak{D}$  with the image of  $g_{\mathfrak{d}_i}$  in  $G^{\leq k}$  non-trivial such that

$$\gamma(t_i) \in \mathfrak{d}_i,$$

$\mathfrak{d}_i \neq \mathfrak{d}_j$  if  $t_i = t_j$ , and  $s$  taken as large as possible. (The  $t_i$  are the times at which the path  $\gamma$  hits a wall. We allow  $t_i = t_{i+1}$  because we may have two different walls  $\mathfrak{d}_i, \mathfrak{d}_{i+1}$  which span the same hyperplane.)

For each  $i$ , define

$$\theta_{\mathfrak{d}_i} = \begin{cases} g_{\mathfrak{d}_i} & \langle n_0, \gamma'(t_i) \rangle < 0, \\ g_{\mathfrak{d}_i}^{-1} & \langle n_0, \gamma'(t_i) \rangle > 0, \end{cases}$$

where  $n_0 \in N^+$  with  $\mathfrak{d} \subseteq n_0^{\perp}$ . We then define

$$\theta_{\gamma, \mathfrak{D}}^k = \theta_{\mathfrak{d}_s} \circ \dots \circ \theta_{\mathfrak{d}_1}$$

(writing the product in the group as  $\circ$ ). If  $t_i = t_{i+1}$ , then  $\mathfrak{d}_i, \mathfrak{d}_{i+1}$  span the same hyperplane  $n_0^{\perp}$ , hence  $g_{\mathfrak{d}_i}, g_{\mathfrak{d}_{i+1}} \in G_{n_0}^{\parallel}$ . Thus by the assumption that this latter group is abelian,  $\theta_{\mathfrak{d}_i}$  and  $\theta_{\mathfrak{d}_{i+1}}$  commute, so this product is well-defined. We then take

$$\theta_{\gamma, \mathfrak{D}} = \lim_{k \rightarrow \infty} \theta_{\gamma, \mathfrak{D}}^k \in G.$$

We note that  $\theta_{\gamma, \mathfrak{D}}$  depends only on its homotopy class (with fixed endpoints) in  $M_{\mathbb{R}} \setminus \text{Sing}(\mathfrak{D})$ . We also note that the definition can easily be extended to piecewise smooth paths  $\gamma$ , provided that the path always crosses a wall if it intersects it.

**Definition 1.3.** Two scattering diagrams  $\mathfrak{D}$ ,  $\mathfrak{D}'$  are *equivalent* if  $\theta_{\gamma, \mathfrak{D}} = \theta_{\gamma, \mathfrak{D}'}$  for all paths  $\gamma$  for which both are defined.

Call  $x \in M_{\mathbb{R}}$  *general* if there is at most one rational hyperplane  $n_0^\perp$  with  $x \in n_0^\perp$ . For  $x$  general and  $\mathfrak{D}$  a scattering diagram, let  $g_x(\mathfrak{D}) := \prod_{x \in \mathfrak{d}} g_{\mathfrak{d}} \in G_{n_0}^{\parallel}$ . One checks easily:

**Lemma 1.4.** *Two scattering diagrams  $\mathfrak{D}, \mathfrak{D}'$  are equivalent if and only if  $g_x(\mathfrak{D}) = g_x(\mathfrak{D}')$  for all general  $x$ .*

**Definition 1.5.** A scattering diagram  $\mathfrak{D}$  is *consistent* if  $\theta_{\gamma, \mathfrak{D}}$  only depends on the endpoints of  $\gamma$  for any path  $\gamma$  for which  $\theta_{\gamma, \mathfrak{D}}$  is defined.

**Definition 1.6.** Let  $\{\cdot, \cdot\}$  be a  $\mathbb{Q}$ -valued skew form on  $N$ . We call  $\mathfrak{g}$  *skew-symmetric* for  $\{\cdot, \cdot\}$  (or just skew-symmetric if the form is clear from context) if  $\{n_1, n_2\} = 0$  implies  $[\mathfrak{g}_{n_1}, \mathfrak{g}_{n_2}] = 0$ . We write  $p^*(n) := \{n, \cdot\} \in M_{\mathbb{Q}}$ .

We say a wall  $\mathfrak{d} \subset n_0^\perp$  is *incoming* if

$$p^*(n_0) \in \mathfrak{d}.$$

Otherwise, we say the wall is *outgoing* (note in any case  $p^*(n_0)$  lies in the span of the wall  $n_0^\perp$ ).

We call  $-p^*(n_0)$  the *direction* of the wall. (This terminology comes from the case  $N = \mathbb{Z}^2$ , where an outgoing wall is then a ray containing its direction vector, thus one that points outward.)

The main result on scattering diagrams, which follows easily from Theorem 1.13, is the following:

**Theorem 1.7.** *Let  $\mathfrak{g}$  be a skew-symmetric  $N^+$ -graded Lie algebra, and let  $\mathfrak{D}_{\text{in}}$  be a scattering diagram whose only walls are full hyperplanes, i.e., are of the form  $(n_0^\perp, g_{n_0})$  for  $n_0 \in N^+$ . Then there is a scattering diagram  $\mathfrak{D}$  satisfying:*

- (1)  $\mathfrak{D}$  is consistent,
- (2)  $\mathfrak{D} \supset \mathfrak{D}_{\text{in}}$ ,
- (3)  $\mathfrak{D} \setminus \mathfrak{D}_{\text{in}}$  consists only of outgoing walls.

*Moreover,  $\mathfrak{D}$  satisfying these three properties is unique up to equivalence.*

If we set

$$(1.8) \quad \begin{aligned} \mathcal{C}^+ &:= \{m \in M_{\mathbb{R}} \mid m|_{N^+} \geq 0\}, \\ \mathcal{C}^- &:= \{m \in M_{\mathbb{R}} \mid m|_{N^+} \leq 0\} \end{aligned}$$

then since all walls span a hyperplane  $n_0^\perp$ ,  $n_0 \in N^+$ ,

$$\text{Supp}(\mathfrak{D}) \cap \text{Int}(\mathcal{C}^\pm) = \emptyset.$$

In particular, if  $\mathfrak{D}$  is a consistent scattering diagram, then  $\theta_{\gamma, \mathfrak{D}}$  for  $\gamma$  a path with initial point in  $\mathcal{C}^+$  and final point in  $\mathcal{C}^-$  is independent of the particular choice of path (or endpoints in  $\mathcal{C}^\pm$ ). Thus we obtain a well-defined element  $\theta_{+,-} \in G$  which only depends on the scattering diagram  $\mathfrak{D}$ .

**Theorem 1.9** (Kontsevich-Soibelman). *The assignment of  $\theta_{+,-}$  to  $\mathfrak{D}$  gives a one-to-one correspondence between equivalence classes of consistent scattering diagrams for  $G$  and elements  $\theta_{+,-} \in G$ .*

This is a special case of [KS13], 2.1.6. For the reader's convenience we include the short proof:

*Proof.* We need to show how to construct  $\mathfrak{D}$  given  $\theta_{+,-} \in G$ . To do so, choose any  $n_0 \in N^+$  primitive and a point  $x \in n_0^\perp$  general. Then we can determine  $g_x(\mathfrak{D})$  as follows, noting by Lemma 1.4 that this information for all such  $n_0$  and general  $x$  determines  $\mathfrak{D}$  up to equivalence. We can write

$$(1.10) \quad \mathfrak{g} = \mathfrak{g}_+^x \oplus \mathfrak{g}_0^x \oplus \mathfrak{g}_-^x$$

with

$$\mathfrak{g}_+^x = \bigoplus_{\substack{n \in N^+ \\ \langle n, x \rangle > 0}} \mathfrak{g}_n, \quad \mathfrak{g}_-^x = \bigoplus_{\substack{n \in N^+ \\ \langle n, x \rangle < 0}} \mathfrak{g}_n, \quad \mathfrak{g}_0^x = \bigoplus_{\substack{n \in N^+ \\ \langle n, x \rangle = 0}} \mathfrak{g}_n.$$

Each of these subspaces of  $\mathfrak{g}$  are closed under Lie bracket, thus defining subgroups  $G_\pm^x, G_0^x$  of  $G$ . Note by the generality assumption on  $x$ , we in fact have  $\mathfrak{g}_0^x = \mathfrak{g}_{n_0}^\parallel$ . This splitting induces a unique factorization  $g = g_+^x \circ g_0^x \circ g_-^x$  for any element  $g \in G$ . Applying this to  $\theta_{+,-}$  gives a well-defined element  $g_0^x \in G_0^x$ . We need to show that the set of data  $g_0^x$  determines a scattering diagram  $\mathfrak{D}$  such that  $g_x(\mathfrak{D}) = g_0^x$  for all general  $x \in M_{\mathbb{R}}$ . To do this, one needs to know that to any finite order  $k$ , the hyperplane  $n_0^\perp$  is subdivided into a finite number of polyhedral cones  $\mathfrak{d}_1, \dots, \mathfrak{d}_p$  such that the image of  $g_0^x$  in  $G^{\leq k}$  is constant for  $x \in \mathfrak{d}_i$ . This is clear if the number of  $n \in N^+$  with  $d(n) \leq k$  is finite, as then the decomposition (1.10) varies discretely with  $x$  to order  $k$ . On the other hand, if the number of such  $n$  is infinite, one can find a subset  $N' \subset N^+$  defining a sub-algebra  $\mathfrak{g}' = \bigoplus_{n \in N'} \mathfrak{g}_n$  of  $\mathfrak{g}$  and such that (1) the image of the given element  $\theta_{+,-}$  in  $G^{\leq k}$  in fact lies in  $\exp(\mathfrak{g}' / (\mathfrak{g}')^{\geq k})$  and (2) the number of  $n \in N'$  with  $d(n) \leq k$  is finite. This then reduces to the finite case.

We need to show that  $\mathfrak{D}$  satisfies the condition that  $\theta_{\gamma, \mathfrak{D}} = \theta_{+,-}$  for any path  $\gamma$  from the positive to the negative chamber and that  $\theta_{\gamma, \mathfrak{D}}$  only depends on endpoints of  $\gamma$ . To do so, we work modulo  $\mathfrak{g}^{\geq k}$  for any  $k$ , so we can assume  $\mathfrak{D}$  has a finite number of walls. Choose a general point  $x_0 \in \mathcal{C}^+$ . Take a general two-dimensional subspace of  $M_{\mathbb{R}}$  containing  $x_0$ , and after choosing a metric, let  $\gamma$  be a semi-circle in the two-dimensional

subspace with endpoints  $x_0$  and  $-x_0$  and center 0. Then  $\theta_{\gamma, \mathfrak{D}} = g_0^{x_n} \cdots g_0^{x_1}$  for points  $x_1, \dots, x_n$  contained in walls crossed by  $\gamma$  and  $g_0^{x_i}$  the element of  $G_0^{x_i}$  determined by the factorization of  $\theta_{+,-}$  above. Note that if  $x_i$  lies in the hyperplane  $n_i^\perp$ , all the wall-crossing automorphisms of walls traversed by  $\gamma$  *before* crossing  $n_i^\perp$  lie in  $G_-^{x_i}$  and all those from walls traversed by  $\gamma$  *after* crossing  $n_i^\perp$  lie in  $G_+^{x_i}$ . It then follows inductively that the factorization of  $\theta_{+,-}$  given by  $x_i$  takes the form  $(g_+)g_0^{x_i}(g_0^{x_{i-1}} \cdots g_0^{x_1})$  for some  $g_+ \in G_+^{x_i}$ . Indeed, for  $i = 1$ , this just follows from the definition of  $g_0^{x_1}$ , while if true for  $i - 1$ , then we have  $\theta_{+,-} = g' \cdot (g_0^{x_{i-1}} \cdots g_0^{x_1})$  is a decomposition of  $\theta_{+,-}$  induced by the splitting  $\mathfrak{g} = (\mathfrak{g}_+^{x_i} \oplus \mathfrak{g}_0^{x_i}) \oplus \mathfrak{g}_-^{x_i}$ , and the claim then follows by the definition of  $g_0^{x_i}$ . In particular, for  $i = n + 1$ , taking  $x_{n+1} = -x_0$  and noting that  $G_-^{x_{n+1}} = G$ , one sees that  $\theta_{+,-} = g_0^{x_n} \cdots g_0^{x_1} = \theta_{\gamma, \mathfrak{D}}$ .

Next we show the independence of path for the  $\mathfrak{D}$  we have constructed, again modulo  $\mathfrak{g}^{>k}$ . It is sufficient to check  $\theta_{\gamma_i, \mathfrak{D}} = \text{id}$  as an element of  $G^{\leq k}$  for any small loop  $\gamma_i$  around any joint  $j$  of  $\mathfrak{D}$ . Take  $x'$  a general point in  $j$ ,  $n \in N_{\text{uf}}^+$  such that  $n^\perp \supseteq j$ , and choose  $x, x''$  to be points in  $n^\perp$  near  $x'$  on either side of the joint  $j$ . Let  $\gamma, \gamma''$  be two semi-circular paths with endpoints  $x_0$  and  $-x_0$  and passing through  $x, x''$  respectively. Then up to orientation  $\gamma(\gamma'')^{-1}$  is freely homotopic to  $\gamma_i$  in  $M_{\mathbb{R}} \setminus \text{Sing}(\mathfrak{D})$ . Thus  $\theta_{\gamma_i, \mathfrak{D}} = \theta_{\gamma'', \mathfrak{D}}^{-1} \theta_{\gamma, \mathfrak{D}} = \theta_{+,-}^{-1} \theta_{+,-} = \text{id}$ .

Thus we have established the one-to-one correspondence between consistent scattering diagrams  $\mathfrak{D}$  and elements of  $G$ .  $\square$

For the remainder of this subsection we assume, as in the statement of Theorem 1.7:

**Assumption:**  $\mathfrak{g}$  is skew-symmetric for  $\{\cdot, \cdot\}$ .

Following [KS13], we give an alternative parameterization of  $G$ , as follows. For any  $n_0 \in N^+$  primitive, we get the splitting

$$(1.11) \quad \mathfrak{g} = \mathfrak{g}_+^{n_0} \oplus \mathfrak{g}_0^{n_0} \oplus \mathfrak{g}_-^{n_0}$$

where

$$\mathfrak{g}_+^{n_0} := \bigoplus_{\{n_0, n\} > 0} \mathfrak{g}_n, \quad \mathfrak{g}_-^{n_0} := \bigoplus_{\{n_0, n\} < 0} \mathfrak{g}_n, \quad \mathfrak{g}_0^{n_0} := \bigoplus_{\{n_0, n\} = 0} \mathfrak{g}_n.$$

These give rise to subgroups  $G_\pm^{n_0}, G_0^{n_0}$  of  $G$ . We drop the  $n_0$  when it is clear from context. Again, this allows us to factor any  $g \in G$  as  $g = g_+ \circ g_0 \circ g_-$  with  $g_\pm \in G_\pm$ ,  $g_0 \in G_0$ . We can further decompose  $\mathfrak{g}_0 = \mathfrak{g}_0^\parallel \oplus \mathfrak{g}_0^\perp$ , where  $\mathfrak{g}_0^\parallel := \mathfrak{g}_{n_0}^\parallel$ , while  $\mathfrak{g}_0^\perp$  involves those summands of  $\mathfrak{g}_0$  coming from  $n$  not proportional to  $n_0$ . Note that  $[\mathfrak{g}_0, \mathfrak{g}_0^\perp] \subseteq \mathfrak{g}_0^\perp$ . Indeed, if  $n_1 + n_2 = kn_0$  with  $\{n_i, n_0\} = 0$  for  $i = 1, 2$ , we then have  $\{n_1, n_2\} = 0$  so that  $[\mathfrak{g}_{n_1}, \mathfrak{g}_{n_2}] = 0$ . Thus we have a projection homomorphism  $G_0 \rightarrow G_0^\parallel$  with kernel  $G_0^\perp$ . In particular, the factorization  $g = g_+ \circ g_0 \circ g_-$  yields an element  $g_0^\parallel \in G_0^\parallel$  via this

projection. We then have a map (of sets)

$$\Psi : G \rightarrow \prod_{n_0 \in N^+ \text{ primitive}} G_{n_0}^{\parallel}.$$

**Proposition 1.12.**  *$\Psi$  is a set bijection*

*Proof.*  $\Psi$  is induced by an analogous map to order  $k$ ,

$$\Psi_k : G^{<k} \rightarrow \prod \exp(\mathfrak{g}_{n_0}^{\parallel} / \mathfrak{g}_{n_0}^{\parallel} \cap \mathfrak{g}^{>k}).$$

One checks easily that this is a bijection order by order.  $\square$

**Theorem 1.13.** *Let  $\mathfrak{D}$  be a consistent scattering diagram corresponding to  $\theta_{+,-} \in G$ . Let  $n_0 \in N^+$ . The following hold:*

- (1) *To any fixed finite order, there is an open neighbourhood  $U \subset n_0^{\perp}$  of  $p^*(n_0)$  such that  $g_x(\mathfrak{D}) = \Psi(\theta_{+,-})_{n_0} \in G_0^x = G_{n_0}^{\parallel}$  for all general  $x \in U$ . Here  $\Psi(g)_{n_0}$  denotes the component of  $\Psi(g)$  indexed by  $n_0$ .*
- (2)  *$\mathfrak{D}$  is equivalent to a diagram with only one wall in  $n_0^{\perp}$  containing  $p^*(n_0)$ , and the group element attached to this wall is  $\Psi(\theta_{+,-})_{n_0}$ .*
- (3) *Set*

$$\mathfrak{D}_{\text{in}} := \{(n_0^{\perp}, \Psi(\theta_{+,-})_{n_0}) \mid n_0 \in N_{\text{uf}}^+ \text{ primitive}\}.$$

*Then  $\mathfrak{D}$  is equivalent to a consistent scattering diagram  $\mathfrak{D}'$  with  $\mathfrak{D}' \supseteq \mathfrak{D}_{\text{in}}$  and  $\mathfrak{D}' \setminus \mathfrak{D}_{\text{in}}$  consists only of outgoing walls. Furthermore, up to equivalence  $\mathfrak{D}'$  is the unique consistent scattering diagram with this property.*

- (4) *The equivalence class of a consistent scattering diagram is determined by its set of incoming walls.*

We note first that (2) of Theorem 1.13 implies Theorem 1.7. Indeed, let the initial scattering diagram be  $\mathfrak{D}_{\text{in}} = \{(n^{\perp}, g_n) \mid n \in N^+ \setminus \{0\}\}$  (where all but finitely many  $g_n$  lie in  $G^{>k}$  for any given  $k$ ). By Proposition 1.12 there is a unique element  $g \in G$  with  $\Psi(g)_n = g_n$ . Now apply Theorem 1.13 with  $\theta_{+,-} = g$ .

*Proof of Theorem 1.13.* First note that statement (1) implies (2). Further, (1), along with Theorem 1.9 and Proposition 1.12, implies (4), which in turn gives the uniqueness in (3). Note (1) implies that, to the given finite order,  $\mathfrak{D}$  is equivalent to a diagram having only one incoming wall contained in  $n_0^{\perp}$ , and the attached group element is  $\Psi(\theta_{+,-})_{n_0}$ . Now we can replace this single wall by an equivalent collection of walls consisting of  $(n_0^{\perp}, \Psi(\theta_{+,-})_{n_0})$  and a number of outgoing walls contained in  $n_0^{\perp}$  with attached group element  $\Psi(\theta_{+,-})_{n_0}^{-1}$ . This gives the existence in (3).

Thus it suffices to prove (1). We work modulo  $\mathfrak{g}^{>k}$ , so can assume  $\mathfrak{D}$  finite, and compare the splittings (1.10) coming from a choice of  $x \in n_0^{\perp}$  near  $p^*(n_0)$  and (1.11).

For each  $n \in N^+$  there exists an open neighbourhood  $U_n \subset n_0^\perp$  of  $p^*(n_0)$  such that  $\{n_0, n\} > 0$  (resp.  $< 0$ ) implies  $\langle x, n \rangle > 0$  (resp.  $< 0$ ) for all  $x \in U_n$ . Since  $\mathfrak{D}$  (to order  $k$ ) is finite, we can find a single  $U$  so that  $\mathfrak{g}_\pm^{n_0} \subseteq \mathfrak{g}_\pm^x$  for all  $x \in U$ . If  $x$  is general inside  $n_0^\perp$  we also have  $\mathfrak{g}_0^x = \mathfrak{g}_{n_0}^\parallel$ .

Now write

$$\theta_{+,-} = g_+^{n_0} \cdot g_0^{n_0} \cdot g_-^{n_0}$$

as in (1.11). Then we can further factor

$$g_0^{n_0} = h_+^x \cdot h_0^x \cdot h_-^x$$

as in (1.10). Note  $h_\pm^x \in G_0^\perp$ ,  $h_0^x \in G_{n_0}^\parallel = G_0^x$ . Since the projection  $G_0 \rightarrow G_0^\parallel$  is a group homomorphism with kernel  $G_0^\perp$ , the image of  $g_0^{n_0}$  in  $G_{n_0}^\parallel$  is  $h_0^x$ , which thus coincides with  $\Psi(\theta_{+,-})_{n_0}$  by definition of the latter. We have

$$\theta_{+,-} = (g_+^{n_0} \cdot h_+^x) \cdot h_0^x \cdot (h_-^x \cdot g_-^{n_0})$$

which is then the (unique) factorisation from (1.10). Thus

$$g_x(\theta_{+,-}) = h_0^x = \Psi(\theta_{+,-})_{n_0}$$

for any general  $x \in U$ . □

**Construction 1.14.** There is a simple order by order algorithm, introduced in [KS06] in the two-dimensional case and in [GS11] in the higher dimensional case, for producing the diagram  $\mathfrak{D} \supset \mathfrak{D}_{\text{in}}$  of Theorem 1.13, which we will describe shortly after a bit of preparation. We continue to assume  $\mathfrak{g}$  is skew-symmetric.

We first introduce some additional terminology. For any scattering diagram  $\mathfrak{D}$  for  $\mathfrak{g}$ , and any  $k > 0$  we let  $\mathfrak{D}_k \subset \mathfrak{D}$  be the (by definition, finite) set of  $(\mathfrak{d}, g_{\mathfrak{d}})$  with  $g_{\mathfrak{d}}$  non-trivial in  $G^{\leq k}$ . A scattering diagram for  $N^+$ ,  $\mathfrak{g}$  induces a scattering diagram for  $N^+$ ,  $\mathfrak{g}^{\leq k}$  in the obvious way, viewing  $g_{\mathfrak{d}} \in G^{\leq k}$  for a wall  $(\mathfrak{d}, g_{\mathfrak{d}})$ . We say two scattering diagrams  $\mathfrak{D}, \mathfrak{D}'$  are equivalent to order  $k$  if they are equivalent as scattering diagrams for  $\mathfrak{g}^{\leq k}$ .

**Definition-Lemma 1.15.** *Let  $\mathfrak{j}$  be a joint of the scattering diagram  $\mathfrak{D}_k$ . Either every wall containing  $\mathfrak{j}$  has direction tangent to  $\mathfrak{j}$  (where the direction of a wall contained in  $n^\perp$  is  $-p^*(n) = -\{n, \cdot\}$ ), or every wall containing  $\mathfrak{j}$  has direction not tangent to  $\mathfrak{j}$ . In the first case we call the joint parallel and in the second case perpendicular.*

*Proof.* Suppose  $\mathfrak{j}$  spans the subspace  $n_1^\perp \cap n_2^\perp$ . Then the direction of any wall containing  $\mathfrak{j}$  is of the form  $-p^*(a_1 n_1 + a_2 n_2)$  for some  $a_1, a_2 \in \mathbb{Q}$ . If this is tangent to  $\mathfrak{j}$ , then  $\langle p^*(a_1 n_1 + a_2 n_2), n_i \rangle = 0$  for  $i = 1, 2$ , and hence  $0 = \langle p^*(n_1), n_2 \rangle = \{n_1, n_2\}$ . From this it follows that  $\langle p^*(a'_1 n_1 + a'_2 n_2), n_i \rangle = 0$  for all  $a'_1, a'_2$ , and hence the direction of any wall containing  $\mathfrak{j}$  is tangent to  $\mathfrak{j}$ . □

A joint  $j$  is a codimension two convex rational polyhedral cone. Let  $\Lambda_j \subseteq M$  be the set of integral tangent vectors to  $j$ . This is a saturated sublattice of  $M$ . Then we set

$$\mathfrak{g}_j := \bigoplus_{n \in N^+ \cap \Lambda_j^\perp} \mathfrak{g}_n.$$

If  $j$  is a parallel joint, then  $\mathfrak{g}_j$  is abelian, since if  $n_1, n_2 \in \Lambda_j^\perp$  with  $p^*(n_1), p^*(n_2) \in \Lambda_j$ ,  $\{n_1, n_2\} = \langle p^*(n_1), n_2 \rangle = 0$ , so  $[\mathfrak{g}_{n_1}, \mathfrak{g}_{n_2}] = 0$ . We denote by  $G_j$  the corresponding group.

We will build a sequence of finite scattering diagrams  $\tilde{\mathfrak{D}}_1 \subset \tilde{\mathfrak{D}}_2 \subset \dots$ , with the property that  $\tilde{\mathfrak{D}}_k$  is equivalent to  $\mathfrak{D}$  to order  $k$ . Taking  $\tilde{\mathfrak{D}} = \bigcup_{k=1}^\infty \tilde{\mathfrak{D}}_k$ , we obtain  $\tilde{\mathfrak{D}}$  equivalent to  $\mathfrak{D}$ . Let  $(\mathfrak{D}_{\text{in}})_k$  denote the subset of  $\mathfrak{D}_{\text{in}}$  consisting of walls which are non-trivial in  $G^{\leq k}$ . We start with

$$\tilde{\mathfrak{D}}_1 = (\mathfrak{D}_{\text{in}})_1.$$

If  $j$  is a joint of a finite scattering diagram, we write  $\gamma_j$  for a simple loop around  $j$  small enough so that it only intersects walls containing  $j$ . In particular, for each joint  $j$  of  $\tilde{\mathfrak{D}}_1$ ,  $\theta_{\gamma_j, \tilde{\mathfrak{D}}_1} = \text{id} \in G^{\leq 1}$ . Indeed,  $G^{\leq 1}$  is abelian and by the form given for  $\mathfrak{D}_{\text{in}}$  in the statement of Theorem 1.13, all walls containing  $j$  are hyperplanes. Thus the automorphism associated to crossing each wall and its inverse occurs once in  $\theta_{\gamma_j, \tilde{\mathfrak{D}}_1}$ , and hence cancel.

Now suppose we have constructed  $\tilde{\mathfrak{D}}_k$ . For every perpendicular joint  $j$  of  $\tilde{\mathfrak{D}}_k$ , we can uniquely write in  $G^{\leq k+1}$

$$\theta_{\gamma_j, \tilde{\mathfrak{D}}_k} = \exp \left( \sum_{\alpha \in S} g_\alpha \right)$$

where  $S \subseteq \{\alpha \in N^+ \cap \Lambda_j^\perp \mid d(\alpha) = k+1\}$  and  $g_\alpha \in \mathfrak{g}_\alpha$ . Such an expression holds because  $\theta_{\gamma_j, \tilde{\mathfrak{D}}_k}$  is trivial in  $G^{\leq k}$ . Because  $j$  is perpendicular, we never have  $p^*(\alpha) \in \Lambda_j$ . Now define

$$\mathfrak{D}[j] := \{(j - \mathbb{R}_{\geq 0} p^*(\alpha), \exp(\pm g_\alpha)) \mid \alpha \in S\},$$

where the sign is chosen so that the contribution to crossing the wall indexed by  $\alpha$  in  $\theta_{\gamma_j, \mathfrak{D}[j]}$  is  $\exp(-g_\alpha)$ . Note the latter element is central in  $G^{\leq k+1}$ . Thus  $\theta_{\gamma_j, \mathfrak{D}[j]} = \theta_{\gamma_j, \tilde{\mathfrak{D}}_k}^{-1}$  and

$$(1.16) \quad \theta_{\gamma_j, \tilde{\mathfrak{D}}_k \cup \mathfrak{D}[j]} = \theta_{\gamma_j, \tilde{\mathfrak{D}}_k} \circ \theta_{\gamma_j, \mathfrak{D}[j]} = \text{id}$$

in  $G^{\leq k+1}$ .

We define

$$\tilde{\mathfrak{D}}_{k+1} = \tilde{\mathfrak{D}}_k \cup ((\mathfrak{D}_{\text{in}})_{k+1} \setminus (\mathfrak{D}_{\text{in}})_k) \cup \bigcup_j \mathfrak{D}[j]$$

where the union is over all perpendicular joints of  $\tilde{\mathfrak{D}}_k$ .

**Lemma 1.17.**  $\tilde{\mathfrak{D}}_{k+1}$  is equivalent to  $\mathfrak{D}$  to order  $k + 1$ .

*Proof.* Consider a perpendicular joint  $j$  of  $\tilde{\mathfrak{D}}_{k+1}$ . If  $j$  is contained in a joint  $j'$  of  $\tilde{\mathfrak{D}}_k$ ,  $j'$  is the unique such joint, and we constructed  $\mathfrak{D}[j']$  above. If  $j$  is not contained in a joint of  $\tilde{\mathfrak{D}}_k$ , we define  $\mathfrak{D}[j']$  to be the empty set. There are three types of walls  $\mathfrak{d}$  in  $\tilde{\mathfrak{D}}_{k+1}$  containing  $j$ :

- (1)  $\mathfrak{d} \in \tilde{\mathfrak{D}}_k \cup \mathfrak{D}[j']$ .
- (2)  $\mathfrak{d} \in \tilde{\mathfrak{D}}_{k+1} \setminus (\tilde{\mathfrak{D}}_k \cup \mathfrak{D}[j'])$ , but  $j \not\subseteq \partial\mathfrak{d}$ . This type of wall does not contribute to  $\theta_{\gamma_j, \tilde{\mathfrak{D}}_{k+1}} \in G^{\leq k+1}$ , as the associated automorphism is central in  $G^{\leq k+1}$ , and in addition this wall contributes twice to  $\theta_{\gamma_j, \tilde{\mathfrak{D}}_{k+1}}$ , with the two contributions inverse to each other.
- (3)  $\mathfrak{d} \in \tilde{\mathfrak{D}}_{k+1} \setminus (\tilde{\mathfrak{D}}_k \cup \mathfrak{D}[j'])$  and  $j \subseteq \partial\mathfrak{d}$ . Since each added wall is of the form  $j'' - \mathbb{R}_{\geq 0}m$  for some joint  $j''$  of  $\tilde{\mathfrak{D}}_k$ , where  $-m$  is the direction of the wall, the direction of the wall is parallel to  $j$ , contradicting  $j$  being a perpendicular joint. Thus this does not occur.

From this, it is clear that  $\theta_{\gamma_j, \tilde{\mathfrak{D}}_{k+1}} = \theta_{\gamma_j, \tilde{\mathfrak{D}}_k \cup \mathfrak{D}[j']}$ , which is the identity in  $G^{\leq k+1}$  by (1.16). This holds for every perpendicular joint of  $\tilde{\mathfrak{D}}_{k+1}$ .

The result then follows from Lemma 1.18.  $\square$

**Lemma 1.18.** Let  $\mathfrak{D}$  and  $\tilde{\mathfrak{D}}$  be two scattering diagrams for  $N^+, \mathfrak{g}$  such that

- (1)  $\mathfrak{D}$  and  $\tilde{\mathfrak{D}}$  are equivalent to order  $k$ .
- (2)  $\mathfrak{D}$  is consistent to order  $k + 1$ .
- (3)  $\theta_{\gamma_j, \tilde{\mathfrak{D}}}$  is the identity for every perpendicular joint  $j$  of  $\tilde{\mathfrak{D}}$  to order  $k + 1$ .
- (4)  $\mathfrak{D}$  and  $\tilde{\mathfrak{D}}$  have the same set of incoming walls.

Then  $\mathfrak{D}$  and  $\tilde{\mathfrak{D}}$  are equivalent to order  $k + 1$ , and in particular  $\tilde{\mathfrak{D}}$  is consistent to order  $k + 1$ .

*Proof.* We work with scattering diagrams in the group  $G^{\leq k+1}$ . There is a finite scattering diagram  $\mathfrak{D}'$  with the following properties: (1)  $\tilde{\mathfrak{D}} \cup \mathfrak{D}'$  is equivalent to  $\mathfrak{D}$ ; (2)  $\mathfrak{D}'$  consists only of walls trivial to order  $k$  but non-trivial to order  $k + 1$ . Indeed,  $\mathfrak{D}'$  can be chosen so that  $g_x(\mathfrak{D}') = g_x(\tilde{\mathfrak{D}})^{-1}g_x(\mathfrak{D})$  for any general point  $x$  in any  $n^\perp$ ,  $n \in N^+$ . Note that  $\mathfrak{D}'$  is finite because the same is true of  $\mathfrak{D}$  and  $\tilde{\mathfrak{D}}$ .

Thus to show  $\mathfrak{D}$  and  $\tilde{\mathfrak{D}}$  are equivalent, it is sufficient to show that  $\mathfrak{D}'$  is equivalent to the empty scattering diagram. To do so, replace  $\mathfrak{D}'$  with an equivalent scattering diagram with minimal support. Let  $j$  be a perpendicular joint of  $\tilde{\mathfrak{D}} \cup \mathfrak{D}'$ . Then in  $G^{\leq k+1}$ ,  $\text{id} = \theta_{\mathfrak{D}, \gamma_j} = \theta_{\mathfrak{D}', \gamma_j}$ , since  $\theta_{\tilde{\mathfrak{D}}, \gamma_j} = \text{id}$  and automorphisms in  $\mathfrak{D}'$  are central in  $G^{\leq k+1}$ . However, this implies that for each  $n_0 \in N^+$  with  $j \subseteq n_0^\perp$  and  $x, x'$  two points in  $n_0^\perp$  on either side of  $j$ , the automorphisms associated with crossing  $n_0^\perp$  in  $\mathfrak{D}'$  through

either  $x$  or  $x'$  must be the same in order for these two automorphisms to cancel in  $\theta_{\mathfrak{D}', \gamma_j}$ . From this it is easy to see that  $\mathfrak{D}'$  is equivalent to a scattering diagram such that for every wall  $\mathfrak{d} \in \mathfrak{D}'$ , each facet of  $\mathfrak{d}$  is a parallel joint of  $\mathfrak{D}'$ , i.e., the direction  $-p^*(n)$  is tangent to every facet of  $\mathfrak{d}$ . However, such a wall must be incoming, contradicting, if  $\mathfrak{D}'$  is non-empty, the fact that  $\tilde{\mathfrak{D}}$  and  $\mathfrak{D}$  have the same set of incoming walls by assumption.  $\square$

The construction (under a convexity assumption) gives a pair of scattering diagrams living in dual spaces, which we view as a version of mirror symmetry:

**Corollary 1.19.** *Assume  $\mathfrak{g}$  is skew-symmetric and that  $M^+ := p^*(N^+) \subset M_{\mathbb{Q}}$  is contained in the interior of a strictly convex cone in  $M_{\mathbb{R}}$ . Let  $\overline{M} \subset M_{\mathbb{Q}}$  be the subgroup generated by  $M^+$ . In this case  $\mathfrak{g}$  is also graded over  $M^+$ , with*

$$\mathfrak{g}_m := \bigoplus_{n \in N^+, p^*(n)=m} \mathfrak{g}_n.$$

The skew form on  $N$  induces a non-degenerate form on  $\overline{M}$  and  $\mathfrak{g}$  is skew-symmetric (for either grading). Let  $\overline{N}_{\mathbb{R}} := \text{Hom}(\overline{M}, \mathbb{R})$ , so that  $p^*$  induces an isomorphism  $\overline{N}_{\mathbb{R}} \rightarrow \overline{M}_{\mathbb{R}}$ , so we can view  $\overline{N}_{\mathbb{R}}$  as a subspace of  $M_{\mathbb{R}}$ .

Let  $\mathfrak{D} = \{(\mathfrak{d} \subset n_0^\perp, g)\}$  be a consistent scattering diagram for  $N^+, \mathfrak{g}$  in  $M_{\mathbb{R}}$ . Let  $\mathfrak{D}' := \{(\mathfrak{d} \cap \overline{N}_{\mathbb{R}} \subset p^*(n_0)^\perp, g)\}$  (note  $n_0 \in N^+$  so  $0 \neq p^*(n_0) \in M^+$  by assumption). Then  $\mathfrak{D}'$  is a consistent scattering diagram for  $M^+, \mathfrak{g}$  in  $\overline{N}_{\mathbb{R}}$ . This gives bijections between

- (1) Elements of  $G$ .
- (2) Equivalence classes of consistent scattering diagrams for  $N^+, \mathfrak{g}$  in  $M_{\mathbb{R}}$ .
- (3) Equivalence classes of consistent scattering diagrams for  $M^+, \mathfrak{g}$  in  $\overline{N}_{\mathbb{R}}$ .

The bijection induces a bijection on incoming walls.

*Proof.* It is clear from the definitions that  $\theta_{+,-}(\mathfrak{D}') = \theta_{+,-}(\mathfrak{D})$ , and consistency of  $\mathfrak{D}$  implies  $\mathfrak{D}'$  is consistent (viewing a loop in  $\overline{N}_{\mathbb{R}}$  as a loop in  $M_{\mathbb{R}} \supset \overline{N}_{\mathbb{R}}$ ). It is clear from the definition that  $\mathfrak{d}$  is incoming iff  $\mathfrak{d} \cap \overline{M}_{\mathbb{R}}$  is incoming. Now the result follows from Theorem 1.9.  $\square$

*Remark 1.20.* In the cluster cases, which we describe in the next subsection, the scattering diagram in  $M_{\mathbb{R}}$  has a nice chamber structure, which (as we describe in §4) leads to a natural construction of a cluster variety  $\mathcal{A}$ . In general, the corresponding diagram in  $\overline{N}_{\mathbb{R}}$  will have no chamber structure, and in fact the walls can be everywhere dense. With more work one can still use it to build a (in general just formal) log Calabi-Yau variety — the case where  $\{\cdot, \cdot\}$  has rank 2 is closely related to the construction of [GHK11]. (For a description of the connection see [GHK13], §5). In cases where

the Fock-Goncharov conjecture holds, this formal log Calabi-Yau variety extends to an honest cluster variety, the Fock-Goncharov dual  $\mathcal{A}^\vee$  (which we here obtain by a different procedure, as a quotient of  $\mathcal{A}_{\text{prim}}^\vee$ ). In any case we view the corollary as a scattering diagram version of mirror symmetry. In the absence of the holomorphic symplectic form (e.g. for CY 3-folds) there is no analogous relationship between the scattering diagrams associated to mirror manifolds.

**1.2. Scattering diagrams associated to seeds.** While the above discussion is quite general, we will now focus on the precise kind of scattering diagram of interest for studying cluster algebras.

The groups involved will now act as automorphisms on specific  $\mathbb{k}$ -algebras. To make this action precise, suppose given a lattice  $N$  and a convex rational polyhedral cone  $\sigma \subset N_{\mathbb{R}}$  giving a monoid  $P = \sigma \cap M$ . Let  $J = P \setminus P^\times$  be the maximal monoid ideal of  $P$ , and denote also by  $J$  the corresponding monomial ideal of  $\mathbb{k}[P]$ . We denote by  $\widehat{\mathbb{k}[P]}$  the completion of  $\mathbb{k}[P]$  with respect to  $J$ . Let  $M = \text{Hom}(N, \mathbb{Z})$  as usual.

We define the *module of log derivations* of  $\mathbb{k}[P]$  as

$$\Theta(\mathbb{k}[P]) := \mathbb{k}[P] \otimes_{\mathbb{Z}} M,$$

with the action of  $f \otimes m$  on  $\mathbb{k}[P]$  being given by

$$(f \otimes m)(z^n) = f\langle m, n \rangle z^n,$$

so we write  $f \otimes m$  as  $f\partial_m$ . Let  $\widehat{\Theta(\mathbb{k}[P])}$  denote the completion of  $\Theta(\mathbb{k}[P])$  with respect to the ideal  $J$ .

Using this action, if  $\xi \in J\widehat{\Theta(\mathbb{k}[P])}$ , then

$$\exp(\xi) \in \text{Aut}(\widehat{\mathbb{k}[P]})$$

makes sense using the Taylor series for the exponential. We have the Lie bracket

$$[z^n \partial_m, z^{n'} \partial_{m'}] = z^{n+n'} \partial_{\langle m, n' \rangle m' - \langle m', n \rangle m}.$$

Then  $\exp(J\widehat{\Theta(\mathbb{k}[P])})$  can be viewed as a subgroup of the group of continuous automorphisms of  $\widehat{\mathbb{k}[P]}$  which are the identity modulo  $J$ , with the group law of composition coinciding with the group law coming from the Baker-Campbell-Hausdorff formula.

**Construction 1.21** (The fundamental example). Assume given a choice of *fixed data*  $\Gamma$ , as described in Appendix A, as well as a seed  $\mathbf{s} = (e_i)_{i \in I}$  for this fixed data. In particular we have a  $\mathbb{Q}$ -valued skew form  $\{\cdot, \cdot\}$  on  $N$ . Then  $\mathbb{k}[N]$  has a natural Poisson structure defined by

$$\{z^n, z^{n'}\} = \{n, n'\} z^{n+n'}.$$

We take

$$N^+ := N_{\text{uf}, \mathbf{s}}^+ := \left\{ \sum_{i \in I_{\text{uf}}} a_i e_i \mid a_i \geq 0, \sum a_i > 0 \right\},$$

and  $\mathfrak{g} \subset \mathbb{k}[N]$  the vector subspace with basis  $z^n, n \in N^+$ . Define a Lie bracket on  $\mathfrak{g}$  by  $[f, g] = -\{f, g\}$ . Then  $\mathfrak{g}$  is an  $N^+$ -graded skew-symmetric Lie algebra under this Lie bracket.

Note that if  $Q \subset N$  is a submonoid coming from a convex rational polyhedral cone as above, and in addition closed under addition by elements of  $N^+$ , then the group  $G = \exp(\mathfrak{g})$  acts by automorphisms of  $\widehat{\mathbb{k}[Q]}$  via Hamiltonian flow. Explicitly,  $f \in \mathfrak{g}$  acts as the vector field  $\{\cdot, f\}$ . In particular,  $z^n$  acts as the vector field  $\{\cdot, z^n\} = z^n \partial_{p_*(n)}$ , where  $p_*(n) \in M^\circ$  is defined as  $n' \mapsto \{n', n\}$ . (Note that while  $p_*(n)$  lies in  $M^\circ$  rather than the dual lattice  $M$ , one can write  $\partial_{p_*(n)} = a \partial_m$  for some  $a \in \mathbb{Q}$ ,  $m \in M$  with  $am = p_*(n)$ .) We have

$$\begin{aligned} [z^n \partial_{p_*(n)}, z^{n'} \partial_{p_*(n')}] &= z^{n+n'} \partial_{\langle p_*(n), n' \rangle p_*(n') - \langle p_*(n'), n \rangle p_*(n)} \\ &= \{n', n\} z^{n+n'} \partial_{p_*(n+n')} \\ &= \{\cdot, [z^n, z^{n'}]\}. \end{aligned}$$

This explains the change of sign between the Poisson and Lie brackets.

We now use the dilogarithm function

$$\text{Li}_2(x) = \sum_{k \geq 1} \frac{x^k}{k^2}.$$

We apply Theorem 1.7 to

$$\mathfrak{D}_{\text{in}, \mathbf{s}} = \{(e_i^\perp, \exp(-d_i \text{Li}_2(-z^{e_i}))) \mid i \in I_{\text{uf}}\}.$$

This gives a scattering diagram  $\mathfrak{D}_{\mathbf{s}}$  containing  $\mathfrak{D}_{\text{in}, \mathbf{s}}$  which is consistent. This is the scattering diagram canonically associated to the given fixed and seed data.

Note that the group element attached to the wall  $e_i^\perp$  in  $\mathfrak{D}_{\text{in}, \mathbf{s}}$  acts on  $\widehat{\mathbb{k}[Q]}$  as follows. First,

$$\{\cdot, -d_i \text{Li}_2(-z^{e_i})\} = \sum_{k \geq 1} \frac{(-1)^k z^{ke_i} \partial_{kd_i v_i}}{k^2} = -\log(1 + z^{e_i}) \partial_{d_i v_i},$$

where  $v_i = p_1^*(e_i) = -p_*(e_i)$  (see Appendix A for the definition of  $p_1^*$ ). One then checks easily that exponentiating this vector field gives the automorphism

$$z^n \mapsto z^n (1 + z^{e_i})^{-\langle d_i v_i, n \rangle} = z^n (1 + z^{e_i})^{\{n, d_i e_i\}}.$$

Note this agrees with the coordinate-free expression for the inverse of the  $\mathcal{X}$  mutation, see e.g., [GHK13], (2.5).

In the case of an acyclic skew-symmetric seed, the work of Reineke [R10] gives an expression for the scattering diagram in terms of Euler characteristics of moduli of quiver representations, see Proposition 8.28.

While the above construction works in complete generality, we will in fact usually be interested in the  $\mathcal{A}$  cluster variety, and for this, it is useful to have the group  $G$  acting not on an algebra related to  $\mathbb{k}[N]$  but rather an algebra related to  $\mathbb{k}[M^\circ]$ . To achieve this, we need:

**The Fundamental Assumption.** The map  $p_1^* : N_{\text{uf}} \rightarrow M^\circ$  given by  $n \mapsto \{n, \cdot\}$  is injective.

Note in particular that if we fix a seed  $\mathbf{s} = (e_i)_{i \in I}$  and set as usual  $v_i := p_1^*(e_i) = \{e_i, \cdot\}$  for  $i \in I_{\text{uf}}$ , this statement is equivalent to the vectors  $v_i, i \in I_{\text{uf}}$  being linearly independent. However, the statement is independent of the choice of seed.

Under the Fundamental Assumption, we find it convenient to record the group elements attached to walls of the scattering diagram in a different way, to be used throughout the remainder of the paper.

When  $p_1^*$  is injective, one can choose a strictly convex top-dimensional cone  $\sigma \subseteq M_{\mathbb{R}}$ , with associated monoid  $P := \sigma \cap M^\circ$ , such that  $p_1^*(e_i) \in J := P \setminus P^\times$  for all  $i \in I_{\text{uf}}$ . This gives the monomial ideal  $J \subseteq \mathbb{k}[P]$  and completion  $\widehat{\mathbb{k}[P]}$  as before. Define the sub-Lie algebra of  $\Theta(\mathbb{k}[P]) = \mathbb{k}[P] \otimes_{\mathbb{Z}} N^\circ$

$$\mathfrak{g}' := \bigoplus_{n \in N_{\text{uf}}^+} \mathbb{k} z^{p_1^*(n)} \partial_n.$$

We calculate that  $\mathfrak{g}'$  is in fact closed under Lie bracket:

$$\begin{aligned} [z^{p_1^*(n)} \partial_n, z^{p_1^*(n')} \partial_{n'}] &= z^{p_1^*(n+n')} (\langle p_1^*(n'), n \rangle \partial_{n'} - \langle p_1^*(n), n' \rangle \partial_n) \\ &= z^{p_1^*(n+n')} (\{n', n\} \partial_{n'} - \{n, n'\} \partial_n) \\ &= \{n', n\} z^{p_1^*(n+n')} \partial_{n+n'}. \end{aligned}$$

The following is immediate from the definitions and the above calculation:

**Lemma 1.22.** *Assume the Fundamental Assumption.*

- (1) *There is a unique isomorphism of Lie algebras  $\mathfrak{g} \rightarrow \mathfrak{g}'$  ( $\mathfrak{g}$  as in Construction 1.21) sending  $z^n \mapsto z^{p_1^*(n)} \partial_n$ .*
- (2)  *$\mathfrak{g}$  acts on  $\widehat{\mathbb{k}[P]}$  by derivations, and  $G$  acts by  $\mathbb{k}$ -algebra automorphisms of  $\widehat{\mathbb{k}[P]}$ .*
- (3) *These actions are faithful.*

Thus we can view  $G$  as a group of automorphisms of  $\widehat{\mathbb{k}[P]}$ . We can describe the automorphisms explicitly:

**Definition 1.23.** Let  $n_0 \in N_{\text{uf},s}^+$ ,  $m_0 := p_1^*(n_0)$  and  $f = 1 + \sum_{k=1}^{\infty} c_k z^{km_0} \in \widehat{\mathbb{k}[P]}$ . Define  $\theta_f$  to be the automorphism of  $\widehat{\mathbb{k}[P]}$  given by

$$\theta_f(z^m) = f^{(n'_0, m)} z^m,$$

where  $n'_0$  is the generator of the monoid  $\mathbb{R}_{\geq 0} n_0 \cap N^\circ$ .

**Lemma 1.24.** For  $n_0 \in N_{\text{uf},s}^+$ ,  $G_{n_0}^{\parallel} \subset \text{Aut}(\widehat{\mathbb{k}[P]})$  is the collection of automorphisms of the form  $\theta_f$  for  $f$  as in Definition 1.23 with the given  $n_0 \in N_{\text{uf},s}^+$ . In particular, this collection of automorphisms forms a group. More specifically,  $\exp(\sum_{k>0} c_k z^{kp_1^*(n_0)}) \in G_{n_0}^{\parallel}$  acts as the automorphism  $\theta_f$  with  $f = \exp(\sum_{k>0} d^{-1} k c_k z^{kp_1^*(n_0)})$ , where  $d \in \mathbb{Q}$  is the smallest positive rational with  $dn_0 \in N^\circ$ .

*Proof.* Let  $H \subset \text{Aut}(\widehat{\mathbb{k}[P]})$  be the collection of  $\theta_f$  of the given form. Note that under the isomorphism  $\mathfrak{g} \rightarrow \mathfrak{g}'$ , an element  $\sum_{k>0} c_k z^{kn_0} \in \mathfrak{g}_{n_0}^{\parallel}$  is mapped to  $\sum_{k>0} c_k z^{kp_1^*(n_0)} \partial_{kn_0} = (\sum_{k>0} d^{-1} k c_k z^{kp_1^*(n_0)}) \partial_{dn_0}$ , where  $d \in \mathbb{Q}$  is as described in the statement. The exponential of this vector field is easily seen to act as  $\theta_f$  with  $f = \exp(\sum_{k>0} d^{-1} k c_k z^{kp_1^*(n_0)})$ . Hence  $G_{n_0}^{\parallel} \subset H$ . From this, we see also that if  $\log(f) = \sum_{k>0} c_k z^{kp_1^*(n_0)}$ , then  $\theta_f = \exp(\sum_{k>0} \frac{dc_k}{k} z^{kp_1^*(n_0)} \partial_{kn_0})$ , and the latter lies in  $G_{n_0}^{\parallel}$ .  $\square$

**Example 1.25.** Taking  $f = 1 + z^{m_0}$  with  $m_0 = p_1^*(n_0)$ ,  $n'_0 = dn_0$  the primitive generator of  $\mathbb{R}_{\geq 0} n_0 \cap N^\circ$ , the proof of the above lemma shows that

$$\theta_f = \exp \left( \sum_{k>0} \frac{(-1)^{k+1} z^{km_0}}{k^2} \partial_{dkn_0} \right).$$

Using the identification of  $\mathfrak{g}$  and  $\mathfrak{g}'$ , we can write this as

$$\theta_f = \exp(-d \text{Li}_2(-z^{n_0})) \in G_{n_0}^{\parallel}.$$

$\square$

This leads us to recording the data of a scattering diagram for the given  $\mathfrak{g}$  and  $N^+ = N_{\text{uf},s}^+$  as follows. We note that this is how the data of the group element was encoded in scattering diagrams in [KS06] and [GS11].

**Definition 1.26.** Let  $\Gamma, s$  be fixed and seed data respectively, and suppose the Fundamental Assumption holds. A *scattering diagram*  $\mathfrak{D}$  for the data  $\mathfrak{g}', N_{\text{uf},s}^+$  is a collection of walls  $\{(\mathfrak{d}, f_{\mathfrak{d}})\}$  where  $\mathfrak{d}$  is as in Definition 1.1, (2), contained in  $n_0^\perp$  for some  $n_0 \in N_{\text{uf},s}^+$  primitive, and  $f_{\mathfrak{d}} = 1 + \sum_{k>0} c_k z^{kp_1^*(n_0)}$ . Furthermore, the number of walls  $(\mathfrak{d}, f_{\mathfrak{d}})$  in  $\mathfrak{D}$  with  $f_{\mathfrak{d}} \not\equiv 1 \pmod{J^k}$  is finite for any  $k > 0$  (where  $J = P \setminus P^\times$  as usual).

By Lemma 1.24, it is clear that this notion of scattering diagram is equivalent to the notion as given in Definition 1.2. We follow this usage from now on. We note that

with this formalism, then by Example 1.25, we can write the initial scattering diagram of Construction 1.21 as

$$\mathfrak{D}_{\text{in},\mathfrak{s}} := \{(e_i^\perp, 1 + z^{v_i}) \mid i \in I_{\text{uf}}\}.$$

*Remark 1.27.* Note that the automorphism of  $\widehat{\mathbb{k}[P]}$  associated with crossing a wall  $(\mathfrak{d}, f_{\mathfrak{d}})$  along a path  $\gamma$  at time  $t$  can now be described as follows. Choose  $n \in N^\circ$  primitive such that  $\langle n, \mathfrak{d} \rangle = 0$ ,  $\langle n, \gamma'(t) \rangle < 0$ . Then

$$\theta_{\gamma, \mathfrak{d}}(z^m) = z^m f_{\mathfrak{d}}^{\langle n, m \rangle}.$$

The chief advantage of this notation for us is that the crucial positivity result satisfied by  $\mathfrak{D}_{\mathfrak{s}}$  is now easily stated:

**Theorem 1.28.** *The scattering diagram  $\mathfrak{D}_{\mathfrak{s}}$  is equivalent to a scattering diagram all of whose walls  $(\mathfrak{d}, f_{\mathfrak{d}})$  satisfy  $f_{\mathfrak{d}} = (1 + z^m)^c$  for some  $m = p^*(n)$ ,  $n \in N_{\text{uf},\mathfrak{s}}^+$  and  $c$  a positive integer. In particular, all nonzero coefficients of  $f_{\mathfrak{d}}$  are positive integers.*

The proof is given in Appendix C. The basic idea is that the construction of the scattering diagram  $\mathfrak{D}_{\mathfrak{s}}$  can be reduced to repeated applications of the following example:

**Example 1.29.** Take  $d_1, d_2 = 1$  and the skew-symmetric form  $\{\cdot, \cdot\} : N \times N \rightarrow \mathbb{Q}$  given by the matrix  $\epsilon = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ , where  $\epsilon_{ij} = \{e_i, e_j\}$ . Then  $f_1, f_2$  is the dual basis of  $e_1, e_2$ , and we write  $A_1 = z^{f_1}$ ,  $A_2 = z^{f_2}$ . We get

$$\mathfrak{D}_{\text{in},\mathfrak{s}} = \{(e_1^\perp, 1 + A_2), (e_2^\perp, 1 + A_1^{-1})\}.$$

Then one checks easily that

$$\mathfrak{D}_{\mathfrak{s}} = \mathfrak{D}_{\text{in},\mathfrak{s}} \cup \{(\mathbb{R}_{\geq 0}(1, -1), 1 + A_1^{-1}A_2)\}.$$

(See for example [GPS], Example 1.6.)

**Example 1.30.** Take  $d_1 = b$ ,  $d_2 = c$ , where  $b, c$  are two positive integers, and take the skew-symmetric form to be the same as in the previous example. Then  $f_1 = e_1^*/b$ ,  $f_2 = e_2^*/c$ . Taking as before  $A_1 = z^{f_1}$ ,  $A_2 = z^{f_2}$ , we get

$$\mathfrak{D}_{\text{in},\mathfrak{s}} = \{(e_1^\perp, 1 + A_2^c), (e_2^\perp, 1 + A_1^{-b})\}.$$

For most choices of  $b$  and  $c$ , this is a very complicated scattering diagram. A very similar scattering diagram, with functions  $(1 + A_2)^b$  and  $(1 + A_1)^c$ , has been analyzed in [GP10], but it is easy to translate this latter diagram to the one considered here by replacing  $A_1$  by  $A_1^{-1}$  and using the change of lattice trick, which is given in Step IV of the proof of Proposition C.6. All rays of  $\mathfrak{D}_{\mathfrak{s}} \setminus \mathfrak{D}_{\text{in},\mathfrak{s}}$  are contained strictly in the fourth quadrant (i.e., in particular are not contained in an axis). Without giving the details,

we summarize the results. There are two linear operators  $S_1, S_2$  given by the matrices in the basis  $f_1, f_2$  as

$$S_1 = \begin{pmatrix} -1 & -b \\ 0 & 1 \end{pmatrix}, \quad S_2 = \begin{pmatrix} 1 & 0 \\ -c & -1 \end{pmatrix}.$$

Then  $\mathfrak{D}_s \setminus \mathfrak{D}_{\text{in},s}$  is invariant under  $S_1$  and  $S_2$ , in the sense that if  $(\mathfrak{d}, f_{\mathfrak{d}}(z^m)) \in \mathfrak{D}_s \setminus \mathfrak{D}_{\text{in},s}$ , we have  $(S_i(\mathfrak{d}), f_{\mathfrak{d}}(z^{S_i(m)})) \in \mathfrak{D}_s \setminus \mathfrak{D}_{\text{in},s}$  provided  $S_i(\mathfrak{d})$  is contained strictly in the fourth quadrant. It is also the case that applying  $S_2$  to  $(\mathbb{R}_{\geq 0}(1, 0), 1 + A_1^{-b})$  or  $S_1$  to  $(\mathbb{R}_{\geq 0}(0, -1), 1 + A_2^c)$  gives an element of  $\mathfrak{D}_s \setminus \mathfrak{D}_{\text{in},s}$ . Further,  $\mathfrak{D}_s$  contains a discrete series of rays consisting of those rays in the fourth quadrant obtained by applying  $S_1$  and  $S_2$  alternately to the above rays supported on  $\mathbb{R}_{\geq 0}(1, 0)$  and  $\mathbb{R}_{\geq 0}(0, -1)$ . These rays necessarily have functions of the form  $1 + A_1^{-b\alpha} A_2^{-b\beta}$  or  $1 + A_1^{c\alpha} A_2^{c\beta}$  for various choices of  $\alpha$  and  $\beta$ . These rays converge to the rays contained in the two eigenspaces of  $S_1 \circ S_2$  and  $S_2 \circ S_1$ . These are rays of slope  $-(bc \pm \sqrt{bc(bc-4)})/2b$ . This gives a complete description of the rays outside of the cone spanned by these two rays. The expectation is that every ray of rational slope appears in the interior of this cone, and the attached functions are in general unknown. However, in the  $b = c$  case, it is known [R12] that the function attached to the ray of slope  $-1$  is

$$\left( \sum_{k=0}^{\infty} \frac{1}{(b^2 - 2b)k + 1} \binom{(b-1)^2 k}{k} A_1^{-bk} A_2^{bk} \right)^b.$$

The chamber structure one sees outside the quadratic irrational cone is very well-behaved and familiar in cluster algebra theory. In particular, the interiors of the first, second and third quadrants are all connected components of  $M_{\mathbb{R}}^{\circ} \setminus \text{Supp}(\mathfrak{D})$ , and there are for  $bc \geq 4$  an infinite number of connected components in the fourth quadrant. We will see in §2 that this chamber structure is precisely the Fock-Goncharov cluster complex.

On the other hand, it is precisely the rich structure inside the cone which scattering diagram technology brings into the cluster algebra picture.

**Construction 1.31** (The quantum version of the fundamental example). Although we will not pursue it further in this paper, we touch on the quantum version of the above discussion. We will discuss only the quantization of the  $\mathcal{X}$  variety here, as carried out in [FG09], rather than the quantization of the usual cluster algebra carried out in [BZ05] which depends on some additional data, namely a compatible pair.

We assume given fixed data  $\Gamma$  and seed data  $\mathbf{s}$ . Let  $\mathbb{k}(q^{1/D})[N]$  be the non-commutative torus, where  $D = \text{lcm}(d_1, \dots, d_n)$ , with multiplication defined by the relation

$$z^n \cdot z^{n'} = q^{\{n, n'\}} z^{n+n'},$$

so that

$$q^{\{n',n\}} z^n \cdot z^{n'} = q^{\{n,n'\}} z^{n'} \cdot z^n.$$

This comes with a Lie bracket given by the negative of the standard commutator,  $[x, y] = yx - xy$ .

Let  $S \subset \mathbb{k}[q^{\pm 1/D}]$  be the complement of the union of the two prime ideals  $(q^{1/D} - 1)$  and  $(q^{1/D} + 1)$ . Let  $\mathbb{k}_q \subset \mathbb{k}(q^{1/D})$  denote the ring of fractions  $S^{-1}\mathbb{k}[q^{\pm 1/D}]$ . Then define  $\hat{\mathfrak{g}} \subset \mathbb{k}(q^{1/D})[N]$  to be the free  $\mathbb{k}_q$ -submodule with basis  $\{\hat{z}^n \mid n \in N^+ = N_{\text{uf},s}^+\}$  where

$$\hat{z}^n := \frac{z^n}{q - q^{-1}}.$$

This is a Lie subalgebra, as

$$[\hat{z}^n, \hat{z}^{n'}] = \frac{q^{\{n',n\}} - q^{\{n,n'\}}}{q - q^{-1}} \hat{z}^{n+n'},$$

and the coefficient is easily checked to lie in  $\mathbb{k}_q$ . This Lie bracket turns  $\hat{\mathfrak{g}}$  into a skew-symmetric  $N^+$ -graded Lie algebra. There is also a natural Lie algebra homomorphism  $\hat{\mathfrak{g}} \rightarrow \mathfrak{g}$ , the latter as defined in Construction 1.21, given by  $\hat{z}^n \mapsto z^n$ ,  $q^{1/D} \mapsto 1$ .

We obtain a scattering diagram  $\hat{\mathfrak{D}}_s$  by applying Theorem 1.13 with

$$\hat{\mathfrak{D}}_{\text{in},s} := \{(e_i^\perp, \exp(-\text{Li}_2(-z^{e_i}; q^{1/d_i}))) \mid i \in I_{\text{uf}}\}$$

for the formal power series

$$\text{Li}_2(x; q) := \sum_{k \geq 1} \frac{x^k}{k(q^k - q^{-k})}.$$

Note

$$\text{Li}_2(-z^{e_i}; q^{1/d_i}) \in \varprojlim \hat{\mathfrak{g}}/\hat{\mathfrak{g}}^{>k}.$$

Elements of  $\hat{G} = \exp(\hat{\mathfrak{g}})$  act on  $\widehat{\mathbb{k}[q^{\pm 1/D}][Q]}$ , after choosing a monoid  $Q \subseteq N$  as in Construction 1.21. Here  $\widehat{\mathbb{k}[q^{\pm 1/D}][Q]}$  is the completion of a subring of the non-commutative torus  $\mathbb{k}(q^{1/D})[N]$ . This action is by conjugation, i.e.,  $\exp(g)$  acts by

$$z^n \mapsto \exp(-g)z^n \exp(g).$$

(Note that this defines a homomorphism  $\hat{G} \rightarrow \text{Aut}(\widehat{\mathbb{k}[q^{\pm 1/D}][Q]})$  because the Lie bracket has the opposite sign than the commutator.) In particular, it is easy to check that

$$\Psi_{q^{1/d_i}}(z^{e_i}) := \exp(-\text{Li}_2(-z^{e_i}; q^{1/d_i})) = \prod_{a=1}^{\infty} \frac{1}{1 + q^{(2a-1)/d_i} z^{e_i}},$$

and the above conjugation takes the form

$$\begin{aligned} & \Psi_{q^{1/d_i}}(z^{e_i})^{-1} z^{e_j} \Psi_{q^{1/d_i}}(z^{e_i}) \\ = & \begin{cases} (1 + q^{1/d_i} z^{e_i})(1 + q^{3/d_i} z^{e_i}) \cdots (1 + q^{(2\{e_j, e_i\}d_i - 1)/d_i} z^{e_i}) z^{e_j} & \{e_j, e_i\} > 0 \\ (1 + q^{-1/d_i} z^{e_i})^{-1} (1 + q^{-3/d_i} z^{e_i})^{-1} \cdots (1 + q^{(2\{e_j, e_i\}d_i + 1)/d_i} z^{e_i})^{-1} z^{e_j} & \{e_j, e_i\} \leq 0 \end{cases} \end{aligned}$$

These are the inverses to the quantum mutations given in Lemma 3.4 of [FG09], and specialize at  $q = 1$  to the corresponding automorphism of Construction 1.21.

It can be convenient to view this scattering diagram as a diagram in  $\overline{N}$ , via Corollary 1.19. As such, there is a simple generalization of the notion of broken lines as introduced in §3, in which the segments of the broken line are now decorated with monomials in  $\mathbb{k}[q^{\pm 1/d_i}][N]$ . This gives rise to a quantized version of theta functions. However, we could not prove they give (under reasonable assumptions) a basis, because we do not have a suitable positivity statement, a  $q$ -analog of Theorem 1.28. Indeed, Dylan Rupel pointed out that positivity fails for quantum greedy bases [LLRZ14], which allowed us to construct an example of a (non skew-symmetric) cluster algebra with a  $q$ -broken line with a negative coefficient.

**1.3. Mutation invariance of the scattering diagram.** We now study how the scattering diagram  $\mathfrak{D}_s$  constructed from seed data defined in the previous subsection changes under mutation. This is crucial for uncovering the chamber structure of these diagrams and giving the connection with the exchange graph and cluster complex.

Thus let  $k \in I_{\text{uf}}$  and  $\mathbf{s}' = \mu_k(\mathbf{s})$  be the mutated seed. To distinguish the two Lie algebras involved, we write  $\mathfrak{g}_s$  and  $\mathfrak{g}_{s'}$  for the Lie algebras arising from these two different seeds. We recall that the Fundamental Assumption is independent of the choice of seed.

**Definition 1.32.** We set

$$\mathcal{H}_{k,+} := \{m \in M_{\mathbb{R}} \mid \langle e_k, m \rangle \geq 0\}, \quad \mathcal{H}_{k,-} := \{m \in M_{\mathbb{R}} \mid \langle e_k, m \rangle \leq 0\}.$$

For  $k \in I_{\text{uf}}$ , define the piecewise linear transformation  $T_k : M^\circ \rightarrow M^\circ$  by, for  $m \in M^\circ$ ,

$$T_k(m) := \begin{cases} m + v_k \langle d_k e_k, m \rangle & m \in \mathcal{H}_{k,+} \\ m & m \in \mathcal{H}_{k,-}. \end{cases}$$

As we will explain in §2,  $T_k$  is the tropicalisation of  $\mu_k$ . We will write  $T_{k,-}$  and  $T_{k,+}$  to be the linear transformations used to define  $T_k$  in the regions  $\mathcal{H}_{k,-}$  and  $\mathcal{H}_{k,+}$  respectively.

Define the scattering diagram  $T_k(\mathfrak{D}_s)$  to be the scattering diagram obtained by:

- (1) for each wall  $(\mathfrak{d}, f_{\mathfrak{d}}(z^{m_0})) \in \mathfrak{D}_{\mathfrak{s}} \setminus \{\mathfrak{d}_k\}$ , where  $\mathfrak{d}_k := (e_k^\perp, 1 + z^{v_k})$ , we have one or two walls in  $T_k(\mathfrak{D}_{\mathfrak{s}})$  given as

$$(T_k(\mathfrak{d} \cap \mathcal{H}_{k,-}), T_{k,-}(f_{\mathfrak{d}})), \quad (T_k(\mathfrak{d} \cap \mathcal{H}_{k,+}), T_{k,+}(f_{\mathfrak{d}})),$$

throwing out the first or second of these if  $\dim \mathfrak{d} \cap \mathcal{H}_{k,-} < \text{rank } M - 1$  or  $\dim \mathfrak{d} \cap \mathcal{H}_{k,+} < \text{rank } M - 1$ , respectively. Here for  $T : M^\circ \rightarrow M^\circ$  linear, we write  $T(f_{\mathfrak{d}})$  for the formal power series obtained by applying  $T$  to each exponent in  $f_{\mathfrak{d}}$ .

- (2)  $T_k(\mathfrak{D}_{\mathfrak{s}})$  also contains the wall  $\mathfrak{d}'_k := (e_k^\perp, 1 + z^{-v_k})$ .

The main result of this subsection is:

**Theorem 1.33.** *Suppose the Fundamental Assumption is satisfied. Then  $T_k(\mathfrak{D}_{\mathfrak{s}})$  is a consistent scattering diagram for  $\mathfrak{g}_{\mu_k(\mathfrak{s})}$  and  $N_{\text{uf}, \mu_k(\mathfrak{s})}^+$ . Furthermore,  $\mathfrak{D}_{\mu_k(\mathfrak{s})}$  and  $T_k(\mathfrak{D}_{\mathfrak{s}})$  are equivalent.*

The main point in the proof, which is not at all obvious from the definition, is that  $T_k(\mathfrak{D}_{\mathfrak{s}})$  is a scattering diagram for  $\mathfrak{g}_{\mathfrak{s}'}$ ,  $N_{\text{uf}, \mathfrak{s}'}^+$ , where  $\mathfrak{s}' = \mu_k(\mathfrak{s})$ . Formally, consistency will be easy to check using consistency of  $\mathfrak{D}_{\mathfrak{s}}$ . It will follow easily that by construction  $\mathfrak{D}_{\mathfrak{s}'}$  and  $T_k(\mathfrak{D}_{\mathfrak{s}})$  have the same incoming walls, so the theorem will then follow from the uniqueness in Theorem 1.7.

The main problem to overcome is that the functions attached to walls of  $\mathfrak{D}_{\mathfrak{s}}$  and  $\mathfrak{D}_{\mathfrak{s}'}$  live in two different completed monoid rings,  $\widehat{\mathbb{k}[P]}$  and  $\widehat{\mathbb{k}[P']}$ , for  $P$  a monoid chosen to contain  $v_i, i \in I_{\text{uf}}$ , and  $P'$  a monoid chosen to contain  $v'_i, i \in I_{\text{uf}}$ . We need first a common monoid  $\bar{P}$  containing both  $P$  and  $P'$ .

**Definition 1.34.** Let  $\sigma \subseteq M_{\mathbb{R}}^\circ$  be a top-dimensional cone containing  $v_i, i \in I_{\text{uf}}$ , and  $-v_k$ , and choose  $\sigma$  so that  $\mathbb{R}v_k$  is a face of  $\sigma$ . Set  $\bar{P} = \sigma \cap M^\circ$ , and  $J = \bar{P} \setminus (\bar{P} \cap \mathbb{R}v_k)$ .

Given such a choice of  $\bar{P}$ , we can find  $P, P'$  contained in  $\bar{P}$ . However, we have an additional problem that  $\mathfrak{D}_{\mathfrak{s}}$  is not trivial modulo  $J$ . Indeed,  $v_k \notin J$ , while one of the initial walls of  $\mathfrak{D}_{\text{in}, \mathfrak{s}}$  is  $(e_k^\perp, 1 + z^{v_k})$ . In particular, the wall-crossing automorphism associated to

$$\mathfrak{d}_k := (e_k^\perp, 1 + z^{v_k})$$

is not an automorphism of the ring  $\widehat{\mathbb{k}[\bar{P}]}$ , but rather of the localized ring  $\widehat{\mathbb{k}[\bar{P}]}_{1+z^{v_k}}$ . This kind of situation is dealt with in [GS11], see especially §4.3. However the current situation is quite a bit simpler, so we will give the complete necessary arguments here and in Appendix C.

In this situation, define

$$N_{\text{uf},\mathbf{s}}^{+,k} := \left\{ \sum_{i \in I_{\text{uf}}} a_i e_i \mid a_i \in \mathbb{Z}_{\geq 0} \text{ for } i \neq k, a_k \in \mathbb{Z}, \text{ and at least one } a_i \text{ for } i \neq k \text{ non-zero} \right\}.$$

We note that by the definition of the mutated seed  $\mathbf{s}'$ ,  $N_{\text{uf},\mathbf{s}}^{+,k} = N_{\text{uf},\mathbf{s}'}^{+,k}$ , so we indicate it by  $N_{\text{uf}}^{+,k}$ .

We now extend the definition of scattering diagram.

**Definition 1.35.** A *wall* for  $\bar{P}$  and ideal  $J$  is a pair  $(\mathfrak{d}, f_{\mathfrak{d}})$  with  $\mathfrak{d}$  as in Definition 1.1, but with  $n_0 \in N_{\text{uf}}^{+,k}$ , and  $f_{\mathfrak{d}} = 1 + \sum_{k=1}^{\infty} c_k z^{kp^*(n_0)} \in \widehat{\mathbb{k}[\bar{P}]}$  congruent to 1 mod  $J$ . The *slab* for the seed  $\mathbf{s}$  means the pair  $\mathfrak{d}_k = (e_k^{\perp}, 1 + z^{v_k})$ . Note since  $v_k \in \bar{P}^{\times}$  this does not qualify as a wall. Now a scattering diagram  $\mathfrak{D}$  is a collection of walls and possibly this single slab, with the condition that for each  $k > 0$ ,  $f_{\mathfrak{d}} \equiv 1 \pmod{J^k}$  for all but finitely many walls in  $\mathfrak{D}$ .

Note that crossing a wall or slab  $(\mathfrak{d}, f_{\mathfrak{d}})$  now induces an automorphism of  $\widehat{\mathbb{k}[\bar{P}]}_{1+z^{v_k}}$  using the same formula as in Remark 1.27 (with the localization only needed when a slab is crossed).

The following is proved in Appendix C:

**Theorem 1.36.** *There exists a scattering diagram  $\overline{\mathfrak{D}}_{\mathbf{s}}$  in the sense of Definition 1.35 such that*

- (1)  $\overline{\mathfrak{D}}_{\mathbf{s}} \supseteq \mathfrak{D}_{\text{in},\mathbf{s}}$ ,
- (2)  $\overline{\mathfrak{D}}_{\mathbf{s}} \setminus \mathfrak{D}_{\text{in},\mathbf{s}}$  consists only of outgoing walls, and
- (3)  $\theta_{\gamma,\mathfrak{D}}$  as an automorphism of  $\widehat{\mathbb{k}[\bar{P}]}_{1+z^{v_k}}$  only depends on the endpoints of  $\gamma$ .

Furthermore,  $\overline{\mathfrak{D}}_{\mathbf{s}}$  with these properties is unique up to equivalence.

Finally,  $\overline{\mathfrak{D}}_{\mathbf{s}}$  is also a scattering diagram for the data  $\mathfrak{g}_{\mathbf{s}}, N_{\text{uf},\mathbf{s}}^+$ , and as such is equivalent to  $\mathfrak{D}_{\mathbf{s}}$ .

*Remark 1.37.* Note in particular that the theorem implies  $\mathfrak{D}_{\mathbf{s}} \setminus \mathfrak{D}_{\text{in},\mathbf{s}}$  does not contain any walls contained in  $e_k^{\perp}$  besides  $\mathfrak{d}_k$ . Indeed, no wall of  $\overline{\mathfrak{D}}_{\mathbf{s}}$  is contained in  $e_k^{\perp}$ : only the slab  $\mathfrak{d}_k$  is contained in  $e_k^{\perp}$ .

*Proof of Theorem 1.33.* We write  $\mathbf{s}' = \mu_k(\mathbf{s})$ ,  $\mathbf{s}' = (e'_i \mid i \in I)$ .

We first note that we can choose representatives for  $\mathfrak{D}_{\mathbf{s}}, \mathfrak{D}_{\mathbf{s}'}$  which are scattering diagrams in the sense of Definition 1.35, by Theorem 1.36. Furthermore,  $T_k(\mathfrak{D}_{\mathbf{s}})$  is also a scattering diagram in the sense of Definition 1.35: this follows since if  $z^m \in J^i$  for some  $i$ , we also have  $z^{T_k, \pm(m)} \in J^i$ . Thus by the uniqueness statement of Theorem 1.36,  $T_k(\mathfrak{D}_{\mathbf{s}})$  and  $\mathfrak{D}_{\mathbf{s}'}$  are equivalent if (1) these diagrams are equivalent to diagrams which

have the same set of incoming walls; (2)  $T_k(\mathfrak{D}_s)$  is consistent. We carry out these two steps.

*Step I.* Up to equivalence,  $T_k(\mathfrak{D}_s)$  and  $\mathfrak{D}_{s'}$  has the same set of slabs and incoming walls.

If  $\mathfrak{d} \in \mathfrak{D}_s$  is outgoing, the wall  $\mathfrak{d}$  contributes to  $T_k(\mathfrak{D}_s)$  and is also outgoing, so let us consider the incoming walls of  $T_k(\mathfrak{D}_{\text{in},s})$ . Setting  $v'_i = p^*(e'_i)$ , already  $\mathfrak{D}_{\text{in},s'}$  contains the slab

$$((e'_k)^\perp, 1 + z^{v'_k}) = (e_k^\perp, 1 + z^{-v_k}) = \mathfrak{d}'_k,$$

which lies in  $T_k(\mathfrak{D}_{\text{in},s})$  by construction. Next consider the wall  $(e_i^\perp, 1 + z^{v_i})$ , for  $i \neq k$ . We have three cases to consider, based on whether  $\langle v_i, e_k \rangle$  is zero, positive or negative.

First if  $\langle v_i, e_k \rangle = 0$ , then  $T_k$  takes the plane  $e_i^\perp$  to itself (in a piecewise linear way), and  $T_{k,+}(v_i) = T_{k,-}(v_i) = v_i$ . Thus the wall  $(e_i^\perp, 1 + z^{v_i})$  contributes two walls  $(e_i^\perp \cap \mathcal{H}_{k,\pm}, 1 + z^{v_i})$  whose union is the wall  $((e'_i)^\perp, 1 + z^{v_i})$ , as  $e'_i = e_i$  and  $v'_i = v_i$  in this case. Up to equivalence, we can replace these two walls with the single wall  $((e'_i)^\perp, 1 + z^{v_i})$ .

If  $\langle v_i, e_k \rangle > 0$ , then consider the wall

$$\mathfrak{d}_{i,+} := (T_k(\mathcal{H}_{k,+} \cap e_i^\perp), 1 + z^{T_{k,+}(v_i)}) \in T_k(\mathfrak{D}_s).$$

This wall contains the ray  $\mathbb{R}_{\geq 0}T_{k,+}(v_i)$ , so this is an incoming wall. Note that if  $m \in \mathcal{H}_{k,+} \cap e_i^\perp$ , we have, with  $\epsilon$  as given in (A.1),

$$\begin{aligned} \langle e'_i, T_k(m) \rangle &= \langle e_i + [\epsilon_{ik}]_+ e_k, m + v_k \langle d_k e_k, m \rangle \rangle \\ &= \{e_k, e_i\} \langle d_k e_k, m \rangle + d_k \{e_i, e_k\} \langle e_k, m \rangle \\ &= 0. \end{aligned}$$

Thus  $T_k(\mathcal{H}_{k,+} \cap e_i^\perp)$  is a half-space contained in  $(e'_i)^\perp$ , and furthermore  $1 + z^{T_{k,+}(v_i)} = 1 + z^{v'_i}$  since

$$T_k(v_i) = v_i + v_k \epsilon_{ik} = v'_i.$$

Thus we see that the wall  $\mathfrak{d}_{i,+}$  of  $T_k(\mathfrak{D}_{\text{in},s})$  is half of the wall  $((e'_i)^\perp, 1 + z^{v'_i})$  of  $\mathfrak{D}_{\text{in},s'}$ .

If  $\langle v_i, e_k \rangle < 0$ , then the wall  $\mathfrak{d}_{i,-} := (T_k(\mathcal{H}_{k,-} \cap e_i^\perp), 1 + z^{T_{k,-}(v_i)}) \in T_k(\mathfrak{D}_s)$  coincides with  $(\mathcal{H}_{k,-} \cap e_i^\perp, 1 + z^{v_i})$ , and  $\mathcal{H}_{k,-}$  also contains  $\mathbb{R}_{\geq 0}v_i$ , so  $\mathfrak{d}_{i,-}$  is an incoming wall. But also  $v'_i = v_i$ ,  $e'_i = e_i$  in this case. Thus  $\mathfrak{d}_{i,-}$  is again half of the wall  $((e'_i)^\perp, 1 + z^{v'_i})$ .

In summary, we find that after splitting some of the walls of  $\mathfrak{D}_{\text{in},s'}$  in two,  $T_k(\mathfrak{D}_{\text{in},s})$  and  $\mathfrak{D}_{\text{in},s'}$  have the same set of incoming walls, and thus, making a similar change to  $\mathfrak{D}_{s'}$ , we see that  $T_k(\mathfrak{D}_s)$  and  $\mathfrak{D}_{s'}$  have the same set of incoming walls.

*Step II.*  $\theta_{\gamma, T_k(\mathfrak{D}_s)} = \text{id}$  for any loop  $\gamma$  for which this automorphism is defined.

Indeed, the only place a problem can occur is for  $\gamma$  a loop around a joint of  $\mathfrak{D}_s$  contained in the slab  $\mathfrak{d}_k$ , as this is where  $T_k$  fails to be linear. To test this, consider a loop  $\gamma$  around a joint contained in  $\mathfrak{d}_k$ . Assume that it has basepoint in the half-space

$\mathcal{H}_{k,-}$  and is split up as  $\gamma = \gamma_1\gamma_2\gamma_3\gamma_4$ , where  $\gamma_1$  immediately crosses  $\mathfrak{d}_k$ ,  $\gamma_2$  is contained entirely in  $\mathcal{H}_{k,+}$ , crossing all walls of  $\mathfrak{D}_s$  which contain  $j$  and intersect the interior of this half-space,  $\gamma_3$  crosses  $\mathfrak{d}_k$  again, and  $\gamma_4$  then crosses all relevant walls in the half-space  $\mathcal{H}_{k,-}$ .

Let  $\theta_{\mathfrak{d}_k}, \theta_{\mathfrak{d}'_k}$  be the wall-crossing automorphisms for crossing  $\mathfrak{d}_k$  or  $\mathfrak{d}'_k$  passing from  $\mathcal{H}_{k,-}$  to  $\mathcal{H}_{k,+}$ . Then by Remark 1.37,  $\theta_{\gamma_1, \mathfrak{D}_s} = \theta_{\mathfrak{d}_k}$  and  $\theta_{\gamma_3, \mathfrak{D}_s} = \theta_{\mathfrak{d}'_k}^{-1}$ .

Let  $\alpha : \mathbb{k}[M^\circ] \rightarrow \mathbb{k}[M^\circ]$  be the automorphism induced by  $T_{k,+}$ , i.e.,

$$\alpha(z^m) = z^{m+v_k \langle d_k e_k, m \rangle}.$$

Then note that

$$\begin{aligned} \theta_{\gamma_1, T_k(\mathfrak{D}_s)} &= \theta_{\mathfrak{d}'_k} \\ \theta_{\gamma_2, T_k(\mathfrak{D}_s)} &= \alpha \circ \theta_{\gamma_2, \mathfrak{D}_s} \circ \alpha^{-1} \\ \theta_{\gamma_3, T_k(\mathfrak{D}_s)} &= \theta_{\mathfrak{d}'_k}^{-1} \\ \theta_{\gamma_4, T_k(\mathfrak{D}_s)} &= \theta_{\gamma_4, \mathfrak{D}_s}. \end{aligned}$$

Thus to show  $\theta_{\gamma, \mathfrak{D}_s} = \theta_{\gamma, T_k(\mathfrak{D}_s)}$ , it is enough to show that

$$\alpha^{-1} \circ \theta_{\mathfrak{d}'_k} = \theta_{\mathfrak{d}_k}.$$

But

$$\begin{aligned} \alpha^{-1}(\theta_{\mathfrak{d}'_k}(z^m)) &= \alpha^{-1}((1 + z^{-v_k})^{-\langle d_k e_k, m \rangle} z^m) \\ &= (1 + z^{-v_k})^{-\langle d_k e_k, m \rangle} z^{m - v_k \langle d_k e_k, m \rangle} \\ &= z^m (z^{v_k} + 1)^{-\langle d_k e_k, m \rangle} \\ &= \theta_{\mathfrak{d}_k}(z^m), \end{aligned}$$

as desired.  $\square$

**Construction 1.38** (The chamber structure). Suppose given fixed data  $\Gamma$  satisfying the Fundamental Assumption and seed data  $\mathfrak{s}$ . We then obtain for every seed  $\mathfrak{s}'$  obtained from  $\mathfrak{s}$  via mutation a scattering diagram  $\mathfrak{D}_{\mathfrak{s}'}$ . In each case we will choose a representative for the scattering diagram with minimal support.

Note by construction and Remark 1.37, irrespective of the representative of  $\mathfrak{D}_s$  used,  $\mathfrak{D}_s$  contains walls whose union of supports is  $\bigcup_{k \in I_{\text{uf}}} e_k^\perp$ . Furthermore, we have  $\mathcal{C}^\pm \subseteq M_{\mathbb{R}}$  given by (1.8), which can be written more explicitly as

$$\begin{aligned} \mathcal{C}_s^+ &:= \mathcal{C}^+ = \{m \in M_{\mathbb{R}} \mid \langle e_i, m \rangle \geq 0 \quad \forall i \in I_{\text{uf}}\}, \\ \mathcal{C}_s^- &:= \mathcal{C}^- = \{m \in M_{\mathbb{R}} \mid \langle e_i, m \rangle \leq 0 \quad \forall i \in I_{\text{uf}}\}, \end{aligned}$$

Then  $\mathcal{C}_s^\pm$  are the closures of connected components of  $M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D}_s)$ . Similarly, we see that taking  $\mathcal{C}_{\mu_k(s)}^\pm$  to be the chambers where all  $e'_i$  are positive (or negative), we have that  $\mathcal{C}_{\mu_k(s)}^\pm$  is the closure of a connected component of  $M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D}_{\mu_k(s)})$ , so that  $T_k^{-1}(\mathcal{C}_{\mu_k(s)}^\pm)$  is the closure of a connected component of  $M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D}_s)$ . Note that the closures of  $T_k^{-1}(\mathcal{C}_{\mu_k(s)}^+)$  and  $\mathcal{C}_s^+$  have a common codimension one face given by the intersection with  $e_k^\perp$ . This gives rise to the following chamber structure for a subset of  $M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D}_s)$ .

Recall from Appendix A the infinite oriented tree  $\mathfrak{T}$  (or  $\mathfrak{T}_s$ ) used for parameterizing seeds obtained via mutation of  $\mathbf{s}$ . In particular, for any vertex  $v$  of  $\mathfrak{T}$ , there is a simple path from the root vertex to  $v$ , indicating a sequence of mutations  $\mu_{k_1}, \dots, \mu_{k_p}$  and hence a piecewise linear transformation

$$T_v = T_{k_p} \circ \dots \circ T_{k_1} : M_{\mathbb{R}} \rightarrow M_{\mathbb{R}}.$$

Note that  $T_{k_i}$  is defined using the basis vector  $e_{k_i}$  of the seed  $\mu_{k_{i-1}} \circ \dots \circ \mu_{k_1}(\mathbf{s})$ , not the basis vector  $e_{k_i}$  of the original seed  $\mathbf{s}$ . By applying Theorem 1.33 repeatedly, we see that

$$(1.39) \quad T_v(\mathfrak{D}_s) = \mathfrak{D}_{\mathbf{s}_v}$$

(where  $T_v$  applied to the scattering diagram  $\mathfrak{D}_s$  is interpreted as the composition of the actions of each  $T_{k_i}$ ) and

$$\mathcal{C}_v^\pm := T_v^{-1}(\mathcal{C}_{\mathbf{s}_v}^\pm)$$

is the closure of a connected component of  $M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D}_s)$ .

Note that the map from vertices of  $\mathfrak{T}$  to chambers of  $\text{Supp}(\mathfrak{D}_s)$  is never one-to-one. Indeed, if  $v$  is the vertex obtained by following the edge labelled  $k$  twice starting at the root vertex, one checks that  $\mathcal{C}_v^\pm = \mathcal{C}_s^\pm$ , even though  $\mu_k(\mu_k(\mathbf{s})) \neq \mathbf{s}$  (see [GHK13], Remark 2.5).

Thus we have a chamber structure on a subset of  $M_{\mathbb{R}}$ ; in general, the union of the cones  $\mathcal{C}_v^\pm$  do not form a dense subset of  $M_{\mathbb{R}}$ .

Since we will often want to compare various aspects of this geometry for different seeds, we will write the short-hand  $v \in \mathbf{s}$  for an object parameterized by a vertex  $v$  where the root of the tree is labelled with the seed  $\mathbf{s}$ . In particular:

**Definition 1.40.** We write  $\mathcal{C}_{v \in \mathbf{s}}^\pm$  for the chamber of  $\text{Supp}(\mathfrak{D}_s)$  corresponding to the vertex  $v$ . We write  $\Delta_s^\pm$  for the set of chambers  $\mathcal{C}_{v \in \mathbf{s}}^\pm$  for  $v$  running over all vertices of  $\mathfrak{T}_s$ .

## 2. BASICS ON TROPICALISATION AND THE FOCK-GONCHAROV CLUSTER COMPLEX

We now explain that the chamber structure of Remark 1.38 coincides with the Fock-Goncharov cluster complex. To do so, we first recall the basics of tropicalisation.

For a lattice  $N$  with  $M = \text{Hom}(N, \mathbb{Z})$ , let  $Q_{\text{sf}}(N)$  be the subset of elements of the field of fractions of  $\mathbb{k}[M] = H^0(T_N, \mathcal{O}_{T_N})$  which can be expressed as a ratio of Laurent polynomials with non-negative integer coefficients. Then  $Q_{\text{sf}}$  is a semi-field under ordinary multiplication and addition. For any semi-field  $P$ , restriction to the monomials  $M \subset Q_{\text{sf}}(N)$  gives a canonical bijection

$$\text{Hom}_{\text{sf}}(Q_{\text{sf}}(N), P) \rightarrow \text{Hom}_{\text{groups}}(M, P^\times) = N \otimes_{\mathbb{Z}} P^\times$$

where the first Hom is maps of semi-fields,  $P^\times$  means the multiplicative group of  $P$ , and in the last tensor product we mean  $P^\times$  viewed as  $\mathbb{Z}$ -module. Following [FG09] we define the  $P$ -valued points of  $T_N$  to be  $T_N(P) := \text{Hom}_{\text{sf}}(Q_{\text{sf}}(N), P)$ . A *positive* birational map  $\mu : T_N \dashrightarrow T_N$  means a birational map for which the pullback  $\mu^*$  induces an isomorphism on  $Q_{\text{sf}}(N)$ . Obviously it gives an isomorphism on  $P$ -valued points. Thus it makes sense to talk about  $X(P)$  for any variety  $X$  with a positive atlas of tori, for example many of the various flavors of cluster variety.

There are two equally good semi-field structures on  $\mathbb{Z}$ , the max-plus and the min-plus structures. Here addition is either maximum or minimum, and multiplication is addition. We notate these as  $\mathbb{Z}^T$  and  $\mathbb{Z}^t$  respectively, thinking of capital  $T$  for the max-plus tropicalization and little  $t$  for the min-plus tropicalization. We similarly define  $\mathbb{R}^T$  and  $\mathbb{R}^t$ . Thus taking  $P = \mathbb{Z}^T$  or  $\mathbb{Z}^t$ , we obtain the sets of tropical points  $X(\mathbb{Z}^T)$  or  $X(\mathbb{Z}^t)$ . The former is the convention used by Fock and Goncharov in [FG09], so we refer to this as the Fock-Goncharov tropicalization. The latter choice in fact coincides with  $X^{\text{trop}}(\mathbb{Z})$  as defined in [GHK13], Def. 1.7, defined as a subset of the set of discrete valuations. We refer to this as the geometric tropicalization. It will turn out both are useful. There is the obvious isomorphism of semi-fields  $x \mapsto -x$  from  $\mathbb{Z}^T \rightarrow \mathbb{Z}^t$ . This induces a canonical sign-change identification  $i : X(\mathbb{Z}^T) \rightarrow X(\mathbb{Z}^t)$ .

Given a positive birational map  $\mu : T_N \dashrightarrow T_N$ , we use  $\mu^T : N \rightarrow N$  and  $\mu^t : N \rightarrow N$  to indicate the induced maps  $T_N(\mathbb{Z}^T) \rightarrow T_N(\mathbb{Z}^T)$  and  $T_N(\mathbb{Z}^t) \rightarrow T_N(\mathbb{Z}^t)$  respectively. For the geometric tropicalization, this coincides with the map on discrete valuations induced by pullback of functions, see [GHK13], §1. For cluster varieties the two types of tropicalisation are obviously equivalent. The geometric tropicalisation has the advantage that it makes sense for any log Calabi-Yau variety, while the Fock-Goncharov tropicalisation is restricted to (Fock-Goncharov) *positive spaces*, i.e., spaces obtained by gluing together algebraic tori via positive birational maps. We will use both notions,  $X(\mathbb{R}^t)$  because in many cases it is more natural to think in terms of

valuations/boundary divisors, and  $X(\mathbb{R}^T)$  because, as we indicate below, the scattering diagram for building  $\mathcal{A}_{\text{prin}}$  lives naturally in  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$  (because of already established cluster sign conventions).

One computes easily that for the basic mutation

$$(2.1) \quad \mu_{(n,m)} : T_N \dashrightarrow T_N, \quad \mu_{(n,m)}^*(z^{m'}) = z^{m'}(1+z^m)^{\langle m', n \rangle}$$

the Fock-Goncharov tropicalisation is

$$(2.2) \quad \mu_{(n,m)}^T : N = T_N(\mathbb{Z}^T) \rightarrow T_N(\mathbb{Z}^T) = N, \quad x \mapsto x + [\langle m, x \rangle]_+ n$$

while the geometric tropicalisation (see [GHK13], (1.4)) is

$$(2.3) \quad \mu_{(n,m)}^t : N = T_N(\mathbb{Z}^t) \rightarrow T_N(\mathbb{Z}^t) = N, \quad x \mapsto x + [\langle m, x \rangle]_- n.$$

Thus:

**Proposition 2.4.**  $T_k : M^\circ \rightarrow M^\circ$  is the Fock-Goncharov tropicalisation of

$$\mu_{(v_k, d_k e_k)} : T_{M^\circ} \dashrightarrow T_{M^\circ}$$

A rational function  $f$  on a cluster variety  $V$  is called *positive* if its restriction to each seed torus is positive, i.e., can be expressed as a ratio of sums of characters with positive integer coefficients. We can then define its Fock-Goncharov tropicalisation  $f^T : V(\mathbb{R}^T) \rightarrow \mathbb{R}$  by  $f^T(p) = -p(f)$ . On the other hand we have the geometric tropicalisation of any non-zero rational function  $f^t : V(\mathbb{R}^t) \rightarrow \mathbb{R}$  which for each  $v \in V(\mathbb{R}^t)$  has value  $f^t(v) = v(f)$ . Using the identification of  $V(\mathbb{Z}^t)$  with  $V^{\text{trop}}(\mathbb{Z})$ ,  $v$  is interpreted as a valuation and  $f^t(v)$  coincides with  $v(f)$ , the value of  $v$  on  $f$ . We have a commutative diagram

$$(2.5) \quad \begin{array}{ccc} V(\mathbb{R}^T) & \xrightarrow{i} & V(\mathbb{R}^t) \\ f^T \downarrow & & f^t \downarrow \\ \mathbb{R} & \xlongequal{\quad} & \mathbb{R} \end{array}$$

where  $i$  is the canonical isomorphism determined by the sign change isomorphism. The definition of  $f^t$  in terms of valuations extends the definition of  $f^t$ , and hence  $f^T$  via this diagram, to any non-zero rational function. We note that

$$(2.6) \quad \begin{aligned} (z^m)^T(a) &= \langle m, -r(a) \rangle, & m \in M, a \in T_N(\mathbb{Z}^T) \\ (z^m)^t(a) &= \langle m, r(a) \rangle, & m \in M, a \in T_N(\mathbb{Z}^t) \\ (z^m)^T(a) &= (z^m)^t(i(a)) \end{aligned}$$

where

$$(2.7) \quad r : T_N(P) = \text{Hom}_{\text{sf}}(Q_{\text{sf}}(N), P) \rightarrow \text{Hom}_{\text{groups}}(M, P^\times) = N \otimes P^\times$$

is the canonical restriction isomorphism. We will almost always leave  $r, i$  out from the notation.

**Lemma 2.8.** (1) *For a positive Laurent polynomial  $g := \sum_{m \in M} c_m z^m \in Q_{\text{sf}}(N)$  (i.e.,  $c_m \in \mathbb{Z}_{\geq 0}$ ), and  $x \in T_N(\mathbb{R}^T)$*

$$g^T(x) = \min_{m, c_m \neq 0} \langle m, -r(x) \rangle$$

where  $r$  is the canonical isomorphism (2.7).

(2) *If  $v \in T_N^{\text{trop}}(\mathbb{Z})$  is a divisorial discrete valuation, and  $g = \sum c_m z^m$  is any Laurent polynomial (so now  $c_m \in \mathbb{k}$ ), then*

$$v(g) =: g^t(v) = \min_{m, c_m \neq 0} v(z^m) = \min_{m, c_m \neq 0} \langle m, r(v) \rangle.$$

*Proof.* By definition

$$g^T(x) = - \max_{m, c_m \neq 0} \langle m, r(x) \rangle = \min_{m, c_m \neq 0} \langle m, -r(x) \rangle.$$

This gives the first statement. For the second, we can assume  $v \in T_N^{\text{trop}}(\mathbb{Z}) = N$  is primitive, so part of a basis. Then the statement reduces to an obvious statement about the  $X_1$  degree of a linear combination of monomials in  $\mathbb{k}[X_1^{\pm 1}, \dots, X_n^{\pm 1}]$ .  $\square$

Note the mutations  $\mu_{(v_k, d_k e_k)}$  are precisely the mutations between the tori in the atlas for  $\mathcal{A}^\vee$  (see Appendix A for the definition of the Fock-Goncharov dual  $\mathcal{A}^\vee$ , and [GHK13], (2.5) for the mutations between  $\mathcal{X}$  tori in our notation). Thus by Theorem 1.33 and Proposition 2.4, the support of  $\mathfrak{D}_s$  viewed as a subset of  $\mathcal{A}^\vee(\mathbb{R}^T)$  under the identification  $M_{\mathbb{R}, s}^\circ = \mathcal{A}^\vee(\mathbb{R}^T)$  (induced canonically from the open set  $T_{M^\circ, s} \subset \mathcal{A}^\vee$ ) is independent of seed. In particular it makes sense to talk about  $\mathcal{A}^\vee(\mathbb{R}^T) \setminus \text{Supp}(\mathfrak{D}_s)$  as being completely canonically defined without choosing any seed. For any seed the chambers  $\mathcal{C}_s^\pm \subset M_{\mathbb{R}, s}^\circ = \mathcal{A}^\vee(\mathbb{R}^T)$  are connected components of  $\mathcal{A}^\vee(\mathbb{R}^T) \setminus \text{Supp}(\mathfrak{D}_s)$ .

**Lemma 2.9.** *Suppose given fixed data  $\Gamma$  satisfying the Fundamental Assumption and suppose given an initial seed. For a seed  $\mathbf{s} = (e_1, \dots, e_n)$  obtained by mutation from the initial seed, the chamber  $\mathcal{C}_s^+ \subset M_{\mathbb{R}, s}^\circ = \mathcal{A}^\vee(\mathbb{R}^T)$  (also identified with  $\mathcal{A}^\vee(\mathbb{R}^t)$  via  $i$ ) is the Fock-Goncharov cluster chamber associated to  $\mathbf{s}$ , i.e.,*

$$\begin{aligned} \mathcal{C}_s^+ &= \{x \in \mathcal{A}^\vee(\mathbb{R}^T) \mid (z^{e_i})^T(x) \leq 0 \text{ for all } i \in I_{\text{uf}}\} \\ &= \{x \in \mathcal{A}^\vee(\mathbb{R}^t) \mid (z^{e_i})^t(x) \leq 0 \text{ for all } i \in I_{\text{uf}}\}. \end{aligned}$$

*The Fock-Goncharov cluster chambers are the maximal cones of a simplicial fan (of not necessarily strictly convex cones). The set of these maximal cones in  $\mathcal{A}^\vee(\mathbb{R}^T)$  is written as  $\Delta^+$  (equal to  $\Delta_s^+$  for any choice of seed giving an identification of  $\mathcal{A}^\vee(\mathbb{R}^T)$  with  $M_{\mathbb{R}, s}^\circ$ ).*

*Proof.* The identification of the chamber is immediate from the definition. The result then follows from the chamber structure of Remark 1.38 and the fact that the  $T_k$  are the Fock-Goncharov tropicalizations of the mutations  $\mu_k$  for  $\mathcal{A}^\vee$ . It's obvious each maximal cone is simplicial, and each adjacent pair of maximal cones meets along a codimension one face of each. Hence we obtain a simplicial fan.  $\square$

**Construction 2.10.** See Appendix B for a review of the cluster variety with principal coefficients,  $\mathcal{A}_{\text{prin}}$ . Any seed  $\mathbf{s}$  gives rise to a scattering diagram  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  living in

$$\widetilde{M}_{\mathbb{R}, \mathbf{s}}^\circ = (M^\circ \oplus N)_{\mathbb{R}, \mathbf{s}} = (\widetilde{N}^\vee)_{\mathbb{R}, \mathbf{s}}^*,$$

the second equality by Proposition B.2. Indeed in this situation, the Fundamental Assumption is satisfied, since the form  $\{\cdot, \cdot\}$  on  $\widetilde{N} = N \oplus M^\circ$  is non-degenerate (which is the reason we use  $\mathcal{A}_{\text{prin}}$  instead of  $\mathcal{A}$  or  $\mathcal{X}$ ). Indeed, the vectors  $\tilde{v}_i := \{(e_i, 0), \cdot\} = (v_i, e_i)$  are linearly independent. Note by Theorem 1.13,  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  contains the scattering diagram

$$(2.11) \quad \mathfrak{D}_{\text{in}, \mathbf{s}}^{\mathcal{A}_{\text{prin}}} := \{((e_i, 0)^\perp, 1 + z^{(v_i, e_i)}) \mid i \in I_{\text{uf}}\}.$$

Recall from Proposition B.2 that we have a canonical map  $\rho : \mathcal{A}_{\text{prin}}^\vee \rightarrow \mathcal{A}^\vee$  which is defined on cocharacter lattices by the canonical projection  $M^\circ \oplus N \rightarrow M^\circ$ , see (B.4). Thus the tropicalization

$$\rho^T : \mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T) \rightarrow \mathcal{A}^\vee(\mathbb{R}^T)$$

coincides with this projection, which can be viewed as the quotient of an action of translation by  $N$ . By Definition 1.1, walls of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  are of the form  $(n, 0)^\perp$  for  $n \in N_{\text{uf}}^+$ . Thus all walls are invariant under translation by  $N$ , and thus are inverse images of walls under  $\rho^T$ . So even though  $\mathcal{A}$  may not satisfy the Fundamental Assumption necessary to build a scattering diagram, we see that  $\text{Supp}(\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}})$  is the inverse image of a subset of  $\mathcal{A}^\vee(\mathbb{R}^T)$  canonically defined independently of the seed. In particular, note that the Fock-Goncharov cluster chamber in  $\mathcal{A}^\vee(\mathbb{R}^T)$  associated to the seed  $\mathbf{s}$  (where  $(z^{e_i})^T \leq 0$  for all  $i \in I_{\text{uf}}$ ) pulls back to the corresponding Fock-Goncharov cluster chamber in  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$ .

The following was conjectured by Fock and Goncharov, [FG11], §1.5:

**Theorem 2.12.** *For any initial data the Fock-Goncharov cluster chambers in  $\mathcal{A}^\vee(\mathbb{R}^T)$  are the maximal cones of a simplicial fan.*

*Proof.* When the Fundamental Assumption holds, this follows from Lemma 2.9. In particular it holds for  $\mathcal{A}_{\text{prin}}$ . Now the general case follows by the above invariance of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  under the translation by  $N$ .  $\square$

**Example 2.13.** Consider the rank three skew-symmetric cluster algebra given by the matrix

$$\epsilon = \begin{pmatrix} 0 & 2 & -2 \\ -2 & 0 & 2 \\ 2 & -2 & 0 \end{pmatrix}.$$

Then projecting the walls of  $\mathfrak{D}_{\mathbf{s}}^{\text{Aprin}}$  to  $M_{\mathbb{R}}^{\circ}$  via  $\rho^T$ , one obtains a collection of walls in a three-dimensional vector space. One can visualize this by intersecting the walls with the affine hyperplane  $\langle e_1 + e_2 + e_3, \cdot \rangle = 1$ . The collection of resulting rays and lines appears on the first page of [FG11]. While Fock and Goncharov were not aware of scattering diagrams in this context, in fact there the picture represents the same slice of the cluster complex, and hence coincides with the scattering diagram.

The cluster complex in fact fills up the half-space  $\langle e_1 + e_2 + e_3, \cdot \rangle \geq 0$ . There is no path through chambers connecting  $\mathcal{C}_{\mathbf{s}}^{-}$  and  $\mathcal{C}_{\mathbf{s}}^{+}$ .

This example is particularly well-known in cluster theory, and gives the cluster algebra associated with triangulations of the once-punctured torus.

### 3. BROKEN LINES

We will explain how a scattering diagram determines a class of piecewise straight paths which will allow for the construction of theta functions. The notion of broken line was introduced in [G09], and developed from the point of view of defining canonical functions in [CPS] and [GHK11].

We choose fixed data  $\Gamma$  and a seed  $\mathbf{s}$  as described in Appendix A, and assume it satisfies the Fundamental Assumption. This gives rise to the group  $G$  described in Construction 1.21 which acts on  $\widehat{\mathbb{k}[P]}$  as in Lemma 1.22 for a choice of monoid  $P$  containing  $p_1^*(N_{\text{uf}}^+)$ . We assume further that  $P^{\times} = 0$  and  $J = P \setminus \{0\}$  is used to define the completion  $\widehat{\mathbb{k}[P]}$ . The group  $G$  also acts on the rank one free  $\widehat{\mathbb{k}[P]}$ -module  $z^{m_0}\widehat{\mathbb{k}[P]}$  for any  $m_0 \in M^{\circ}$ , with a log derivation  $f\partial_n$  acting on  $z^{m_0}$  as usual to give  $f\langle n, m_0 \rangle z^{m_0}$ .

We then have:

**Definition 3.1.** Let  $\mathfrak{D}$  be a scattering diagram in the sense of Definition 1.26,  $m_0 \in M^{\circ} \setminus \{0\}$  and  $Q \in M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D})$ . A *broken line* for  $m_0$  with endpoint  $Q$  is a piecewise linear continuous proper path  $\gamma : (-\infty, 0] \rightarrow M_{\mathbb{R}} \setminus \text{Sing}(\mathfrak{D})$  with a finite number of domains of linearity. This path comes along with the data of, for each domain of linearity  $L \subseteq (-\infty, 0]$  of  $\gamma$ , a monomial  $c_L z^{m_L} \in \mathbb{k}[M^{\circ}]$ . This data satisfies the following properties:

- (1)  $\gamma(0) = Q$ .
- (2) If  $L$  is the first (and therefore unbounded) domain of linearity of  $\gamma$ , then  $c_L z^{m_L} = z^{m_0}$ .

- (3) For  $t$  in a domain of linearity  $L$ ,  $\gamma'(t) = -m_L$ .  
(4) Let  $t \in (-\infty, 0)$  be a point at which  $\gamma$  is not linear, passing from domain of linearity  $L$  to  $L'$ . Let

$$\mathfrak{D}_t = \{(\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D} \mid \gamma(t) \in \mathfrak{d}\}.$$

Then  $c_{L'} z^{m_{L'}}$  is a term in the formal power series  $\theta_{\gamma|_{(t-\epsilon, t+\epsilon)}, \mathfrak{D}_t}(c_L z^{m_L})$ .

*Remark 3.2.* Note that since a broken line does not pass through a singular point of  $\mathfrak{D}$ , we can write

$$\theta_{\gamma|_{(t-\epsilon, t+\epsilon)}, \mathfrak{D}_t}(c_L z^{m_L}) = c_L z^{m_L} \prod_{(\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D}_t} f_{\mathfrak{d}}^{\langle n_0, m_L \rangle},$$

where  $n_0 \in N^\circ$  is primitive, vanishes on each  $\mathfrak{d} \in \mathfrak{D}_t$ , and  $\langle n_0, m_L \rangle$  is positive by item (3) of the definition of broken line. It is an important feature of broken lines that we never need to divide.

**Definition 3.3.** Let  $\mathfrak{D}$  be a scattering diagram,  $m_0 \in M^\circ \setminus \{0\}$ ,  $Q \in M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D})$ . For a broken line  $\gamma$  for  $m_0$  with endpoint  $Q$ , define

$$I(\gamma) = m_0,$$

( $I$  is for initial),

$$b(\gamma) = Q,$$

and

$$\text{Mono}(\gamma) = c(\gamma) z^{F(\gamma)}$$

to be the monomial attached to the final ( $F$  is for final) domain of linearity of  $\gamma$ . Define

$$\vartheta_{Q, m_0} = \sum_{\gamma} \text{Mono}(\gamma),$$

where the sum is over all broken lines for  $m_0$  with endpoint  $Q$ .

For  $m_0 = 0$ , we define for any endpoint  $Q$

$$\vartheta_{Q, 0} = 1.$$

In general,  $\vartheta_{Q, m_0}$  is an infinite sum, but makes sense formally:

**Proposition 3.4.**  $\vartheta_{Q, m_0} \in z^{m_0} \widehat{\mathbb{k}[P]}$ .

*Proof.* It is clear by construction that for any broken line  $\gamma$  with  $I(\gamma) = m_0$ , we have  $\text{Mono}(\gamma) \in z^{m_0} \mathbb{k}[P]$ . So it is enough to show that for any  $k > 0$ , there are only a finite number of broken lines  $\gamma$  such that  $I(\gamma) = m_0$ ,  $b(\gamma) = Q$ , and  $\text{Mono}(\gamma) \notin z^{m_0} J^k$ .

First note by the assumption that  $J = P \setminus \{0\}$ , there are only a finite number of choices for  $F(\gamma)$  such that  $\text{Mono}(\gamma) \notin z^{m_0} J^k$ . Fix a choice  $m$  for  $F(\gamma)$ . Second, to test that there are finitely many broken lines with  $I(\gamma) = m_0$ ,  $b(\gamma) = Q$  and  $F(\gamma) = m$ ,

we can throw out any wall  $\mathfrak{d} \in \mathfrak{D}$  with  $f_{\mathfrak{d}} \equiv 1 \pmod{J^k}$ , so we can assume  $\mathfrak{D}$  is finite. Third, no broken line  $\gamma$  with  $\text{Mono}(\gamma) \notin z^{m_0} J^k$  can bend more than  $k$  times. Thus there are only a finite number of possible ordered sequences of walls  $\mathfrak{d}_1, \dots, \mathfrak{d}_s$  at which  $\gamma$  can bend. Fix one such sequence. One then sees there are at most a finite number of broken lines with  $b(\gamma) = Q$ ,  $F(\gamma) = m$  bending at  $\mathfrak{d}_1, \dots, \mathfrak{d}_s$ . Indeed, one can start at  $Q$  and trace a broken line backwards, using that the final direction is  $-m$ . Crossing a wall  $\mathfrak{d}_i$  and passing from domain of linearity  $L$  (for smaller  $t$ ) to domain of linearity  $L'$  (for larger  $t$ ), one sees that knowing the monomial attached to  $L'$  restricts the choices of monomial on  $L$  to a finite number of possibilities. This shows the desired finiteness.  $\square$

The most important general feature of broken lines is the following:

**Theorem 3.5.** *Let  $\mathfrak{D}$  be a consistent scattering diagram,  $m_0 \in M^\circ \setminus \{0\}$ ,  $Q, Q' \in M_{\mathbb{R}} \setminus \text{Supp}(\mathfrak{D})$  two points with all coordinates irrational. Then for any path  $\gamma$  with endpoints  $Q$  and  $Q'$  for which  $\theta_{\gamma, \mathfrak{D}}$  is defined, we have*

$$\vartheta_{Q', m_0} = \theta_{\gamma, \mathfrak{D}}(\vartheta_{Q, m_0}).$$

*Proof.* This is a special case of results of §4 of [CPS]. The condition that  $Q$  and  $Q'$  both have irrational coordinates guarantees that we don't have to worry about broken lines which pass through joints (which we aren't allowing).  $\square$

Let us next consider how broken lines change under mutation. So let  $\mathfrak{s}$  be a seed,  $\bar{P}$  as in Definition 1.34.

**Proposition 3.6.**  *$T_k$  defines a one-to-one correspondence between broken lines for  $m_0$  with endpoint  $Q$  for  $\mathfrak{D}_{\mathfrak{s}}$  and broken lines for  $T_k(m_0)$  with endpoint  $T_k(Q)$  for  $\mathfrak{D}_{\mu_k(\mathfrak{s})}$ . In particular, depending on whether  $Q \in \mathcal{H}_{k,+}$  or  $\mathcal{H}_{k,-}$ , we have*

$$\vartheta_{T_k(Q), T_k(m_0)}^{\mu_k(\mathfrak{s})} = T_{k, \pm}(\vartheta_{Q, m_0}^{\mathfrak{s}}),$$

where the superscript indicates which scattering diagram is used to define the theta function, and  $T_{k, \pm}$  acts linearly on the exponents in  $\vartheta_{Q, m_0}^{\mathfrak{s}}$ .

*Remark 3.7.* By the proposition and Proposition 2.4, when the Fundamental Assumption holds, broken lines make sense in  $\mathcal{A}^\vee(\mathbb{R}^T)$  independent of a choice of seed.

*Proof.* Given a broken line  $\gamma$  for  $\mathfrak{D}_{\mathfrak{s}}$ , we define  $T_k(\gamma)$  to have underlying map  $T_k \circ \gamma : (-\infty, 0] \rightarrow M_{\mathbb{R}}$ . Subdivide domains of linearity of  $\gamma$  so that we can assume any domain of linearity  $L$  satisfies  $\gamma(L) \subseteq \mathcal{H}_{k,+}$  or  $\mathcal{H}_{k,-}$ . In the two cases, the attached monomial  $c_L z^{m_L}$  becomes  $c_L z^{T_{k,+}(m_L)}$  or  $c_L z^{T_{k,-}(m_L)}$  respectively. We show that  $T_k(\gamma)$  is a broken line for  $T_k(m_0)$  with endpoint  $T_k(Q)$ , with respect to the scattering diagram  $\mathfrak{D}_{\mu_k(\mathfrak{s})}$ , which is equal to  $T_k(\mathfrak{D}_{\mathfrak{s}})$ , by Theorem 1.33. Indeed, the only thing to do is to analyze

what happens when  $\gamma$  crosses  $e_k^\perp$ . So suppose in passing from a domain of linearity  $L_1$  to a domain of linearity  $L_2$ ,  $\gamma$  crosses  $e_k^\perp$ , so that  $c_{L_2} z^{m_{L_2}}$  is a term in

$$c_{L_1} z^{m_{L_1}} (1 + z^{v_k})^{\langle d_k e_k, m_{L_1} \rangle}.$$

Assume first that  $\gamma$  passes from  $\mathcal{H}_{k,-}$  to  $\mathcal{H}_{k,+}$ . Then  $c_{L_2} z^{T_{k,+}(m_{L_2})}$  is a term in

$$\begin{aligned} c_{L_1} z^{T_{k,+}(m_{L_1})} (1 + z^{v_k})^{-\langle d_k e_k, m_{L_1} \rangle} &= c_{L_1} z^{m_{L_1} + \langle d_k e_k, m_{L_1} \rangle v_k} (1 + z^{v_k})^{-\langle d_k e_k, m_{L_1} \rangle} \\ &= c_{L_1} z^{m_{L_1}} (1 + z^{-v_k})^{-\langle d_k e_k, m_{L_1} \rangle}, \end{aligned}$$

showing that  $T_k(\gamma)$  satisfies the correct rules for bending as it crosses the slab  $\mathfrak{d}'_k = (e_k^\perp, 1 + z^{-v_k})$  of  $T_k(\mathfrak{D}_s)$ .

If instead  $\gamma$  crosses from  $\mathcal{H}_{k,+}$  to  $\mathcal{H}_{k,-}$ , then  $c_{L_2} z^{T_{k,-}(m_{L_2})} = c_{L_2} z^{m_{L_2}}$  is a term in

$$\begin{aligned} c_{L_1} z^{T_{k,-}(m_{L_1})} (1 + z^{v_k})^{\langle d_k e_k, m_{L_1} \rangle} &= c_{L_1} z^{m_{L_1} + \langle d_k e_k, m_{L_1} \rangle v_k} (1 + z^{-v_k})^{\langle d_k e_k, m_{L_1} \rangle} \\ &= c_{L_1} z^{T_{k,+}(m_{L_1})} (1 + z^{-v_k})^{\langle d_k e_k, m_{L_1} \rangle}, \end{aligned}$$

so again  $T_k(\gamma)$  satisfies the bending rule at the slab  $\mathfrak{d}'_k$ .

The map  $T_k$  on broken lines is then shown to be a bijection by observing  $T_k^{-1}$ , similarly defined, is the inverse to  $T_k$  on the set of broken lines.  $\square$

The following, which shows that cluster variables are theta functions, is the key observation for proving positivity of the Laurent phenomenon.

**Proposition 3.8.** *Let  $Q \in \text{Int}(\mathcal{C}_s^+)$  be a base-point and let  $m \in \mathcal{C}_s^+ \cap M^\circ$ . Then  $\vartheta_{Q,m} = z^m$ .*

*Proof.* This says the only broken line with asymptotic direction  $m$  and basepoint  $Q$  is  $Q + \mathbb{R}_{\geq 0} m$ , with attached monomial  $z^m$ . To see this, suppose we are given a broken line  $\gamma : (-\infty, 0] \rightarrow M_{\mathbb{R}}$  with asymptotic direction  $m$  which bends successively at walls  $\mathfrak{d}_1, \dots, \mathfrak{d}_q$ . For each  $i$ , there is an  $n_i \in N_{\text{uf}}^+$  such that  $\mathfrak{d}_i \subseteq n_i^\perp$ . Multiplying  $n_i$  by a positive integer if necessary, we can assume that the monomial attached to  $\gamma$  upon crossing the wall  $\mathfrak{d}_i$  changes by a factor  $c_i z^{p^*(n_i)}$ . Now if  $L_i \subseteq M_{\mathbb{R}}$  is the image of the  $i^{\text{th}}$  linear segment of  $\gamma$ , we show inductively that

$$L_{i+1} \subseteq H_i = \left\{ m \mid \left\langle \sum_{j=1}^i n_j, m \right\rangle \leq 0 \right\}.$$

Indeed,  $L_1 = q + \mathbb{R}_{\geq 0} m$  for some  $q$ , so initially  $L_1$  is contained on the positive side of  $n_1^\perp$ , i.e.,  $n_1$  is positive on  $L_1$ , and hence after bending at  $n_1^\perp$ , we see  $L_2 \subseteq H_1$ . Next, assume true for  $i = k - 1$ . Then  $L_k \subseteq H_{k-1}$ , and if  $t_k$  is the time when  $\gamma$  bends at the wall  $\mathfrak{d}_k$ , we have  $\langle n_k, \gamma(t_k) \rangle = 0$  and  $\langle \sum_{j=1}^{k-1} n_j, \gamma(t_k) \rangle \leq 0$  by the induction

hypothesis. Thus  $\langle \sum_{j=1}^k n_j, \gamma(t_k) \rangle \leq 0$ . In addition, the derivative  $\gamma'$  of  $\gamma$  along  $L_{k+1}$  is  $-m - \sum_{j=1}^k p^*(n_j)$ , and

$$\begin{aligned} \left\langle \sum_{j=1}^k n_j, -m - \sum_{j=1}^k p^*(n_j) \right\rangle &= - \left\langle \sum_{j=1}^k n_j, m \right\rangle - \left\langle \sum_{j=1}^k n_j, \sum_{j=1}^k n_j \right\rangle \\ &= - \left\langle \sum_{j=1}^k n_j, m \right\rangle \leq 0 \end{aligned}$$

by skew-symmetry of  $\{\cdot, \cdot\}$  and  $m \in \mathcal{C}^+$ . Thus  $L_{k+1} \subseteq \gamma(t_k) - \mathbb{R}_{\geq 0}(m + \sum_{j=1}^k p^*(n_j)) \subseteq H_k$ .

Since  $\text{Int}(\mathcal{C}_s^+) \cap H_i = \emptyset$  for all  $i$ , any broken line with asymptotic direction  $m$  which bends cannot terminate at the basepoint  $Q \in \text{Int}(\mathcal{C}_s^+)$ . This shows that there is only one broken line for  $m$  terminating at  $Q \in \text{Int}(\mathcal{C}_s^+)$ .  $\square$

#### 4. BUILDING $\mathcal{A}$ FROM THE SCATTERING DIAGRAM AND POSITIVITY OF THE LAURENT PHENOMENON

Throughout this section we work with initial data  $\Gamma$  satisfying the Fundamental Assumption, so we obtain the chamber structure from  $\mathfrak{D}_s$  described in Construction 1.38. In particular, this condition holds for initial data  $\Gamma_{\text{prin}}$ , see Appendix B.

In what follows, we will often want to deal with multiple copies of  $N, M$  etc. indexed either by vertices  $v$  of  $\mathfrak{T}_s$  or chambers  $\sigma \in \Delta_s^+$ . To distinguish these (identical) copies, we will use subscripts  $v$  or  $\sigma$ , e.g., the scattering diagram  $\mathfrak{D}_{s_v}$  lives in  $M_{\mathbb{R}, v}^\circ$ , and chambers in  $\mathfrak{D}_{s_v}$  give, under the identification  $M_{\mathbb{R}, s_v}^\circ = \mathcal{A}^\vee(\mathbb{R}^T)$ , the Fock-Goncharov cluster complex, by Lemma 2.9. In particular the chambers of  $\mathfrak{D}_{s_v}$  and  $\mathfrak{D}_{s_{v'}}$  are in canonical bijection.

**Construction 4.1.** Fix a seed  $s$ . We use the cluster chambers to build a positive space. We attach a copy of the torus  $T_{N^\circ, \sigma} := T_{N^\circ}$  to each chamber  $\sigma \in \Delta_s^+$ .

Given any two chambers  $\sigma', \sigma$  of  $\Delta_s^+$ , we can choose a path  $\gamma$  from  $\sigma'$  to  $\sigma$ . We then get an automorphism  $\theta_{\gamma, \mathfrak{D}} : \widehat{\mathbb{k}[P]} \rightarrow \widehat{\mathbb{k}[P]}$  which is independent of choice of path. If we choose the path to lie in the support of the cluster complex, then by Remark 1.37 (which shows in particular that the scattering functions on walls of the cluster complex are polynomials, as opposed to formal power series), the wall crossings give birational maps of the torus and hence we can view  $\theta_{\gamma, \mathfrak{D}}$  as giving a well-defined map of fields of fractions

$$\theta_{\gamma, \mathfrak{D}} : \mathbb{k}(M^\circ) \rightarrow \mathbb{k}(M^\circ).$$

This induces a birational map

$$\theta_{\sigma, \sigma'} : T_{N^\circ, \sigma} \dashrightarrow T_{N^\circ, \sigma'}$$

which is in fact positive.

We can then construct a space  $\mathcal{A}'_{\mathbf{s}}$  by gluing together all the tori  $T_{N^\circ, \sigma}$ ,  $\sigma \in \Delta_{\mathbf{s}}^+$  via these birational maps, see Proposition 2.4 of [GHK13]. We call this space (with its atlas of tori)  $\mathcal{A}'_{\mathbf{s}}$ .

We write  $T_{N^\circ, \sigma \in \mathbf{s}} := T_{N^\circ, \sigma}$  if we need to make clear which seed  $\mathbf{s}$  is being used.

We check first that mutation equivalent seeds give canonically isomorphic spaces.

We recall first something of the construction of  $\mathcal{A}$ . Fix a seed  $\mathbf{s}$ . Then we have positive spaces

$$\mathcal{A}_{\mathbf{s}} = \bigcup_v T_{N^\circ, v}, \quad \mathcal{A}_{\mathbf{s}}^\vee = \bigcup_v T_{M^\circ, v},$$

where each atlas is parameterized by vertices  $v$  of the infinite tree  $\mathfrak{T}_{\mathbf{s}}$ . We write e.g.,  $T_{M^\circ, v \in \mathbf{s}} \subset \mathcal{A}_{\mathbf{s}}^\vee$  for the open subset parameterized by  $v$ . If we obtain a seed  $\mathbf{s}' = \mathbf{s}_v$  by mutation from  $\mathbf{s}$ , then we can think of the tree  $\mathfrak{T}_{\mathbf{s}'}$  as a subtree of  $\mathfrak{T}_{\mathbf{s}}$  rooted at  $v$ , and thus we obtain natural open immersions

$$(4.2) \quad \mathcal{A}_{\mathbf{s}'} \hookrightarrow \mathcal{A}_{\mathbf{s}}, \quad \mathcal{A}_{\mathbf{s}'}^\vee \hookrightarrow \mathcal{A}_{\mathbf{s}}^\vee.$$

These are easily seen to be isomorphisms. Under this immersion, the open cover of  $\mathcal{A}_{\mathbf{s}'}$  is identified canonically with the subcover of  $\mathcal{A}_{\mathbf{s}}$  indexed by vertices of  $\mathfrak{T}_{\mathbf{s}'}$  (but in either atlas there are many tori identified with the same open set of the union). Because of this we view  $\mathcal{A}$  as independent of the choice of seed in a given mutation equivalence class.

Given vertices  $v, v'$  of  $\mathfrak{T}_{\mathbf{s}}$ , we have birational maps

$$\mu_{v, v'} : T_{N^\circ, v} \dashrightarrow T_{N^\circ, v'}, \quad \mu_{v, v'} : T_{M^\circ, v} \dashrightarrow T_{M^\circ, v'}$$

induced by the inclusions  $T_{N^\circ, v}, T_{N^\circ, v'} \subseteq \mathcal{A}_{\mathbf{s}}$  and  $T_{M^\circ, v}, T_{M^\circ, v'} \subseteq \mathcal{A}_{\mathbf{s}}^\vee$  respectively.

In what follows, we use the same notation for the restriction of a piecewise linear map to a maximal cone on which it is linear and the unique linear extension of this restriction to the ambient vector space.

**Proposition 4.3.** *Let  $\mathbf{s}$  be a seed. Let  $v$  be the root of  $\mathfrak{T}_{\mathbf{s}}$ ,  $v'$  any other vertex. Consider the Fock-Goncharov tropicalisation  $\mu_{v', v}^T : M_{v'}^\circ \rightarrow M_v^\circ$  of  $\mu_{v', v} : T_{M^\circ, v'} \dashrightarrow T_{M^\circ, v}$ . Its restriction  $\mu_{v', v}^T|_{\sigma'}$  to each cluster chamber  $\sigma' \in \Delta_{\mathbf{s}_{v'}}^+$  is a linear isomorphism onto the corresponding chamber  $\sigma := \mu_{v', v}^T(\sigma') \in \Delta_{\mathbf{s}}^+$ . The linear map*

$$\mu_{v', v}^T|_{\sigma'} : M_{\sigma' \in \mathbf{s}_{v'}}^\circ \rightarrow M_{\sigma \in \mathbf{s}}^\circ$$

*induces an isomorphism*

$$T_{v', \sigma} : T_{N^\circ, \sigma \in \mathbf{s}} \rightarrow T_{N^\circ, \sigma' \in \mathbf{s}_{v'}}.$$

*These glue to give an isomorphism of positive spaces  $\mathcal{A}'_{\mathbf{s}} \rightarrow \mathcal{A}'_{\mathbf{s}_{v'}}$ .*

In view of the proposition, we can view  $\mathcal{A}' = \mathcal{A}'_{\mathbf{s}}$  as independent of the seed in a given mutation class.

*Proof.* It is enough to treat the case where  $v'$  is adjacent to  $v$  via an edge labelled with  $k \in I_{\text{uf}}$ , so that  $\mathbf{s}' := \mathbf{s}_{v'} = \mu_k(\mathbf{s})$ . Indeed, in general  $\mu_{v',v}$  is the inverse of a composition of mutations  $\mu_{k_p} \circ \cdots \circ \mu_{k_1}$ . Note in this case  $\mu_{v',v}^T = T_k^{-1}$  by Proposition 2.4, the definition of  $\mathcal{A}^\vee$  in Appendix A, and the formula for the  $\mathcal{X}$ -cluster mutation  $\mu_k$  (see e.g., [GHK13], (2.5)). So

$$T_{v',\sigma} : T_{N^\circ, \sigma \in \mathbf{s}} \rightarrow T_{N^\circ, T_k(\sigma) \in \mathbf{s}'}$$

is the isomorphism determined by the linear map  $T_k^{-1}|_\sigma$ . The proposition amounts to showing commutativity of the diagram, for  $\sigma, \tilde{\sigma} \in \Delta_{\mathbf{s}}^+$ ,  $\sigma' = T_k(\sigma)$ ,  $\tilde{\sigma}' = T_k(\tilde{\sigma})$ ,

$$\begin{array}{ccc} T_{N^\circ, \sigma \in \mathbf{s}} & \xrightarrow{T_{v',\sigma}} & T_{N^\circ, \sigma' \in \mathbf{s}'} \\ \theta_{\sigma, \tilde{\sigma}} \downarrow & & \downarrow \theta_{\sigma', \tilde{\sigma}'} \\ T_{N^\circ, \tilde{\sigma} \in \mathbf{s}} & \xrightarrow{T_{v', \tilde{\sigma}}} & T_{N^\circ, \tilde{\sigma}' \in \mathbf{s}'} \end{array}$$

where in the left column  $\theta$  indicates wall crossings in  $\mathfrak{D}_{\mathbf{s}}$  while in the right column the wall crossings are in  $\mathfrak{D}_{\mathbf{s}'}$ .

If  $\sigma$  and  $\tilde{\sigma}$  are on the same side of the wall  $e_k^\perp$ , then commutativity follows immediately from Theorem 1.33. So we can assume that  $\sigma$  and  $\tilde{\sigma}$  are adjacent chambers separated by the wall  $e_k^\perp$ , and further without loss of generality that  $e_k$  is non-negative on  $\sigma$ . Now by Remark 1.37 there is only one wall of  $\mathfrak{D}_{\mathbf{s}}$  ( $\mathfrak{D}_{\mathbf{s}'}$ ) contained in  $e_k^\perp$ , with support  $e_k^\perp$  itself and attached function  $1 + z^{v_k}$  (resp.  $1 + z^{-v_k}$ ). Now it is a simple calculation:

$$\begin{aligned} T_{v',\sigma}^*(\theta_{\sigma',\tilde{\sigma}'}^*(z^m)) &= T_{v',\sigma}^*(z^m(1 + z^{-v_k})^{-\langle d_k e_k, m \rangle}) \\ &= z^{m-v_k \langle d_k e_k, m \rangle} (1 + z^{-v_k})^{-\langle d_k e_k, m \rangle} \\ &= z^m (1 + z^{v_k})^{-\langle d_k e_k, m \rangle} \\ &= \theta_{\sigma, \tilde{\sigma}}^*(T_{v',\tilde{\sigma}}^*(z^m)), \end{aligned}$$

This gives the desired commutativity.  $\square$

Next we explain how to identify  $\mathcal{A}'$  with  $\mathcal{A}$ .

Recall for each vertex  $v$  of  $\mathfrak{T}_{\mathbf{s}}$  there is an associated chamber  $\mathcal{C}_v^+ \in \Delta_{\mathbf{s}}^+$  in the cluster complex. While the atlas for  $\mathcal{A}'_{\mathbf{s}}$  is parameterized by chambers of  $\Delta_{\mathbf{s}}^+$ , we can use a more redundant atlas indexed by vertices of  $\mathfrak{T}_{\mathbf{s}}$ , equating  $T_{N^\circ, v}$  with  $T_{N^\circ, \mathcal{C}_v^+}$ . The open sets and the gluing maps in this redundant atlas are the same as in the original, but in the redundant atlas a given open set might be repeated many times.

**Theorem 4.4.** *Fix a seed  $\mathfrak{s}$ . Let  $v$  be the root of  $\mathfrak{T}_{\mathfrak{s}}$ ,  $v'$  any other vertex. Let  $\psi_{v,v'}^* : M_{v'}^\circ \rightarrow M_v^\circ$  be the linear map  $\mu_{v,v'}^T|_{C_{v' \in \mathfrak{s}}^+}$ . Let  $\psi_{v,v'} : T_{N^\circ,v'} \rightarrow T_{N^\circ,v}$  be the associated map of tori. These glue to give an isomorphism of positive spaces*

$$\mathcal{A}_{\mathfrak{s}} := \bigcup_{v'} T_{N^\circ,v'} \rightarrow \mathcal{A}'_{\mathfrak{s}} := \bigcup_{v'} T_{N^\circ,v'}.$$

Furthermore, the diagram

$$(4.5) \quad \begin{array}{ccc} \mathcal{A}_{\mathfrak{s}} & \longrightarrow & \mathcal{A}'_{\mathfrak{s}} \\ \downarrow & & \downarrow \\ \mathcal{A}_{\mathfrak{s}_{v'}} & \longrightarrow & \mathcal{A}'_{\mathfrak{s}_{v'}} \end{array}$$

is commutative, where the right-hand vertical map is the isomorphism of Proposition 4.3, the left-hand vertical map the isomorphism given in (4.2), and the horizontal maps are the isomorphisms just described.

*Proof.* Let  $v', v'' \in \mathfrak{T}_{\mathfrak{s}}$ . The desired isomorphism is equivalent to commutativity of the diagram

$$(4.6) \quad \begin{array}{ccc} T_{N^\circ,v'} \subset \mathcal{A}_{\mathfrak{s}} & \xrightarrow{\psi_{v,v'}} & T_{N^\circ,v'} \subset \mathcal{A}'_{\mathfrak{s}} \\ \mu_{v',v''} \downarrow & & \downarrow \theta_{C_{v'}^+, C_{v''}^+} \\ T_{N^\circ,v''} \subset \mathcal{A}_{\mathfrak{s}} & \xrightarrow{\psi_{v,v''}} & T_{N^\circ,v''} \subset \mathcal{A}'_{\mathfrak{s}} \end{array}$$

where the right-hand vertical arrow is given by wall crossings in  $\mathfrak{D}_{\mathfrak{s}}$  between the chambers for  $v', v''$ . For this we may assume there is an oriented path from  $v'$  to  $v''$  in  $\mathfrak{T}_{\mathfrak{s}}$ , and thus that  $v'' \in \mathfrak{T}_{\mathfrak{s}_{v'}} \subset \mathfrak{T}_{\mathfrak{s}}$ .

The commutativity of (4.5) is equivalent to the commutativity of

$$(4.7) \quad \begin{array}{ccc} T_{N^\circ,v''} \subset \mathcal{A}_{\mathfrak{s}} & \xrightarrow{\psi_{v,v''}} & T_{N^\circ,v''} \subset \mathcal{A}'_{\mathfrak{s}} \\ \parallel & & \downarrow \\ T_{N^\circ,v''} \subset \mathcal{A}_{\mathfrak{s}_{v'}} & \xrightarrow{\psi_{v',v''}} & T_{N^\circ,v''} \subset \mathcal{A}'_{\mathfrak{s}_{v'}} \end{array}$$

where the right-hand vertical map is the restriction of the isomorphism  $\mathcal{A}'_{\mathfrak{s}} \rightarrow \mathcal{A}'_{\mathfrak{s}_{v'}}$  of Proposition 4.3. We argue the commutativity of (4.7) first, and then show that this implies the commutativity of (4.6).

Each map in (4.7) is an isomorphism, induced by the restrictions of tropicalizations of various  $\mu_{w,w'}$  to various chambers. Explicitly, on character lattices, we have the

corresponding diagram

$$\begin{array}{ccc}
 M^\circ & \xleftarrow{\mu_{v,v''}^T|_{C_{v''}^+ \in \mathbf{s}}} & M^\circ \\
 \parallel & & \uparrow \mu_{v',v}^T|_{C_{v''}^+ \in \mathbf{s}_{v'}} \\
 M^\circ & \xleftarrow{\mu_{v',v''}^T|_{C_{v''}^+ \in \mathbf{s}_{v'}}} & M^\circ
 \end{array}$$

which is obviously commutative as tropicalization is functorial and  $\mu_{v',v''} = \mu_{v,v''} \circ \mu_{v',v}$ .

Now for the commutativity of (4.6). It is enough to check the case when there is an oriented edge from  $v'$  to  $v''$  in  $\mathfrak{T}_s$  labelled by  $k \in I_{\text{uf}}$ . We claim we may also assume  $\mathbf{s} = \mathbf{s}_{v'}$ . Indeed, assume we have proven commutativity in this case. We draw a cube, whose back vertical face is the diagram (4.6), and whose front vertical face is the analogous diagram for  $\mathbf{s}_{v'}$ , which is commutative by assumption. The top and bottom horizontal faces are instances of (4.7), and the right-hand vertical face is the commutative diagram of atlas tori giving the isomorphism  $\mathcal{A}'_s \rightarrow \mathcal{A}'_{\mathbf{s}_{v'}}$  of Proposition 4.3. Finally the left-hand vertical face consists of equality of charts or birational maps coming from inclusions of these tori in  $\mathcal{A}_s$  or  $\mathcal{A}_{\mathbf{s}_v}$ , thus commutative. Now commutativity of the back vertical face, (4.6) follows.

Finally, to show (4.6) when  $\mathbf{s} = \mathbf{s}_{v'}$ , i.e.,  $v = v'$ , we note  $\psi_{v,v'}$  is automatically the identity, and  $\psi_{v,v''}$  is also the identity, by Definition 1.32, and the identification of  $T_k$  as Fock-Goncharov tropicalisation of the birational map of tori  $\mu_{v',v''} = \mu_k : T_{M^\circ, v'} \dashrightarrow T_{M^\circ, v''}$ . Thus the commutativity amounts to showing that the wall-crossing automorphism  $\mathbb{k}(M^\circ) \rightarrow \mathbb{k}(M^\circ)$  of fraction fields, given by crossing the wall  $e_k^\perp$  from the negative to the positive side, is the pullback on rational functions of the birational mutation  $\mu_k : T_{N^\circ} \dashrightarrow T_{N^\circ}$ . Note the only scattering function on the wall is  $1 + z^{v_k}$ , so this follows from the coordinate free formula for the birational mutation, see e.g., [GHK13], (2.6).  $\square$

**Theorem 4.8** (Positivity of the Laurent Phenomenon). *Each cluster variable of an  $\mathcal{A}$ -cluster algebra is a Laurent polynomial with non-negative integer coefficients in the cluster variables of any given seed.*

*Proof.* Since, as explained in Proposition B.11, each cluster variable lifts canonically from  $\mathcal{A}$  to  $\mathcal{A}_{\text{prin}}$ , we can replace the initial data  $\Gamma$  with  $\Gamma_{\text{prin}}$ , and so may assume that the  $v_i$  in every seed are linearly independent, and thus the Fundamental Assumption holds. By Theorem 4.4 and Proposition 4.3 we have a canonical isomorphism of positive spaces  $\varphi : \mathcal{A} \rightarrow \mathcal{A}' = \mathcal{A}'_s$ . Let  $v$  be the root of  $\mathfrak{T}_s$  and  $v'$  any vertex of  $\mathfrak{T}_s$ . Then we have  $T_{N^\circ, v'} \subset \mathcal{A}$ , and the cluster monomials for the seed  $\mathbf{s}_{v'}$  are just the monomials  $z^m$  on  $T_{N^\circ, v'}$  with  $m \in C_{\mathbf{s}_{v'}}^+ \cap M_{v'}^\circ$ . By Theorem 4.4, this is identified with the monomial

$(\psi_{v,v'}^{-1})^*(z^m) = z^{\mu_{v',v}^T(m)}$  on  $T_{N^\circ,v'} \subset \mathcal{A}'_s$ , as  $\mu_{v',v}^T$  takes  $\mathcal{C}_{s_{v'}}^+ \in \Delta_{s_{v'}}^+$  to  $\mathcal{C}_{v' \in s}^+ \in \Delta_s^+$  by Proposition 4.3. So the cluster monomials for the chart indexed by  $v'$  in  $\mathcal{A}'$  are of the form  $z^m$  with  $m \in \mathcal{C}_{v' \in s}^+$ . Furthermore, if for each vertex  $w$  of  $\mathfrak{T}_s$ ,  $Q_w \in \mathcal{C}_{w \in s}^+$  is a general basepoint, we have  $\vartheta_{Q_{v'},m} = z^m$  for  $m \in \mathcal{C}_{v' \in s}^+$  by Propositions 3.6 and 3.8. By the definition of  $\mathcal{A}'_s$  in Construction 4.1, the corresponding rational function on the open set  $T_{N^\circ,w} \subset \mathcal{A}'_s$  (for another vertex  $w$  of  $\mathfrak{T}_s$ ) is  $\theta_{\gamma,\mathfrak{D}}(\vartheta_{Q_{v'},m})$ , where  $\gamma$  is a path from  $Q_{v'}$  to  $Q_w$  lying in the support of  $\Delta_s^+$ . But  $\vartheta_{Q_w,m} = \theta_{\gamma,\mathfrak{D}}(\vartheta_{Q_{v'},m})$  by Theorem 3.5. Finally  $\vartheta_{Q_w,m}$  is a positive Laurent series by Theorem 1.28 and the definition of broken lines. By the Laurent phenomenon, it is also a polynomial.  $\square$

*Remark 4.9.* When the initial data has frozen variables there is a partial compactification  $\mathcal{A} \subset \overline{\mathcal{A}}$ , see Construction B.9. We have an analogous partial compactification  $\mathcal{A}'_s \subset \overline{\mathcal{A}'_s}$ , given by an atlas of toric varieties  $T_{N^\circ,v \in s} \subset \text{TV}(\Sigma_{v \in s})$ . The choice of fans is forced by the identifications of Proposition 4.3: for  $v$  the root of  $\mathfrak{T}_s$ ,  $\Sigma_{v \in s} := \Sigma^s$  ( $\Sigma^s$  as in Construction B.9) and then  $\Sigma_{v' \in s} := \mu_{v',v}^t(\Sigma_{v \in s})$ . Now Proposition 4.3 and Theorem 4.4 (and their proofs) extend to the partial compactifications without change. One checks easily that all mutations in the positive spaces  $\mathcal{A}, \mathcal{A}'$ , and all the linear isomorphisms between corresponding tori in the atlases for  $\mathcal{A}, \mathcal{A}'_s, \mathcal{A}'_{s_v}$  preserve the monomials  $A_i = z^{f_i}$ ,  $i \notin I_{\text{uf}}$  (these are the frozen cluster variables), so that all the spaces come with canonical projection to  $\mathbb{A}^{\#(I \setminus I_{\text{uf}})}$ , preserved by the isomorphisms between these positive spaces.

## 5. SIGN COHERENCE OF $c$ - AND $g$ -VECTORS

For the remainder of the paper, the only scattering diagram we will ever consider is  $\mathfrak{D}^{\mathcal{A}_{\text{prin}}}$ . So we will often omit the superscript from the notation.

**Construction 5.1.** Fix a seed  $\mathbf{s}$  for fixed data  $\Gamma$ . By Construction 4.1, the scattering diagram  $\mathfrak{D}_s = \mathfrak{D}_s^{\mathcal{A}_{\text{prin}}}$  gives an atlas for the space  $\mathcal{A}'_s$ . (Technically, we should write  $\mathcal{A}'_{\text{prin},s}$  to indicate we are constructing something isomorphic to  $\mathcal{A}_{\text{prin}}$ , however this will make the notation even less readable.) We attach a copy  $T_{\tilde{N}^\circ,\sigma}$  of the torus  $T_{\tilde{N}^\circ}$  to each cluster chamber  $\sigma \in \Delta_s^+$ , and (compositions of) wall crossing automorphisms give the birational maps between them. By Theorem 4.4 this space is canonically identified with  $\mathcal{A}_{\text{prin}}$ :  $\mathcal{A}_{\text{prin}}$  has an atlas of tori  $T_{\tilde{N}^\circ,w}$  parameterized by vertices  $w$  of  $\mathfrak{T}_s$ , and we have canonical isomorphisms  $\psi_{v,w} : T_{\tilde{N}^\circ,w} \rightarrow T_{\tilde{N}^\circ,\mathcal{C}_w^+}$  for each vertex  $w$  which induce the isomorphism  $\mathcal{A}_{\text{prin}} \rightarrow \mathcal{A}'_s$ .

In what follows, if  $w$  is a vertex of  $\mathfrak{T}_s$ , we write  $\tilde{\mathbf{s}}_w$  for the seed obtained by mutating  $\tilde{\mathbf{s}}$  (see (B.1)) via the sequence of mutations dictated by the path from the root  $v$  of  $\mathfrak{T}_s$  to  $w$ . As described in Remark B.10, the initial seed  $\mathbf{s}$  determines the partial

compactification  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin},\mathbf{s}}$ , given by the atlas of toric varieties

$$T_{\tilde{N}^\circ, w} \subset \text{TV}(\Sigma_w^{\mathbf{s}})$$

where  $\Sigma_w^{\mathbf{s}}$  is the cone generated by the subset of basis vectors of  $\tilde{\mathbf{s}}_w$  corresponding to the second copy of  $I$ .

By Remark 4.9, the seed  $\mathbf{s}$  also determines a partial compactification  $\mathcal{A}'_{\mathbf{s}_w} \subset (\overline{\mathcal{A}'_{\mathbf{s}_w}})^{\mathbf{s}}$  (the superscript, thus the seed *close* to the overline in the notation, is responsible for the partial compactification), given by an atlas of toric varieties. Explicitly, if  $w'$  is a vertex of  $\mathfrak{T}_{\mathbf{s}_w}$ , the fan  $\Sigma_{w'}^{\mathbf{s}}$  yields the partial compactification of  $T_{\tilde{N}^\circ, w'}$  in  $\mathcal{A}_{\text{prin},\mathbf{s}}$ , and this is identified with  $T_{\tilde{N}^\circ, \mathcal{C}_{w'}^+ \in \Delta_{\mathbf{s}_w}^+}$  via  $\psi_{w, w'}$  under the isomorphism  $\mathcal{A}_{\text{prin}} \cong \mathcal{A}'_{\mathbf{s}_w}$  of Theorem 4.4. Thus the fan giving the partial compactification of  $T_{\tilde{N}^\circ, \mathcal{C}_{w'}^+ \in \Delta_{\mathbf{s}_w}^+}$  is

$$\Sigma_{w, w'}^{\mathbf{s}} := \psi_{w, w'}^t(\Sigma_{w'}^{\mathbf{s}}).$$

Happily the picture is simplified when we choose  $w = v$  the root of  $\mathfrak{T}_{\mathbf{s}}$ .

**Lemma 5.2.** *The cones  $\Sigma_{v, w}^{\mathbf{s}}$ , and thus the toric varieties in the atlas for the partial compactification  $\mathcal{A}'_{\mathbf{s}} \subset (\overline{\mathcal{A}'_{\mathbf{s}}})^{\mathbf{s}}$ , are the same for all  $w$ . Each is equal to the cone spanned by the vectors  $(0, e_1^*), \dots, (0, e_n^*) \in \tilde{N}^\circ$ , where  $\mathbf{s} = (e_1, \dots, e_n)$  and  $e_1^*, \dots, e_n^*$  denotes the dual basis.*

*Proof.*  $\Sigma_{v, v}^{\mathbf{s}}$  is the given cone, by definition of the seed  $\tilde{\mathbf{s}}$ . By Construction B.9 the other fans are given by applying the geometric tropicalisation of the birational gluing of the tori in the atlas for  $\mathcal{A}'_{\mathbf{s}}$ . These birational maps are given by wall crossings in  $\mathfrak{D}_{\mathbf{s}}$ . But for each wall between cluster chambers the wall crossing is a standard mutation  $\mu_{(\tilde{n}, \tilde{m})}$ , notation as in §2, for some  $\tilde{n} \in \tilde{N}^\circ, \tilde{m} \in \tilde{M}^\circ$ . The attached scattering function is  $1 + z^{p^*(n, 0)}$  for some  $n$  in the convex hull of  $\{e_i \mid i \in I\}$ , and  $\tilde{m} = p^*(n, 0)$ . But then  $\langle \tilde{m}, (0, e_i^*) \rangle = \{(n, 0), (0, e_i^*)\} \geq 0$ . Thus the geometric tropicalisation  $\mu_{(\tilde{n}, \tilde{m})}^t$  fixes all the  $e_i^*$  by (2.3), and so the fan is constant.  $\square$

**Corollary 5.3.** *Fix a seed  $\mathbf{s}$ , and let  $v$  be the root of  $\mathfrak{T}_{\mathbf{s}}$ . The following hold:*

- (1) *The fibre of  $\pi : \mathcal{A}_{\text{prin},\mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$  over 0 is  $T_{N^\circ}$ . (See Proposition B.2 for the definition of  $\pi$ ).*
- (2) *The mutation maps*

$$\text{TV}(\Sigma_w^{\mathbf{s}}) \dashrightarrow \text{TV}(\Sigma_{w'}^{\mathbf{s}})$$

*for the atlas of toric varieties defining  $\mathcal{A}_{\text{prin},\mathbf{s}}$  are isomorphisms in a neighborhood of the fibre over  $0 \in \mathbb{A}_{X_1, \dots, X_n}^n$ .*

- (3) *For the partial compactification  $\mathcal{A}'_{\mathbf{s}} \subset (\overline{\mathcal{A}'_{\mathbf{s}}})^{\mathbf{s}}$  with atlas corresponding to cluster chambers of  $\mathfrak{D}_{\mathbf{s}}$ , the corresponding mutation map between two charts (which by*

*Lemma 5.2 has the same domain and range) is an isomorphism in a neighborhood of the fibre  $0 \in \mathbb{A}_{X_1, \dots, X_n}^n$  and restricts to the identity on this fibre.*

*Proof.* It is clear that (3) implies (2) implies (1).

For (3), the scattering diagram  $\mathfrak{D}_s$  is trivial modulo the  $X_i$  (which pulls back to  $z^{(0, e_i)}$ ), because this holds for the initial walls, with attached functions  $1 + z^{(v_i, e_i)}$ . It follows that over the central fibre, the wall crossing mutations are the identity.  $\square$

The proof of the corollary shows the utility of constructing  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin}, s}$  as the positive space  $\mathcal{A}' \subset \overline{\mathcal{A}'}$  associated to the cluster chambers in the scattering diagram  $\mathfrak{D}_s$ . Statement (2) seems not at all obvious. In fact, as we shall now see, it easily implies the sign coherence of  $c$ -vectors.

In what follows, given a seed  $\tilde{s}_w = (\tilde{e}_1, \dots, \tilde{e}_{2n})$  obtained via mutation from  $\tilde{s}$ , we write  $\tilde{c}^w$  for the  $n \times 2n$  exchange matrix for this seed, with

$$(5.4) \quad \tilde{c}_{ij}^w = \begin{cases} \{\tilde{e}_i, \tilde{e}_j\} d_j & 1 \leq j \leq n \\ \{\tilde{e}_i, \tilde{e}_j\} d_{j-n} & n+1 \leq j \leq 2n \end{cases}$$

The  $c$ -vectors of this seed are the rows of the right-hand  $n \times n$  submatrix.

**Corollary 5.5** (Sign coherence of  $c$ -vectors). *For any vertex  $w$  of  $\mathfrak{T}_s$  and fixed  $k$  satisfying  $1 \leq k \leq n$ , either the entries  $\tilde{c}_{k,j}^w$ ,  $n+1 \leq j \leq 2n$  are all non-positive, or these entries are all non-negative.*

*Proof.* The result follows directly from Corollary 5.3 by writing down the mutation in cluster coordinates. Following the notation given in Appendix B, we have the fixed seed  $\mathbf{s} = (e_1, \dots, e_n)$  which determines  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin}, s}$  and the family  $\pi : \mathcal{A}_{\text{prin}, s} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$ . The corresponding initial seed for  $\mathcal{A}_{\text{prin}, s}$  is

$$\tilde{\mathbf{s}} = ((e_1, 0), \dots, (e_n, 0), (0, f_1), \dots, (0, f_n)),$$

and the coordinate  $X_i$  on  $\mathbb{A}^n$  pulls back to  $z^{(0, e_i)}$  on  $\mathcal{A}_{\text{prin}, s}$ . These are the frozen cluster variables for  $\mathcal{A}_{\text{prin}, s}$ . Note  $X_i = z^{g_i}$  where  $g_i$  is the dual basis to the basis  $(d_1 e_1, 0), \dots, (d_n e_n, 0), (0, e_1^*), \dots, (0, e_n^*)$  of  $\tilde{N}^\circ$ .

A vertex  $w'$  corresponds to a seed  $\mathbf{s}_{w'} = (e'_1, \dots, e'_n)$  for  $N$  with corresponding seed  $\tilde{\mathbf{s}}_{w'} = ((e'_1, 0), \dots, (e'_n, 0), h'_1, \dots, h'_n)$  for  $\tilde{N}$ , with  $\tilde{\mathbf{s}}_{w'}$  obtained from  $\tilde{\mathbf{s}}$  by a sequence of mutations. The  $h_i$  are no longer necessarily given by the  $f'_i$ . Write  $\tilde{f}'_i$ ,  $1 \leq i \leq 2n$  for the corresponding basis of  $\tilde{M}^\circ$ . The cluster variables on the corresponding torus  $T_{\tilde{N}^\circ, w'}$  are  $A'_i := z^{\tilde{f}'_i}$ . Say  $w''$  is a vertex of  $\mathfrak{T}_s$  adjacent to  $w'$  along an edge labelled by  $k$ . Then

$$\tilde{\mathbf{s}}_{w''} = ((e''_1, 0), \dots, (e''_n, 0), h''_1, \dots, h''_n),$$

and the cluster coordinates are  $A''_i = z^{\tilde{f}''_i}$ . Since the last  $n$  cluster variables are frozen,  $A'_{n+i} = A''_{n+i} = X_i$ ,  $1 \leq i \leq n$ .

The fan  $\Sigma_{w'}^{\mathbf{s}}$  determining a toric variety in the atlas for  $\mathcal{A}_{\text{prin}, \mathbf{s}}$  consists of a single cone spanned by  $h'_1, \dots, h'_n$ , and

$$\text{TV}(\Sigma_{w'}^{\mathbf{s}}) = (\mathbb{G}_m^n)_{A'_1, \dots, A'_n} \times \mathbb{A}_{X_1, \dots, X_n}^n.$$

Similarly

$$\text{TV}(\Sigma_{w''}^{\mathbf{s}}) = (\mathbb{G}_m^n)_{A''_1, \dots, A''_n} \times \mathbb{A}_{X_1, \dots, X_n}^n.$$

The mutation  $\mu_k : \text{TV}(\Sigma_{w'}^{\mathbf{s}}) \dashrightarrow \text{TV}(\Sigma_{w''}^{\mathbf{s}})$  is given by the exchange relation [FZ07] (2.15) (see [GHK13], (2.8) in our notation) which is, with  $\tilde{\epsilon} = \tilde{\epsilon}^{w'}$ ,

$$\begin{aligned} \mu_k^*(A''_i) &= A'_i \text{ for } i \neq k \\ \mu_k^*(A''_k) &= (A'_k)^{-1} \left( \prod_{i=1}^{2n} (A'_i)^{[\tilde{\epsilon}_{ki}]_+} + \prod_{i=1}^{2n} (A'_i)^{-[\tilde{\epsilon}_{ki}]_-} \right) \\ &= (A'_k)^{-1} \left( p_k^+ \prod_{i=1}^n (A'_i)^{[\tilde{\epsilon}_{ki}]_+} + p_k^- \prod_{i=1}^n (A'_i)^{-[\tilde{\epsilon}_{ki}]_-} \right) \\ \mu_k^*(X_i) &= X_i \end{aligned}$$

where

$$p_k^+ := \prod_{\substack{1 \leq i \leq n \\ \tilde{\epsilon}_{k, n+i} \geq 0}} X_i^{\tilde{\epsilon}_{k, n+i}}, \quad p_k^- := \prod_{\substack{1 \leq i \leq n \\ -\tilde{\epsilon}_{k, n+i} \geq 0}} X_i^{-\tilde{\epsilon}_{k, n+i}}.$$

Now  $\mu_k$  fails to be an isomorphism exactly along the vanishing locus of

$$p_k^+ \prod_{i=1}^n (A'_i)^{[\tilde{\epsilon}_{ki}]_+} + p_k^- \prod_{i=1}^n (A'_i)^{-[\tilde{\epsilon}_{ki}]_-}.$$

This locus is disjoint from the central fibre  $0 \in \mathbb{A}_{X_1, \dots, X_n}^n$  by Corollary 5.3. On the other hand it is disjoint from the central fibre if and only if exactly one of  $p_k^+, p_k^-$  is the empty product, i.e., the constant monomial 1. Sign coherence is the statement that at least one of  $p_k^+, p_k^-$  is the empty product.  $\square$

Recall that if a seed  $\mathbf{s}_w = (e'_1, \dots, e'_n)$  is given, with  $(e'_i)^*$  the dual basis and  $f'_i = d_i^{-1}(e'_i)^*$ , a *cluster monomial* in this seed is a monomial on  $T_{N^\circ, w} \subset \mathcal{A}$  of the form  $z^m$  with  $m = \sum_{i=1}^n a_i f'_i$  and the  $a_i$  non-negative for  $i \in I_{\text{uf}}$ . By the Laurent phenomenon [FZ02b], such a monomial always extends to a regular function on  $\mathcal{A}$ . A cluster monomial on  $\mathcal{A}$  is a regular function which is a cluster monomial in some seed.

Recall also from Proposition B.2, (2) the  $T_{N^\circ}$ -action on  $\mathcal{A}_{\text{prin}}$ .

**Definition 5.6.** By Proposition B.11, the choice of seed  $\mathbf{s}$  provides a canonical extension of each cluster monomial on  $\mathcal{A}$  to a cluster monomial on  $\mathcal{A}_{\text{prin}}$ . Each cluster monomial on  $\mathcal{A}_{\text{prin}}$  is a  $T_{N^\circ}$ -eigenfunction under the above  $T_{N^\circ}$  action. The  $g$ -vector with respect to a seed  $\mathbf{s}$  (see [FZ07], (6.4)) associated to a cluster monomial of  $\mathcal{A}$  is the  $T_{N^\circ}$ -weight of its lift determined by  $\mathbf{s}$ .

By [NZ], the sign coherence for  $c$ -vectors (proved in Corollary 5.5 here), implies a sign coherence for  $g$ -vectors. In addition, [NZ] shows that the  $g$ -vectors are canonically identified with the first lattice points on the corresponding edges of the cluster complex. Here we give different proofs using the description of the cluster complex of Theorem 2.12.

First an alternative description of  $g$ -vectors.

**Proposition 5.7.** *Fix a seed  $\mathbf{s}$ , giving the partial compactification  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin},\mathbf{s}}$  and  $T_{N^\circ}$ -equivariant  $\pi : \mathcal{A}_{\text{prin},\mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$ . The central fibre  $\pi^{-1}(0)$  is a  $T_{N^\circ}$ -torsor. Let  $\overline{A}$  be a cluster monomial on  $\mathcal{A} = \pi^{-1}(1, 1, \dots, 1)$  and  $A$  the corresponding lifted cluster monomial on  $\mathcal{A}_{\text{prin},\mathbf{s}}$ . This restricts to a regular non-vanishing  $T_{N^\circ}$ -eigenfunction along  $\pi^{-1}(0)$ , and so canonically determines an element of  $M^\circ$  (its weight). This is the  $g$ -vector associated to  $\overline{A}$ .*

*Proof.* Let  $w \in \mathfrak{T}_{\mathbf{s}}$  determine the seed in which  $\overline{A}$  is defined as a monomial. By Corollary 5.3, all mutations are isomorphisms near the central fibre of  $\pi$ , so it's enough to check that  $\overline{A}$  is regular on the toric variety  $TV(\Sigma_w^{\mathbf{s}})$ , and restricts to a character on its central fibre. But this is true by construction: if the seed  $\tilde{\mathbf{s}}_w$  is  $(\tilde{e}_1, \dots, \tilde{e}_{2n})$ , then the cluster variables for the seed  $\tilde{\mathbf{s}}_w$  on the torus  $T_{\tilde{N}^\circ, w}$  are  $z^{\tilde{f}^k}$  and  $\Sigma_w^{\mathbf{s}}$  is the fan with rays spanned by the  $\tilde{e}_{n+1}, \dots, \tilde{e}_{2n}$ . Thus the lift  $A$  of  $\overline{A}$  is regular on  $TV(\Sigma_w^{\mathbf{s}})$ , and hence is regular in a neighbourhood of  $\pi^{-1}(0) \subset \mathcal{A}_{\text{prin},\mathbf{s}}$ . Furthermore, it is non-zero on  $\pi^{-1}(0)$  since the canonical lift only involves monomials  $z^{\tilde{f}^1}, \dots, z^{\tilde{f}^n}$ , which are non-vanishing on the strata of  $TV(\Sigma_w^{\mathbf{s}})$ . The final statement follows since the restriction of the variable to the central fibre will have the same  $T_{N^\circ}$ -weight, as the map  $\pi$  is  $T_{N^\circ}$ -equivariant, and  $T_{N^\circ}$  fixes  $0 \in \mathbb{A}^n$ .  $\square$

We can use this to give a more intrinsic definition of  $g$ -vector, which will in fact generalise to all the different flavors of cluster variety.

**Definition 5.8.** Writing  $\mathcal{A} = \bigcup_{\mathbf{s}} T_{N^\circ, \mathbf{s}}$ , let  $\overline{A}$  be a cluster monomial of the form  $z^m$  on a chart  $T_{N^\circ, \mathbf{s}'}$ ,  $\mathbf{s}' = (e'_1, \dots, e'_n)$ . Note that  $(z^{e'_i})^T(m) \leq 0$  for all  $i$ , so after identifying  $\mathcal{A}^\vee(\mathbb{R}^T)$  with  $M_{\mathbb{R}, \mathbf{s}'}^\circ$ ,  $m$  yields a point in the Fock-Goncharov cluster chamber  $\mathcal{C}_{\mathbf{s}'}^+ \subseteq \mathcal{A}^\vee(\mathbb{R}^T)$ , as defined in Lemma 2.9. We define  $\mathbf{g}(\overline{A})$  to be this point of  $\mathcal{C}_{\mathbf{s}'}^+ \subseteq \mathcal{A}^\vee(\mathbb{R}^T)$ .

**Corollary 5.9.** *Let  $\bar{A}$  be a cluster monomial on  $\mathcal{A}$ , and fix a seed  $\mathbf{s}$  giving an identification  $\mathcal{A}^\vee(\mathbb{R}^T) = M_{\mathbb{R},\mathbf{s}}^\circ$ . Then under this identification,  $\mathbf{g}(\bar{A})$  is the  $g$ -vector of the cluster monomial  $\bar{A}$  with respect to  $\mathbf{s}$ .*

*Proof.* We first note that if  $\bar{A}$  is a monomial  $z^m$  on the chart  $T_{N^\circ,\mathbf{s}'}$  with  $\mathbf{s}' = \mathbf{s}_w$ ,  $\mathbf{s} = \mathbf{s}_v$ , then the image of  $\mathbf{g}(\bar{A})$  under the identification  $\mathcal{A}^\vee(\mathbb{R}^T) = M_{\mathbb{R},\mathbf{s}}^\circ$  is  $\mu_{w,v}^T(m)$ , where as usual  $\mu_{w,v} : T_{M^\circ,w} \dashrightarrow T_{M^\circ,v}$  is the rational map induced by the inclusions  $T_{M^\circ,w}, T_{M^\circ,v} \subset \mathcal{A}^\vee$ .

The choice of the seed  $\mathbf{s}$  gives the lift of  $\bar{A}$  to a cluster monomial  $A$  on  $\mathcal{A}_{\text{prin}}$ . Using the identification of  $\mathcal{A}_{\text{prin}}$  with  $\mathcal{A}'_{\mathbf{s}}$ ,  $A$  is identified with a monomial of the form  $z^{(m',n')}$  on the chart  $T_{\tilde{N}^\circ,w}$  (or  $T_{\tilde{N}^\circ,\mathcal{C}_{w \in \mathbf{s}}^+}$ , depending on how one chooses to parametrize charts of  $\mathcal{A}'_{\mathbf{s}}$ ). Let  $v$  be the root of  $\mathfrak{T}_{\mathbf{s}}$ . By Lemma 5.2, the corresponding chart of  $(\bar{\mathcal{A}}_{\mathbf{s}})^\mathbf{s}$  is the toric variety defined by the fan  $\Sigma_{v,w}^\mathbf{s}$ . By Proposition 5.7,  $A$  is a regular function on  $\text{TV}(\Sigma_{v,w}^\mathbf{s})$  which is non-vanishing along  $\pi^{-1}(0)$ . The  $T_{N^\circ}$  weight is the  $g$ -vector. Since  $\Sigma_{v,w}^\mathbf{s}$  is the cone spanned by  $(0, e_1^*), \dots, (0, e_n^*)$  in  $\tilde{N}_{\mathbb{R}}^\circ$ , where  $\mathbf{s} = (e_1, \dots, e_n)$ , one sees that  $(m', n') = (g, 0)$ .

Thus to show the corollary, it is enough to show that  $m = \mu_{v,w}^T(g) \in M_w^\circ$ . Note however a similar statement is already true at the level of  $\mathcal{A}_{\text{prin}}$ . Indeed, in the chart  $T_{\tilde{N}^\circ,w}$  of  $\mathcal{A}_{\text{prin}}$ , the monomial  $A$  takes the form  $z^{(m,n')}$  for some  $n'' \in N$ , and  $(m, n'')$  lies in the positive chamber of  $\mathfrak{D}_{\mathbf{s}_w}^{\mathcal{A}_{\text{prin}}}$ . But  $\mathcal{C}_{w \in \mathbf{s}}^+$  is the image of this positive chamber under the map  $\mu_{w,v}^T$ , where now  $\mu_{w,v} : T_{\tilde{M}^\circ,w} \dashrightarrow T_{\tilde{M}^\circ,v}$  is the map induced by the inclusions  $T_{\tilde{M}^\circ,w}, T_{\tilde{M}^\circ,v} \subset \mathcal{A}_{\text{prin}}^\vee$ . Now  $(g, 0) = (\psi_{v,w}^*)^{-1}(m, n'')$  by Theorem 4.4, and  $(\psi_{v,w}^*)^{-1} = (\mu_{v,w}^T|_{\mathcal{C}_{w \in \mathbf{s}}^+})^{-1}$ , so we see that  $(m, n'') = \mu_{v,w}^T(g, 0)$ .

Now because there is a well-defined map  $\rho : \mathcal{A}_{\text{prin}}^\vee \rightarrow \mathcal{A}^\vee$  by Proposition B.2, (4), with  $\rho^T$  given by projection onto  $M^\circ$ , this projection  $\rho^T$  is compatible with the tropicalizations  $\mu_{v,w}^T : \tilde{M}^\circ \rightarrow \tilde{M}^\circ$  and  $\mu_{v,w}^T : M^\circ \rightarrow M^\circ$ , i.e.,  $\mu_{v,w}^T \circ \rho^T = \rho^T \circ \mu_{v,w}^T$ . Thus  $\mu_{v,w}^T(m) = g$ , as desired.  $\square$

This corollary shows us how to generalize the notion of  $g$ -vector to any cluster variety:

**Definition 5.10.** Let  $V = \bigcup_{\mathbf{s}} T_{L,\mathbf{s}}$  be a cluster variety, suppose that  $f$  is a global monomial (see Definition 0.1) on  $V$ , and let  $\mathbf{s}$  be a seed such that  $f|_{T_{L,\mathbf{s}} \subset V}$  is the character  $z^m$ ,  $m \in \text{Hom}(L, \mathbb{Z}) = L^*$ . Define the  $g$ -vector of  $f$  to be the image of  $m$  under the identifications of §2:

$$V^\vee(\mathbb{Z}^T) = T_{L^*,\mathbf{s}}(\mathbb{Z}^T) = L^*.$$

We write the  $g$ -vector of  $f$  as  $\mathbf{g}(f)$ .

Note that the definition as given is not clearly independent of the choice of seed  $\mathbf{s}$ , but for a cluster variety of  $\mathcal{A}$  type, the previous corollary shows this. This independence will be shown in general in Lemma 7.10.

**Theorem 5.11** (Sign coherence of  $g$ -vectors). *Fix initial seed  $\mathbf{s} = (e_1, \dots, e_n)$ , with  $f_i = d_i^{-1}e_i^*$  as usual. Given any mutation equivalent seed  $\mathbf{s}'$ , the  $i^{\text{th}}$ -coordinates of the  $g$ -vectors for the cluster variables of this seed, expressed in the basis  $(f_1, \dots, f_n)$ , are either all non-negative, or all non-positive.*

*Proof.* By Theorem 5.9, the  $g$ -vectors in question are the generators of a chamber in the cluster complex of  $\mathbf{s}$ , defined as the images of the cluster chambers of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  under the projection  $\rho^T$ , by Theorem 2.12. The hyperplanes  $e_i^\perp$  are thus walls in the cluster complex. In particular,  $e_i$  is either non-negative everywhere on a chamber, or non-positive everywhere on a chamber. The theorem follows.  $\square$

We close this section by proving part of [FZ03], Conjecture 4.14, to which we refer for the definition of the Fomin-Zelevinsky exchange graph. These results were originally proved in [GSV07], but we include our arguments here as the result follows quite easily from our techniques.

**Theorem 5.12.** *A seed for a cluster algebra without frozen variables is uniquely determined by its cluster, i.e., the ordered collection of global functions  $z^{f_1}, \dots, z^{f_n} \in H^0(\mathcal{A}, \mathcal{O}_{\mathcal{A}})$  determines the exchange matrix  $\epsilon$  with entries  $\epsilon_{ij} = \{e_i, d_j e_j\}$ . The vertices of the exchange graph can be identified with the clusters, with two clusters adjacent if and only if their intersection has cardinality  $n - 1$ .*

**Lemma 5.13.** *If for two mutation equivalent seeds  $\mathbf{s}, \mathbf{s}'$  the open subsets  $T_{N^\circ, \mathbf{s}}, T_{N^\circ, \mathbf{s}'} \subset \mathcal{A}$  are the same, then the open subsets  $T_{\tilde{N}^\circ, \mathbf{s}}, T_{\tilde{N}^\circ, \mathbf{s}'} \subset \mathcal{A}_{\text{prin}}$  are the same, and the open subsets  $T_{M, \mathbf{s}}, T_{M, \mathbf{s}'} \subset \mathcal{X}$  are the same.*

*Proof.* We first claim that a chart in the atlas for  $\mathcal{A}_{\text{prin}}$  (or any  $\mathcal{A}$ -type cluster variety) is the complement of the union of zero loci of global functions which restrict to characters on the chart. Indeed, let  $U$  be such a chart and  $V$  be the complement of the union of such zero loci. Clearly  $U \subseteq V$ , since characters have no zeroes on  $U$ . On the other hand, by the Laurent phenomenon, the cluster variables on  $U$  extend to global monomials, and are non-vanishing on  $V$ . These functions define a map  $V \rightarrow U$  which is clearly the identity when restricted to  $U$ . Since  $\mathcal{A}_{\text{prin}}$  is separated (see [GHK13], Theorem 3.14), it follows that  $U = V$ .

Let  $v, v'$  be the vertices of  $\mathfrak{T}$  corresponding to the seeds  $\mathbf{s}$  and  $\mathbf{s}'$ . For the statement about  $\mathcal{A}_{\text{prin}}$  it's now enough to show that  $\mu_{v, v'}^*(A)$  is a character for any global monomial

$A$  which restricts to a character on  $T_{\tilde{N}^\circ, v'}$ . Now  $\mu_{v, v'}^*(A)|_{T_{N^\circ, v}} = \mu_{v, v'}^*(A|_{T_{N^\circ, v'}})$ , and this is a character by the assumption that  $T_{N^\circ, v} = T_{N^\circ, v'}$  inside  $\mathcal{A}$ . By positivity of the Laurent phenomenon, Corollary 4.8,  $\mu_{v, v'}^*(A)$  is a Laurent polynomial with non-negative integer coefficients. Since its restriction to some subtorus is a character, it must be a character. This completes the proof for  $\mathcal{A}_{\text{prin}}$ . This implies the result for  $\mathcal{X}$ , which is the quotient of  $\mathcal{A}_{\text{prin}}$  by  $T_{N^\circ}$ , see Proposition B.2, (2).  $\square$

We have the following alternative description of  $c$ -vectors in the case of no frozen variables. Fix a seed  $\mathbf{s}$ . By Lemma 2.9, each mutation equivalent seed  $\mathbf{s}' = \mathbf{s}_w$  has an associated cluster chamber  $\mathcal{C}_{w \in \mathbf{s}}^+ \subset M_{\mathbb{R}, \mathbf{s}}^\circ$ . This is a full dimensional strictly simplicial cone, generated by a basis of  $M^\circ$  consisting of  $g$ -vectors of the cluster variables  $A'_1, \dots, A'_n$  of  $\mathbf{s}_w$ . The facets of  $\mathcal{C}_{w \in \mathbf{s}}^+$  are thus in natural bijection with the elements of  $\mathbf{s}$  (or the indices in  $I = I_{\text{uf}}$ ).

**Lemma 5.14.** *The facet of  $\mathcal{C}_{w \in \mathbf{s}}^+$  corresponding to  $i \in I$  is the intersection of  $\mathcal{C}_{w \in \mathbf{s}}^+$  with the orthogonal complement of the  $c$ -vector for the corresponding element of  $\mathbf{s}_w^\vee$  (the corresponding mutation of the Langlands dual seed  $\mathbf{s}^\vee$ , see Appendix A). Furthermore, each  $c$ -vector for  $\mathbf{s}_w^\vee$  is non-negative on  $\mathcal{C}_{w \in \mathbf{s}}^+$ .*

*Proof.* This is the content of [NZ], Theorem 1.2, the condition (1.8) of [NZ] holding by our Corollary 5.5. The  $g$ -vectors used in [NZ] are precisely the  $g$ -vectors of the cluster variables  $A'_i$ .  $\square$

We introduce notation for the  $c$ -vectors as follows. For fixed seed  $\mathbf{s}$  we write  $c_{i, \mathbf{s}_w}^{\mathbf{s}}$  for the  $c$ -vector, with fixed seed  $\mathbf{s}$ , whose  $j^{\text{th}}$  entry is  $\tilde{\epsilon}_{i, j+n}^w$ , see (5.4).

**Lemma 5.15.** *Fix a seed  $\mathbf{s}$ . If we view the  $c$ -vectors as vectors of  $N$  expressed in the basis  $\mathbf{s}$ , then  $c_{i, \mu_k(\mathbf{s})}^{\mathbf{s}}$  is the  $i^{\text{th}}$  basis vector of  $\mu_k(\mathbf{s})$ , i.e., the  $c$ -vectors for a seed adjacent to the initial fixed seed  $\mathbf{s}$  are just the basis elements of this seed. These  $c$ -vectors, for all seeds adjacent to  $\mathbf{s}$ , determine the exchange matrix for  $\mathbf{s}$ . Also, the chambers  $\mathcal{C}_{\mathbf{s}}^+ \subset M_{\mathbb{R}, \mathbf{s}}^\circ$  together with the adjacent chambers determine the exchange matrix for  $\mathbf{s}$ .*

*Proof.* The explicit formula for the  $c$ -vectors is an easy exercise applying the definition, see e.g., [GHK13], (2.4). The statement that the  $c$ -vectors for seeds adjacent to  $\mathbf{s}$  determines the exchange matrix is obvious from the formula for mutations, e.g., [GHK13], (2.3). The statement that the given chambers determine the exchange matrix for  $\mathbf{s}$  then follows. Indeed, the chambers determine the  $c$ -vectors for  $\mathbf{s}^\vee$  and  $\mu_k(\mathbf{s}^\vee)$  by Lemma 5.14, and hence determines the exchange matrix for  $\mathbf{s}^\vee$ . But that itself determines the exchange matrix for  $\mathbf{s}$  because Langlands duality is an involution.  $\square$

*Proof of Theorem 5.12.* Suppose two seeds  $\mathbf{s}, \mathbf{s}'$  have the same cluster. We show the exchange matrices are the same. The cluster determines the open subset in the atlas

for  $\mathcal{A}$  (it's the locus where the cluster variables are all non-zero, c.f. the proof of Lemma 5.13), thus  $T_{N^\circ, \mathbf{s}} = T_{N^\circ, \mathbf{s}'} \subset \mathcal{A}$  and so by Lemma 5.13,  $T_{M, \mathbf{s}} = T_{M, \mathbf{s}'} \subset \mathcal{X}$ . Thus the associated birational mutation  $\mu_{\mathbf{s}, \mathbf{s}'}^{\mathcal{X}}$  is a regular isomorphism, and thus the piecewise linear mutation  $\mu_{\mathbf{s}, \mathbf{s}'}^T : M_{\mathbf{s}, \mathbb{R}} \rightarrow M_{\mathbf{s}', \mathbb{R}}$  is a linear isomorphism. Because the clusters are equal it takes  $\mathcal{C}_{\mathbf{s}}^+$  to  $\mathcal{C}_{\mathbf{s}'}^+$  (the chamber is determined by the tropicalisations of the cluster variables). Note these are chambers for the scattering diagram for  $\mathcal{A}_{\Gamma^\vee}$ . Now by Lemma 5.15 the exchange matrices for  $\mathbf{s}^\vee$  and  $(\mathbf{s}')^\vee$  are the same. This implies the exchange matrices for  $\mathbf{s}$  and  $\mathbf{s}'$  are the same (see Appendix A for a review of Langlands duality).  $\square$

**Theorem 5.16.** *Suppose there are no frozen variables. Viewed as an abstract fan, the cluster complex  $\Delta^+$  in  $\mathcal{A}^\vee(\mathbb{R}^T)$  is the dual to the Fomin-Zelevinsky exchange graph: the maximal cones are in bijection with equivalence classes of seeds (where two seeds are defined to be equivalent if, possibly after permutation of the indices  $I$  respecting the  $d_i$ , the cluster variables and pairings  $\{e_i, e_j\}$  coincide), with their edges corresponding to the cluster variables of the seed.*

*Proof.* By the Fock-Goncharov definition of the cluster complex, each cluster determines a maximal cone of  $\Delta^+$ , and we know  $\Delta^+$  forms a fan in  $\mathcal{A}^\vee(\mathbb{R}^T)$  by Lemma 2.9. Thus we have a map from the Fomin-Zelevinsky exchange graph to the dual graph to  $\Delta^+$ , which is obviously surjective. But the chamber corresponding to a seed determines the cluster. Indeed, by Proposition 3.8, the theta functions associated to points of the chamber are the cluster monomials for this cluster, and the theta functions corresponding to primitive generators of the rays of the chamber (which we recall is a simplicial cone) are the variables of the cluster. Now the cluster determines the seed by Theorem 5.12.  $\square$

## 6. THE FORMAL FOCK-GONCHAROV CONJECTURE

In this section we associate in a canonical way to every universal Laurent polynomial  $g$  on  $\mathcal{A}_{\text{prin}}$  a formal sum  $\sum_{q \in \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)} \alpha(g)(q) \vartheta_q$ ,  $\alpha(g)(q) \in \mathbb{k}$ , which, roughly speaking, converges to  $g$  at infinity in each partial compactification  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin}, \mathbf{s}}$ .

More precisely, choose a seed  $\mathbf{s} = (e_1, \dots, e_n)$ . We let  $X_i := z^{e_i}$ ,  $I_{\mathbf{s}} = (X_1, \dots, X_n) \subset \mathbb{k}[X_1, \dots, X_n]$ , set

$$\mathbb{A}_{(X_1, \dots, X_n), k}^n = \text{Spec } \mathbb{k}[X_1, \dots, X_n]/I_{\mathbf{s}}^{k+1}, \quad \mathcal{A}_{\text{prin}, \mathbf{s}, k} = \mathcal{A}_{\text{prin}, \mathbf{s}} \times_{\mathbb{A}_{X_1, \dots, X_n}^n} \mathbb{A}_{(X_1, \dots, X_n), k}^n,$$

and write the map induced by  $\pi : \mathcal{A}_{\text{prin}, \mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$  also as

$$\pi : \mathcal{A}_{\text{prin}, \mathbf{s}, k} \rightarrow \mathbb{A}_{(X_1, \dots, X_n), k}^n.$$

We use the notation  $\text{up}(Y) := H^0(Y, \mathcal{O}_Y)$  for a variety  $Y$ , so that e.g.,  $\text{up}(\mathcal{A})$  is the upper cluster algebra. We define

$$\text{up}(\widehat{\mathcal{A}_{\text{prin}, \mathbf{s}}}) = \varprojlim \text{up}(\mathcal{A}_{\text{prin}, \mathbf{s}, k}).$$

Note that for any  $g \in \text{up}(\mathcal{A}_{\text{prin}})$ ,  $z^n g \in \text{up}(\mathcal{A}_{\text{prin}, \mathbf{s}})$  for some monomial  $z^n$  in the  $X_i$ . This induces a canonical inclusion

$$(6.1) \quad \text{up}(\mathcal{A}_{\text{prin}}) \subset \text{up}(\widehat{\mathcal{A}_{\text{prin}, \mathbf{s}}}) \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} \mathbb{k}[N]$$

where  $N_{\mathbf{s}}^+ \subset N$  is the monoid generated by  $e_1, \dots, e_n$ . Let  $\pi_N : \widetilde{M}^\circ \rightarrow N$  be the projection, and set

$$\widetilde{M}_{\mathbf{s}}^{\circ, +} = \pi_N^{-1}(N_{\mathbf{s}}^+).$$

Recall a choice of seed  $\mathbf{s} = (e_1, \dots, e_n)$  determines a scattering diagram  $\mathfrak{D}_{\mathbf{s}} = \mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}} \subset \widetilde{M}_{\mathbb{R}, \mathbf{s}}^\circ$  with initial walls  $(e_i^\perp, 1 + z^{(v_i, e_i)})$  for  $i \in I_{\text{uf}}$ . We let  $P_{\mathbf{s}} \subset \widetilde{M}_{\mathbf{s}}^\circ$  be the monoid generated by  $(v_1, e_1), \dots, (v_n, e_n)$ . We have the cluster complex  $\Delta_{\mathbf{s}}^+$  of cones in  $\widetilde{M}_{\mathbb{R}, \mathbf{s}}^\circ$ , with cones  $\mathcal{C}_v^+ \in \Delta_{\mathbf{s}}^+$  for each vertex  $v$  of  $\mathfrak{T}_{\mathbf{s}}$ .

Similarly to the above discussion,  $\pi : (\overline{\mathcal{A}}_{\mathbf{s}}')^{\mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$  induces maps

$$\overline{\mathcal{A}}'_{\mathbf{s}, k} := (\overline{\mathcal{A}}_{\mathbf{s}}')^{\mathbf{s}} \times_{\mathbb{A}^n} \mathbb{A}_{(X_1, \dots, X_n), k}^n \rightarrow \mathbb{A}_{(X_1, \dots, X_n), k}^n.$$

The isomorphism between  $\mathcal{A}_{\text{prin}, \mathbf{s}}$  and  $(\overline{\mathcal{A}}_{\mathbf{s}}')^{\mathbf{s}}$  discussed in Construction 5.1 restricts to give an isomorphism between  $\mathcal{A}_{\text{prin}, \mathbf{s}, k}$  and  $\overline{\mathcal{A}}'_{\mathbf{s}, k}$ . Furthermore, as  $(\overline{\mathcal{A}}_{\mathbf{s}}')^{\mathbf{s}}$  is described by gluing charts isomorphic to  $TV(\Sigma)$  with  $\Sigma$  the cone generated by  $(0, e_1^*), \dots, (0, e_n^*)$  for every chart by Lemma 5.2, in fact  $\overline{\mathcal{A}}'_{\mathbf{s}, k}$  is described by gluing charts parameterized by  $\sigma \in \Delta_{\mathbf{s}}^+$  isomorphic to

$$V_{\mathbf{s}, \sigma, k} := TV(\Sigma) \times_{\mathbb{A}^n} \mathbb{A}_{(X_1, \dots, X_n), k}^n.$$

Note for  $\sigma, \sigma' \in \Delta_{\mathbf{s}}^+$ , the birational map  $\theta_{\sigma, \sigma'} : TV(\Sigma) \dashrightarrow TV(\Sigma)$  between the charts of  $(\overline{\mathcal{A}}_{\mathbf{s}}')^{\mathbf{s}}$  indexed by  $\sigma$  and  $\sigma'$  restrict to isomorphisms  $V_{\mathbf{s}, \sigma, k} \rightarrow V_{\mathbf{s}, \sigma', k}$ : this is implied by Corollary 5.3, (3).

We choose a generic basepoint  $Q_\sigma \in \sigma$  for each  $\sigma \in \Delta_{\mathbf{s}}^+$ . Then for any  $q \in \widetilde{M}_{\mathbf{s}}^\circ$ , by Proposition 3.4, we obtain as a sum over broken lines a well-defined series

$$\vartheta_{Q_\sigma, q} \in z^q \widehat{\mathbb{k}[P_{\mathbf{s}}]}$$

satisfying by Theorem 3.5

$$\vartheta_{Q_\sigma, q} = \theta_{\sigma, \sigma'}^*(\vartheta_{Q_{\sigma'}, q}).$$

The following definition will yield the structure constants for the  $\vartheta$ :

**Definition-Lemma 6.2.** *Let  $p_1, p_2, q \in \widetilde{M}_s^\circ$ . Let  $z \in \widetilde{M}_{\mathbb{R},s}^\circ$  be chosen generally. There are at most finitely many pairs of broken lines  $\gamma_1, \gamma_2$  with  $I(\gamma_i) = p_i$ ,  $b(\gamma_i) = z$  and  $F(\gamma_1) + F(\gamma_2) = q$  (see Definition 3.3 for this notation). We then can define*

$$\alpha_z(p_1, p_2, q) = \sum_{\substack{(\gamma_1, \gamma_2) \\ I(\gamma_i) = p_i, b(\gamma_i) = z \\ F(\gamma_1) + F(\gamma_2) = q}} c(\gamma_1)c(\gamma_2).$$

The integers  $\alpha_z(p_1, p_2, q)$  are non-negative.

*Proof.* By definition of scattering diagram for  $\mathfrak{D}_s$ , all walls  $(\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D}_s$  have  $f_{\mathfrak{d}} \in \widehat{\mathbb{k}[P_s]}$ . Note also that because  $P_s$  comes from a strictly convex cone, any element of  $P_s$  can only be written as a finite sum of elements in  $P_s$  in a finite number of ways. In particular, as  $F(\gamma_i) \in I(\gamma_i) + P_s$ , we can write  $F(\gamma_i) = I(\gamma_i) + m_i$  for  $m_i \in P_s$ . Thus we have

$$I(\gamma_1) + I(\gamma_2) + m_1 + m_2 = q,$$

and there are only a finite number of possible  $m_1, m_2$ . So with  $p_1, p_2, q$  fixed, there are only finitely many possible monomial decorations that can occur on either  $\gamma_i$ . From this finiteness is clear, c.f. the proof of Proposition 3.4. The non-negativity statement follows from Theorem 1.28, which implies  $c(\gamma) \in \mathbb{Z}_{\geq 0}$  for any broken line  $\gamma$ .  $\square$

**Definition 6.3.** For a monoid  $C \subset L$  a lattice, we write  $C_k \subset C$  for the subset of elements which can be written as a sum of  $k$  non-invertible elements of  $C$ .

**Proposition 6.4.** *Notation as above. The following hold:*

- (1) For  $q \in \widetilde{M}_s^{\circ,+}$ ,  $\vartheta_{Q_{\sigma,q}}$  is a regular function on  $V_{s,\sigma,k}$ , and the  $\vartheta_{Q_{\sigma,q}}$  as  $\sigma$  varies glue to give a canonically defined function  $\vartheta_{q,k} \in \text{up}(\mathcal{A}_{\text{prin},s,k})$ .
- (2) For each  $q \in \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  and  $k' \geq k$ , we have  $\vartheta_{q,k'}|_{\mathcal{A}_{\text{prin},s,k}} = \vartheta_{q,k}$ , and thus the  $\vartheta_{q,k}$  for  $k \geq 0$  canonically define

$$\vartheta_q \in \text{up}(\widehat{\mathcal{A}_{\text{prin},s}}) \otimes_{\mathbb{k}[N_s^+]} \mathbb{k}[N].$$

The  $\vartheta_q$  are linearly independent, i.e., we have a canonical inclusion of  $\mathbb{k}$ -vector spaces

$$\text{can}(\mathcal{A}_{\text{prin}}) := \bigoplus_{q \in \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)} \mathbb{k} \cdot \vartheta_q \subset \text{up}(\widehat{\mathcal{A}_{\text{prin},s}}) \otimes_{\mathbb{k}[N_s^+]} \mathbb{k}[N].$$

(3)

$$\vartheta_{p_1} \cdot \vartheta_{p_2} = \sum_{q \in \widetilde{M}_s^\circ} \alpha_z(p_1, p_2, q) \vartheta_q \in \text{up}(\widehat{\mathcal{A}_{\text{prin},s}}) \otimes_{\mathbb{k}[N_s^+]} \mathbb{k}[N]$$

for  $z$  chosen sufficiently close to  $q$ . In particular,  $\alpha_z(p_1, p_2, q)$  is independent of the choice of  $z$  near  $q$ , and we define

$$\alpha(p_1, p_2, q) := \alpha_z(p_1, p_2, q)$$

for  $z$  chosen sufficiently close to  $q$ .

(4)

$$\{\vartheta_q \mid q \in \widetilde{M}_s^{\circ,+} \setminus \widetilde{M}_{s,k+1}^{\circ,+}\} \text{ and } \{\vartheta_q \mid q \in \pi_N^{-1}(0)\}$$

restrict to bases of  $\text{up}(\mathcal{A}_{\text{prin},s,k})$  as  $\mathbb{k}$ -vector space and  $\mathbb{k}[N_s^+]/I_s^{k+1}$ -module respectively.

*Proof.* Using the isomorphism  $\mathcal{A}_{\text{prin},s}$  with  $(\overline{\mathcal{A}}_s')^s$ , the basic compatibility Theorem 3.5 gives the gluing statement (1). To prove (4), using the  $N$ -linearity, it is enough to prove the given  $\vartheta_q$  restrict to basis as  $\mathbb{k}[N_s^+]/(X_1, \dots, X_n)^{k+1}$ -module. By Corollary 5.3, the central fibre of  $\mathcal{A}_{\text{prin},s} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$  is the torus  $T_{N^\circ}$ . If  $q \in \pi_N^{-1}(0)$ , the only broken lines with  $q = I(\gamma)$  and  $\text{Mono}(\gamma) \notin (X_1, \dots, X_n)$  are straight lines. Thus these  $\vartheta_q$  restrict to the basis of characters on the central fibre. Now the result follows from the nilpotent Nakayama lemma (see [Ma89], pg. 58, Theorem 8.4).

(2) follows immediately from (1) and (4).

For (3), it is enough to prove the equality in  $\mathcal{A}_{\text{prin},s,k}$  for each  $k$ . The argument is the same as the proof of the multiplication rule in [GHK11], Theorem 2.38, which, as it is very short, we recall for the reader's convenience. We work with the scattering diagram  $\mathfrak{D}_s$  modulo  $I_s^{k+1}$ , which has only finitely many walls with non-trivial attached function. Expressing  $\vartheta_{p_1} \cdot \vartheta_{p_2}$  in the basis  $\{\vartheta_q\}$  of (4), we examine the coefficient of  $\vartheta_q$ . We choose a general point  $z \in \widetilde{M}_\mathbb{R}^\circ$  very close to  $q$ , so that  $z, q$  lie in the closure of the same connected component of  $\widetilde{M}_\mathbb{R}^\circ \setminus \text{Supp}(\mathfrak{D}_{s,k})$  (where  $\mathfrak{D}_{s,k}$  denotes the finitely many walls non-trivial modulo  $I_s^{k+1}$ ). By definition of  $\alpha_z$ ,

$$\vartheta_{z,p_1} \cdot \vartheta_{z,p_2} = \sum_r \alpha_z(p_1, p_2, r) z^r.$$

Now observe first that there is only one broken line  $\gamma$  with endpoint  $z$  and  $F(\gamma) = q$ : this is the broken line whose image is  $z + \mathbb{R}_{\geq 0}q$ . Indeed, the final segment of such a  $\gamma$  is on this ray, and this ray meets no walls, other than walls containing  $q$ , so the broken line cannot bend. Thus the coefficient of  $\vartheta_{z,q}$  can be read off by looking at the coefficient of the monomial  $z^q$  on the right-hand side of the above equation. This gives the desired formula.  $\square$

By the proposition, each  $g \in \widehat{\text{up}(\mathcal{A}_{\text{prin},s})}$  has a unique expression as a convergent formal sum  $\sum_{q \in \widetilde{M}_s^{\circ,+}} \alpha_s(g)(q) \vartheta_q$ , with coefficients  $\alpha_s(g)(q) \in \mathbb{k}$ . This immediately implies:

**Proposition 6.5.** *Notation as in Proposition 6.4. There is a unique inclusion*

$$\alpha_{\mathbf{s}} : \widehat{\text{up}(\mathcal{A}_{\text{prin},\mathbf{s}})} \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} \mathbb{k}[N] \hookrightarrow \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) = \widetilde{M}_{\mathbf{s}}^{\circ}, \mathbb{k})$$

given by

$$g \mapsto (q \mapsto \alpha_{\mathbf{s}}(g)(q)).$$

We have  $\alpha_{\mathbf{s}}(z^n \cdot g)(q+n) = \alpha_{\mathbf{s}}(g)(q)$  for all  $n \in N$ .

**Construction 6.6.** We need to make algorithmically explicit the map  $\alpha_{\mathbf{s}}$  of Proposition 6.5 when restricted to elements of  $\text{up}(\mathcal{A}_{\text{prin}})$ . Let  $g \in \text{up}(\mathcal{A}_{\text{prin}})$  be a universal Laurent polynomial. Fix a seed  $\mathbf{s} = (e_1, \dots, e_n)$ . Let  $P = P_{\mathbf{s}} \subset \widetilde{M}_{\mathbf{s}}^{\circ}$  be the monoid generated by the  $(v_i, e_i)$ , so that monomials in functions attached to walls of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  all lie in  $\mathbb{k}[P_{\mathbf{s}}]$ .

Let  $T_{\widetilde{N}^{\circ},\mathbf{s}}$  be the corresponding torus in the atlas for  $\mathcal{A}_{\text{prin}}$ . Let  $g_{\mathbf{s}}$  be the Laurent polynomial

$$\sum_{m \in \widetilde{M}^{\circ}} \alpha_m(g) z^m \in H^0(T_{\widetilde{N}^{\circ},\mathbf{s}}, \mathcal{O}_{T_{\widetilde{N}^{\circ},\mathbf{s}}}) = \mathbb{k}[\widetilde{M}^{\circ}]$$

representing  $g$  in this chart, and let  $S = \{m \in \widetilde{M}^{\circ} \mid \alpha_m(g) \neq 0\}$ . We inductively define power series  $g_k$ ,  $k \geq 0$ , whose non-zero monomial terms lie in  $S + P_k$ . Let  $Q$  be a general basepoint in  $\mathcal{C}_{\mathbf{s}}^+$ . Set  $g_0 = g$ . Having defined  $g_k$  we set

$$h_k := \sum_{m \in (S+P_k) \setminus (S+P_{k+1})} \alpha_m(g_k) \vartheta_{Q,m}.$$

Set  $g_{k+1} := g_k - h_k$ . Note

$$\vartheta_{Q,m} = z^m + \text{higher order terms}$$

where the higher order terms are monomials in  $m + P_1$ . Thus each term of  $g_{k+1}$  is in  $S + P_{k+1}$  as required. We thus have for all  $k$

$$\begin{aligned} g &= \sum_{m \in S} \alpha_m(g) \vartheta_{Q,m} + \sum_{m \in (S+P_1) \setminus (S+P_2)} \alpha_m(g_1) \vartheta_{Q,m} + \cdots \\ &\quad + \sum_{m \in (S+P_k) \setminus (S+P_{k+1})} \alpha_m(g_k) \vartheta_{Q,m} + \text{higher order terms} \end{aligned}$$

where the higher order terms are monomials in  $S + P_{k+1}$ . We set

$$S_{g,\mathbf{s},k} = \{m \in (S + P_k) \setminus (S + P_{k+1}) \mid \alpha_m(g_k) \neq 0\} \subset \widetilde{M}^{\circ}.$$

This indexes the  $k^{\text{th}}$  sum of theta functions, and  $S_{g,\mathbf{s}} := \bigcup S_{g,\mathbf{s},k}$ . Note the union is disjoint by construction. Associated to each  $m \in S_{g,\mathbf{s}}$  we have a coefficient  $\alpha_m \in \mathbb{k}$ . Now defining

$$\alpha_{\mathbf{s}} : \text{up}(\mathcal{A}_{\text{prin}}) \rightarrow \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) = \widetilde{M}_{\mathbf{s}}^{\circ}, \mathbb{k})$$

by

$$\alpha_{\mathbf{s}}(g)(m) = \begin{cases} \alpha_m(g_k), & m \in S_{g,\mathbf{s},k}, \\ 0 & m \notin S_{g,\mathbf{s}} \end{cases}$$

gives the  $\alpha_{\mathbf{s}}$  of Proposition 6.5.

The main point of the following theorem is that on  $\text{up}(\mathcal{A}_{\text{prin}})$ ,  $\alpha_{\mathbf{s}}$  is independent of the seed  $\mathbf{s}$ .

**Theorem 6.7.** *There is a unique function*

$$\alpha : \text{up}(\mathcal{A}_{\text{prin}}) \rightarrow \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T), \mathbb{k}),$$

with all the following properties:

- (1)  $\alpha$  is compatible with the  $\mathbb{k}[N]$ -module structure on  $\text{up}(\mathcal{A}_{\text{prin}})$ , and the  $N$ -translation action on  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  in the sense that

$$\alpha(z^n \cdot g)(x+n) = \alpha(g)(x)$$

for all  $g \in \text{up}(\mathcal{A}_{\text{prin}})$ ,  $n \in N$ ,  $x \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ .

- (2) For each choice of seed  $\mathbf{s}$ , the formal sum  $\sum_{q \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)} \alpha(g)(q) \vartheta_q$  converges to  $g$  in  $\widehat{\text{up}(\mathcal{A}_{\text{prin},\mathbf{s}})} \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} \mathbb{k}[N]$ .
- (3) If  $z^n \cdot g \in \text{up}(\mathcal{A}_{\text{prin},\mathbf{s}})$  then  $\alpha(z^n \cdot g)(q) = 0$  unless  $\pi_N(q) \in N_{\mathbf{s}}^+$ , and

$$z^n \cdot g = \sum_{\pi_{N,\mathbf{s}}(q) \in N_{\mathbf{s}}^+ \setminus (N_{\mathbf{s}}^+)_{k+1}} \alpha(z^n \cdot g)(q) \vartheta_q \pmod{I_{\mathbf{s}}^{k+1}}$$

and the coefficients  $\alpha(z^n \cdot g)(q)$  are the coefficients for the expansion of  $z^n \cdot g$  viewed as an element of  $\text{up}(\mathcal{A}_{\text{prin},\mathbf{s},k})$  in the basis of theta functions from Proposition 6.4.

- (4) For any seed  $\mathbf{s}'$  obtained via mutations from  $\mathbf{s}$ ,  $\alpha$  is the composition of the inclusions

$$\text{up}(\mathcal{A}_{\text{prin}}) \subset \widehat{\text{up}(\mathcal{A}_{\text{prin},\mathbf{s}'})} \otimes_{\mathbb{k}[N_{\mathbf{s}'}^+]} \mathbb{k}[N] \subset \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) = \widetilde{M}_{\mathbf{s}}^{\circ}, \mathbb{k})$$

given by (6.1) and Proposition 6.5. This sends a cluster monomial  $A \in \text{up}(\mathcal{A}_{\text{prin}})$  to the delta function  $\delta_{\mathbf{g}(A)}$  for its  $g$ -vector  $\mathbf{g}(A) \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ .

In the notation of Construction 6.6,  $\alpha(g)(m) = \alpha_{\mathbf{s}'}(g)(m)$  for any seed  $\mathbf{s}'$ . In particular the sets  $S_{g,\mathbf{s}'} \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  of the construction are independent of the seed, depending only on  $g$ .

*Proof.* It is easy to see from Construction 6.6 and Proposition 6.4 that  $\alpha_{\mathbf{s}}$  is the unique function which satisfies conditions (1-3) of the theorem for the given seed  $\mathbf{s}$ . Moreover

it satisfies (4) for  $\mathbf{s} = \mathbf{s}'$ . Thus it is enough to show that  $\alpha_{\mathbf{s}}$  is independent of the choice of seed.

The basic idea is that  $\alpha_{\mathbf{s}}$  expresses  $g$  as a sum of theta functions. As the theta functions are linearly independent, the expression is unique. But as the sums can be infinite, we make the argument in the appropriate formal neighborhood.

For a seed  $\mathbf{s} = (e_i \mid i \in I)$  we write  $\Sigma^{\mathbf{s}}$  for the fan in  $\tilde{N}^\circ = N^\circ \oplus M$  with rays spanned by the  $(0, d_i f_i)$ . We write  $\bar{\Sigma}^{\mathbf{s}}$  for the fan in  $M$  with rays spanned by the  $d_i f_i$ .

Clearly for the invariance it is enough to consider two adjacent seeds, say  $\mathbf{s} = (e_i \mid i \in I)$  and  $\mathbf{s}' = (e'_i \mid i \in I)$  obtained, without loss of generality, by mutation of the first basis vector  $e_1$ .

We consider the union of the two tori  $T_{\tilde{N}^\circ, \mathbf{s}}, T_{\tilde{N}^\circ, \mathbf{s}'}$  in the atlas for  $\mathcal{A}_{\text{prin}}$ , glued by the mutation  $\mu_1$ , which we recall is given by

$$\mu_1^* : z^{(m,n)} \mapsto z^{(m,n)} \cdot (1 + z^{(v_1, e_1)})^{-\langle (d_1 e_1, 0), (m,n) \rangle},$$

$(m, n) \in \tilde{M}^\circ = M^\circ \oplus N$ , see [GHK13], (2.6). We will partially compactify this union by gluing the toric varieties

$$\mu_1 : \text{TV}(\Sigma^{\mathbf{s}}) \dashrightarrow \text{TV}(\Sigma^{\mathbf{s}'}),$$

writing

$$U := \text{TV}(\Sigma^{\mathbf{s}}) \cup \text{TV}(\Sigma^{\mathbf{s}'})$$

under this gluing. Note this union of toric varieties is *not* part of the atlas for either  $\mathcal{A}_{\text{prin}, \mathbf{s}}$  or  $\mathcal{A}_{\text{prin}, \mathbf{s}'}$  (for either of these, the fans determining the atlases for the toric compactifications are related by geometric tropicalisation of the birational mutation, but here  $\mu_1^T(f_1) \neq f'_1$ , and thus  $\Sigma^{\mathbf{s}'} \neq \mu_1^T(\Sigma^{\mathbf{s}})$ ).

Note  $f'_i = f_i$  for  $i \neq 1$ , while  $f'_1 = -f_1 + \sum_j [\{e_j, e_1\}]_+ d_j f_j$ , (see e.g., [GHK13], (2.3)). Thus the two cones  $\bar{\Sigma}^{\mathbf{s}}, \bar{\Sigma}^{\mathbf{s}'}$  share a codimension one face and form a fan,  $\bar{\Sigma}$ . Let  $V = \text{TV}(\bar{\Sigma})$ . By construction the rational map  $\text{TV}(\Sigma^{\mathbf{s}}) \rightarrow \text{TV}(\bar{\Sigma}^{\mathbf{s}})$  is regular, and the same holds for the seed  $\mathbf{s}'$ . Observe that  $\mu_1$  commutes with the second projection  $\pi : T_{\tilde{N}^\circ} \rightarrow T_M$ . From this it follows that  $\pi : U \rightarrow V$  is regular. Note the toric boundary  $\partial V$  has a unique complete one-dimensional stratum  $\mathbb{P}^1$  and two zero strata  $0_{\mathbf{s}}, 0_{\mathbf{s}'}$ , whose complements in the  $\mathbb{P}^1$  we write as  $\mathbb{A}_{\mathbf{s}'}^1, \mathbb{A}_{\mathbf{s}}^1$  respectively. We write e.g.  $\mathbb{A}_{\mathbf{s}, k}^1 \subset V$  for the  $k^{\text{th}}$  order neighborhood of this curve, and e.g.  $U_{\mathbb{A}_{\mathbf{s}}^1, k}$  for the scheme-theoretic inverse image  $\pi^{-1}(\mathbb{A}_{\mathbf{s}, k}^1) \subset U$ . Finally, let

$$U_{\mathbb{G}_m, k} = U_{\mathbb{A}_{\mathbf{s}}^1, k} \cap U_{\mathbb{A}_{\mathbf{s}'}^1, k} \subset U.$$

We will show theta functions give a basis of functions on these formal neighborhoods. To make the computation transparent we introduce coordinates.

We let  $X_i := z^{(0,e_i)}$ ,  $X'_i := z^{(0,e'_i)}$ , observing that  $\mu_1^*(z^{(0,n)}) = z^{(0,n)}$  for all  $n \in N$ . In particular this holds for all the  $X_i$  or  $X'_i$ . Since there is a map of fans from  $\bar{\Sigma}$  to the fan defining  $\mathbb{P}^1$  by dividing out by the subspace spanned by  $\{d_i f_i \mid i \in I \setminus \{1\}\}$ , there is a map  $V \rightarrow \mathbb{P}^1$ . We can pull back  $\mathcal{O}_{\mathbb{P}^1}(1)$  to  $V$ , getting a line bundle with monomial sections  $X, X'$  pulled back from  $\mathbb{P}^1$  with  $X'/X = X'_1$  in the above notation. The open subset of  $U$  where  $X' \neq 0$  is given explicitly up to codimension two by the hypersurface

$$A_1 \cdot A'_1 = X_1 \prod_{j:\epsilon_{1j} \geq 0} A_i^{\epsilon_{1j}} + \prod_{j:\epsilon_{1j} \leq 0} A_j^{-\epsilon_{1j}} \subset \mathbb{A}_{X_1, \dots, X_n}^n \times \mathbb{A}_{A_1, A'_1}^2 \times (\mathbb{G}_m)_{A_2, \dots, A_n}^{n-1}$$

where  $A_i = z^{(f_i, 0)}$  and  $A'_1 = z^{(f'_1, 0)}$ .

Note the points

$$(f_i, 0), (0, e_i) \in (M^\circ \oplus N)_s = \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T), \quad (f'_1, 0) \in (M^\circ \oplus N)_{s'} = \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$$

lie in the chambers of  $\Delta_s^+$  corresponding to  $s$  and  $s'$ , and thus by Proposition 3.8 these points determine theta functions in  $\text{up}(\mathcal{A}_{\text{prin}})$ , which are of course the corresponding cluster monomials  $A_i, X_i, A'_1$ .

We have the exactly analogous description for the open subset  $X \neq 0$ .

Next observe that all but one of the functions attached to walls in  $\mathfrak{D}_s$  is trivial modulo the ideal  $J = (X_i \mid i \in I \setminus \{1\})$ . Indeed, the unique non-trivial wall is  $((e_1, 0)^\perp, 1 + z^{(v_1, e_1)})$ . It follows from Theorem 1.36 that modulo  $J^k$  the scattering diagram  $\mathfrak{D}_s$  has only finitely many non-trivial walls, and  $\vartheta_{Q,m}$  is regular on  $U_{\mathbb{A}_{s,k}^1}$ , for  $Q$  a basepoint in the distinguished chamber  $\mathcal{C}_s^+$ , so long as  $\pi_N(m) \in \text{Span}(e_1, \dots, e_n)$ ,  $\pi_N : \widetilde{M}^\circ \rightarrow N$  the projection.

Let  $C := \sum_{k=1}^n \mathbb{N}e_k$ ,  $C' := \sum_{k=1}^n \mathbb{N}e'_k$ . Noting  $e'_1 = -e_1$ , we can set

$$\tilde{C} := \mathbb{Z}e_1 + \sum_{k=2}^n \mathbb{N}e_k = \mathbb{Z}e'_1 + \sum_{k=2}^n \mathbb{N}e'_k.$$

Observe  $U_{\mathbb{G}_m, k}$  is the subscheme of  $U$  defined by the ideal  $J^k$  in the open subset  $XX' \neq 0 \subset U$ . Note that the open subset of  $U$  defined by  $XX' \neq 0$ ,  $\prod_{i \neq 1} X_i \neq 0$  is the union of the two tori  $T_{\widetilde{N}^\circ, s}, T_{\widetilde{N}^\circ, s'}$ .

**Claim 6.8.** *The following hold:*

- (1) *The collection  $\vartheta_{Q,m}$ ,  $m \in \widetilde{M}^\circ$ ,  $\pi_N(m) \in C \setminus (\tilde{C}_{k+1} \cap C)$  forms a  $\mathbb{k}$ -basis of the vector space  $\text{up}(U_{\mathbb{A}_{s,k}^1})$ .*
- (2) *The collection  $\vartheta_{Q,(m,0)}$ ,  $m \in M^\circ$ , forms a basis of  $\text{up}(U_{\mathbb{A}_{s,k}^1})$  as an  $H^0(\mathbb{A}_{s,k}^1, \mathcal{O}_{\mathbb{A}_{s,k}^1})$ -module.*
- (3) *The collection  $\vartheta_{Q,m}$ ,  $\pi_N(m) \in \tilde{C} \setminus \tilde{C}_k$ , forms a  $\mathbb{k}$ -basis of  $\text{up}(U_{\mathbb{G}_m, k})$ .*

*Proof.* (2) implies (1) using the  $N$ -linearity of the scattering diagram and multiplication rule with respect to the  $N$ -translation. Similarly, (2) implies (3) by inverting  $X$ .

For the second claim, by Lemma 2.30 of [GHK11], we need only prove the statement for  $k = 0$ . To prove linear independence it is enough to show linear independence modulo  $(X_1^r, X_2, \dots, X_n)$  for all  $r$ . For this, again by Lemma 2.30 of [GHK11], it is enough to just check over the fibre  $X_1 = \dots = X_n = 0$ . This is the torus  $T_{N^\circ}$  and the theta functions restrict to the basis of characters.

So it remains only to show the given theta functions generate modulo  $J$ . Now we use the explicit description of the open subset of  $U$  where  $X' \neq 0$  above. This is an affine variety, and the ring of functions is clearly generated by the  $A_1, A'_1, A_2^{\pm 1}, \dots, A_n^{\pm 1}$  as a  $\mathbb{k}[X_1, \dots, X_n]$ -algebra. On the other hand, by the explicit description of  $\mathfrak{D}_s$  modulo the ideal  $J$ , for  $m = \sum a_i f_i \in M^\circ$ ,

$$\vartheta_{Q,(m,0)} = \begin{cases} \prod_i A_i^{a_i} & a_1 \geq 0 \\ (A'_1)^{-a_1} \prod_{i \neq 1} A_i^{a_i} & a_1 \leq 0. \end{cases}$$

This shows theta functions generate  $\text{up}(U_{\mathbb{A}_{s,0}^1})$  as an  $H^0(\mathbb{A}_{s,0}^1, \mathcal{O}_{\mathbb{A}_{s,0}^1})$ -module, hence the result.  $\square$

Of course there is an analogous claim for  $s'$ .

Now we can prove  $S_{g,s} = S_{g,s'}$  for  $g \in \text{up}(\mathcal{A}_{\text{prin}})$ .

By the  $N$ -translation action on  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  (and the corresponding  $N$ -linearity of the scattering diagrams), to prove the equality, we are free to multiply  $g$  by a monomial from the base of  $\mathcal{A}_{\text{prin}} \rightarrow T_M$ . Multiplying by a monomial in the  $X_i$ ,  $i \neq 1$ , we can then assume  $g$  is a regular function on the open subset of  $U$  where  $XX' \neq 0$ . Now in the notation of Construction 6.6,  $\pi_N(m) \in \tilde{C}$  for  $m \in P_s + S_s$  or  $m \in P_{s'} + S_{s'}$  (where the set  $S_s$  parameterizes non-trivial monomials in  $g$  in the chart indexed by  $s$ ). It follows now from the fact that  $\mathfrak{D}_s$  is finite modulo  $J^k$  for any  $k$  that each  $\vartheta_{Q,m}, \vartheta_{Q',m}$  for  $m \in S_{g,s}, S_{g,s'}$  is a finite Laurent polynomial modulo  $J^k$ . Here  $Q, Q'$  are basepoints in the chambers indexed by  $s$  and  $s'$  respectively.

**Claim 6.9.** *Modulo  $J^k$ , the sums  $\sum_{m \in S_{g,s}} \alpha_m \vartheta_{Q,m}$ ,  $\sum_{m \in S_{g,s'}} \alpha'_m \vartheta_{Q',m}$  are finite, and coincide with  $g$  in the charts indexed by  $s$  and  $s'$  respectively.*

*Proof.* By symmetry it's enough to treat  $s$ . We can multiply both sides by a power of  $X_1$ , and so may assume  $g$  is regular on  $X' \neq 0$ , and  $\pi_N(m) \in C$  for each  $\alpha_m \neq 0$ . Note  $\pi_N(P_s \setminus \{0\}) = C \setminus \{0\}$ , thus by construction modulo  $(X_1, \dots, X_n)^r$  for any  $r$  the sum  $\sum_{m \in S_{g,s}} \alpha_m \vartheta_{Q,m}$  is finite and equal to  $g$ . By Claim 6.8, (1), we have a (finite)

expression modulo  $J^k$

$$g = \sum_{\pi_N(m) \in C \setminus (C \cap \tilde{C}_{k+1})} \beta(m) \vartheta_{Q,m}.$$

Thus, for fixed  $k$  and arbitrary  $r \geq 1$  we have modulo  $J^k + (X_1^r)$ ,

$$\begin{aligned} g &= \sum_{\pi_N(m) \in C \setminus (C \cap \tilde{C}_{k+1})} \beta(m) \vartheta_{Q,m} \\ &= \sum_{m \in S_{g,s}} \alpha_m \vartheta_{Q,m}. \end{aligned}$$

By the linear independence these expressions are the same, for all  $r$ , thus the expressions are the same modulo  $J^k$ .  $\square$

Note that by Theorem 3.5, for  $m \in \pi_N^{-1}(\tilde{C})$ ,  $\vartheta_{Q,m}$  and  $\vartheta_{Q',m}$  induce the same regular function  $\vartheta_m$  on  $U_{\mathbb{G}_m, k}$ . Thus we have by Claim 6.9 that

$$g = \sum_{m \in S_{g,s}} \alpha_m \vartheta_m = \sum_{m \in S_{g,s'}} \alpha'_m \vartheta_m \pmod{J^k}.$$

Now by (3) of Claim 6.8 (varying  $k$ ) the coefficients in the sums are the same.  $\square$

The theorem implies that the theta functions are a topological basis for a natural topological  $\mathbb{k}$ -algebra completion of  $\text{up}(\mathcal{A}_{\text{prin}})$ :

**Corollary 6.10.** *For  $n \in N$ , let  $n^* : \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T), \mathbb{k}) \rightarrow \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T), \mathbb{k})$  denote precomposition by the action of translation by  $n$  on  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ . Let*

$$\overline{\text{up}(\mathcal{A}_{\text{prin}})} \subset \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T), \mathbb{k})$$

*be the vector subspace of functions,  $f$ , such that for each seed  $\mathbf{s}$ , there exists  $n \in N$  for which the restriction of  $n^*(f)$  to  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) \setminus \pi_{N,\mathbf{s}}^{-1}((N_{\mathbf{s}}^+)_k)$  has finite support for all  $k > 0$ . Then we have*

$$\text{up}(\mathcal{A}_{\text{prin}}) \subset \overline{\text{up}(\mathcal{A}_{\text{prin}})} = \bigcap_{\mathbf{s}} \widehat{\text{up}(\mathcal{A}_{\text{prin},\mathbf{s}})} \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} \mathbb{k}[N] \subset \text{Hom}_{\text{sets}}(\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T), \mathbb{k}).$$

$\overline{\text{up}(\mathcal{A}_{\text{prin}})}$  is a complete topological vector space under the weakest topology so that each inclusion  $\overline{\text{up}(\mathcal{A}_{\text{prin}})} \subset \widehat{\text{up}(\mathcal{A}_{\text{prin},\mathbf{s}})} \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} \mathbb{k}[N]$  is continuous. Let  $\vartheta_q = \delta_q \in \overline{\text{up}(\mathcal{A}_{\text{prin}})}$  be the delta function associated to  $q \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ . The  $\vartheta_q$  are a topological basis for  $\overline{\text{up}(\mathcal{A}_{\text{prin}})}$ . There is a unique topological  $\mathbb{k}$ -algebra structure on  $\overline{\text{up}(\mathcal{A}_{\text{prin}})}$  such that  $\vartheta_p \cdot \vartheta_q = \sum_r \alpha(p, q, r) \vartheta_r$  with structure constants given by Definition 6.2.

## 7. THE MIDDLE CLUSTER ALGEBRA

**7.1. The middle algebra for  $\mathcal{A}_{\text{prin}}$ .** Recall from Definition 1.40 that  $\Delta_{\mathbf{s}}^+$  is the collection of chambers forming the cluster complex. Abstractly this can be viewed as giving a collection of chambers  $\Delta^+$  in  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$ .

**Proposition 7.1.** *Choose  $m_0 \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ . If for some generic basepoint  $Q \in \sigma \in \Delta^+$  there are only finitely many broken lines  $\gamma$  with  $I(\gamma) = m_0$  and  $b(\gamma) = Q$ , then the same is true for any generic  $Q' \in \sigma' \in \Delta^+$ . In particular,  $\vartheta_{Q,m_0} \in \mathbb{k}[\widetilde{M}^{\circ}]$  is a positive universal Laurent polynomial.*

*Proof.* By positivity of the scattering diagram, Theorem 1.28, for any basepoint  $Q$ ,  $\vartheta_{Q,m_0}$  has only non-negative coefficients (though it may have infinitely many terms). Also, we know that for basepoints in different chambers, the  $\vartheta_{Q,m_0}$  are related by wall-crossings by Theorem 3.5, which in turn are identified with the mutations of tori in the atlas for  $\mathcal{A}_{\text{prin}}$ . So the  $\vartheta_{Q,m_0}$  determine a universal positive Laurent polynomial if and only if we have finiteness of broken lines ending at any  $Q$  in any chamber of  $\Delta_{\mathbf{s}}^+$ . If we vary  $Q$  in the chamber,  $\vartheta_{Q,m_0}$  does not change. So it's enough to check that if  $\vartheta_{Q,m_0}$  is a polynomial, the same is true of  $\vartheta_{Q',m_0}$  for  $Q'$  in an adjacent chamber  $\sigma'$  to  $\sigma$  close to the wall  $\sigma \cap \sigma'$ . We can work in some seed. Let the wall be contained in  $n_0^{\perp}$ ,  $n_0 \in \widetilde{N}^{\circ}$ , with  $\langle n_0, Q \rangle > 0$ , and denote the wall-crossing automorphism from  $Q$  to  $Q'$  as  $\theta$ . Monomials  $m \in \widetilde{M}^{\circ}$  are divided into three groups, according to the sign of  $\langle n_0, m \rangle$ . This sign is preserved by  $\theta$ , as  $n_0$  takes the same value on each exponent of a monomial term in  $\theta(z^m)$  as  $n_0$  takes on  $m$ . Monomials with  $\langle n_0, m \rangle = 0$  are invariant under  $\theta$ , so these terms in  $\vartheta_{Q',m_0}$  coincide with those in  $\vartheta_{Q,m_0}$ . Hence there are only a finite number of such terms in  $\vartheta_{Q',m_0}$ .

Recall that  $\theta_{\gamma,\mathfrak{D}}(z^m) = z^m f^{\langle n_0, m \rangle}$ , where for walls between cluster chambers,  $f$  is some positive Laurent polynomial (in fact it has the form  $1 + z^q$  for some  $q \in \widetilde{M}^{\circ}$ ). The sum of terms of the form  $cz^m$  in  $\vartheta_{Q,m_0}$  with  $\langle n_0, m \rangle > 0$ , which we know form a Laurent polynomial, is thus sent to a polynomial. So it only remains to show that there are only finitely many terms  $cz^m$  in  $\vartheta_{Q',m_0}$  with  $\langle n_0, m \rangle < 0$ . Suppose the contrary is true. The direction vector of each broken line contributing to such terms at  $Q'$  is towards the wall  $\sigma \cap \sigma'$ , and so we can extend the final segment of any such broken line to obtain a broken line terminating at some point  $Q''$  (depending on  $m$ ) in the same chamber as  $Q$ . As there are no cancellations because of the positivity of all coefficients and  $\vartheta_{Q,m_0}$  does not depend on the location of  $Q$  inside the chamber by Theorem 3.5, we see that  $\vartheta_{Q,m_0}$  has an infinite number of terms, a contradiction.  $\square$

**Definition 7.2.** Let  $\Theta \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  be the collection of  $m_0$  such that for some (or equivalently, by Proposition 7.1, any) generic  $Q \in \sigma \in \Delta^+$  there are only finitely many broken lines  $\gamma$  with  $I(\gamma) = m_0$ ,  $b(\gamma) = Q$ .

**Definition 7.3.** We call a subset  $S \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  *intrinsically closed under addition* if  $p, q \in S$  and  $\alpha(p, q, r) \neq 0$  implies  $r \in S$ .

**Lemma 7.4.** *Let  $S \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  be intrinsically closed under addition. The image of  $S$  in  $\widetilde{M}_{\mathbf{s}}^{\circ}$  (under the identification  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) = \widetilde{M}_{\mathbf{s}}^{\circ}$  induced by the seed  $\mathbf{s}$ ) is closed under addition for any seed  $\mathbf{s}$ . If for some seed  $S \subset \widetilde{M}_{\mathbf{s}}^{\circ}$  is a toric monoid (i.e., the integral points of a convex rational polyhedral cone), then this holds for any seed.*

*Proof.* Choose a seed  $\mathbf{s}$ . Then straight lines in Definition-Lemma 6.2 show  $\alpha(p, q, p+q) \neq 0$ . This gives closure under addition. Now suppose  $S \subset \widetilde{M}_{\mathbf{s}}^{\circ}$  is a toric monoid, generating the convex rational polyhedral cone  $W \subset \widetilde{M}_{\mathbf{s}, \mathbb{R}}^{\circ}$ . Then  $\mu_{\mathbf{s}, \mathbf{s}'}(W) \subset \widetilde{M}_{\mathbf{s}', \mathbb{R}}^{\circ}$  is a rational polyhedral cone with integral points  $S \subset \widetilde{M}_{\mathbf{s}'}^{\circ}$ . As this set of integral points is closed under addition,  $\mu_{\mathbf{s}, \mathbf{s}'}(W)$  is convex, and so its integral points are a toric monoid.  $\square$

Recall from the introduction the definition of global monomial (Definition 0.1).

**Theorem 7.5.** *Let*

$$\Delta^+(\mathbb{Z}) = \bigcup_{\sigma \in \Delta^+} \sigma \cap \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$$

*be the set of integral points in chambers of the cluster complex. Then*

- (1)  $\Delta^+(\mathbb{Z}) \subset \Theta$ .
- (2) For  $p_1, p_2 \in \Theta$

$$\vartheta_{p_1} \cdot \vartheta_{p_2} = \sum_r \alpha(p_1, p_2, r) \vartheta_r$$

*is a finite sum (i.e.,  $\alpha(p_1, p_2, r) = 0$  for all but finitely many  $r$ ) with non-negative integer coefficients. If  $\alpha(p_1, p_2, r) \neq 0$ , then  $r \in \Theta$ .*

- (3) *The set  $\Theta$  is intrinsically closed under addition. For any seed  $\mathbf{s}$ , the image of  $\Theta \subset \widetilde{M}_{\mathbf{s}}^{\circ}$  is a saturated monoid.*
- (4) *The structure constants  $\alpha(p, q, r)$  of Definition 6.2 make the  $\mathbb{k}$ -vector space with basis indexed by  $\Theta$ ,*

$$\text{mid}(\mathcal{A}_{\text{prin}}) := \bigoplus_{q \in \Theta} \mathbb{k} \cdot \vartheta_q$$

into an associative commutative  $\mathbb{k}[N]$ -algebra. There are canonical inclusions of  $\mathbb{k}[N]$ -algebras

$$\begin{aligned} \text{ord}(\mathcal{A}_{\text{prin}}) &\subset \text{mid}(\mathcal{A}_{\text{prin}}) \subset \text{up}(\mathcal{A}_{\text{prin}}) \\ &\subset \widehat{\text{up}(\mathcal{A}_{\text{prin},s})} \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} \mathbb{k}[N]. \end{aligned}$$

Under the first inclusion a cluster monomial  $Z$  is identified with  $\vartheta_{\mathbf{g}(Z)}$  for  $\mathbf{g}(Z) \in \Delta^+(\mathbb{Z})$  its  $g$ -vector. Under the second inclusion each  $\vartheta_q$  is identified with a universal positive Laurent polynomial.

*Proof.* (1) is immediate from Proposition 3.8. For (2), first note that the coefficients  $\alpha(p_1, p_2, r)$  are non-negative by Definition-Lemma 6.2. Suppose  $p_1, p_2 \in \Theta$ . Take a generic basepoint  $Q$  in some cluster chamber. Then  $\vartheta_{Q,p_1} \cdot \vartheta_{Q,p_2}$  is the product of two Laurent polynomials, thus a Laurent polynomial. It is equal to  $\sum_r \alpha(p_1, p_2, r) \vartheta_{Q,r}$  by (3) of Proposition 6.4, and hence this sum must be finite, as it involves a positive linear combination of series with positive coefficients. Further, each  $\vartheta_{Q,r}$  appearing with  $\alpha(p_1, p_2, r) \neq 0$  must be a Laurent polynomial for the same reason. Thus  $r \in \Theta$  by Definition 7.2. (2) then immediately implies  $\Theta$  is intrinsically closed under addition.

For (4), note each  $\vartheta_{Q,p}$ ,  $p \in \Theta$  is a universal positive Laurent polynomial by Proposition 7.1. For  $p \in \Delta^+(\mathbb{Z})$ ,  $\vartheta_p \in \text{up}(\mathcal{A}_{\text{prin}})$  is the corresponding cluster monomial by (4) of Theorem 6.7. The inclusions of algebras, and the associativity of the multiplication on mid follow from Proposition 6.4.

Finally we complete the proof of (3) by checking that  $\Theta$  is saturated. Assume  $k \cdot q \in \Theta$  for some integer  $k \geq 1$ . Take  $Q$  to be a generic basepoint in some cluster chamber. We show that the set of final monomials  $S(q) := \{F(\gamma)\}$  for broken lines  $\gamma$  with  $I(\gamma) = q$ ,  $b(\gamma) = Q$  is finite. By assumption (and the positivity of the scattering diagram), this holds with  $q$  replaced by  $kq$ . So it is enough to show  $m \in S(q)$  implies  $km \in S(kq)$ . Indeed, it is easy to see that for every broken line  $\gamma$  for  $q$  ending at  $Q$ , there is a broken line  $\gamma'$  for  $kq$  with the same underlying path, such that for every domain of linearity  $L$  of  $\gamma$ , the exponents  $m_L$  and  $m'_L$  of the monomial decorations of  $L$  for  $\gamma$  and  $\gamma'$  respectively satisfy  $m'_L = km_L$ . This completes the proof of (3), hence the theorem.  $\square$

The above theorem immediately implies:

**Corollary 7.6.** *Theorem 0.2 is true for  $V = \mathcal{A}_{\text{prin}}$ .*

The following shows our theta functions are well-behaved with respect to the canonical torus action on  $\mathcal{A}_{\text{prin}}$ .

**Proposition 7.7.** *Let  $q \in \Theta \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z})$ . Then  $\vartheta_q \in \text{up}(\mathcal{A}_{\text{prin}})$  is an eigenfunction for the natural  $T_{N^\circ}$  action on  $\mathcal{A}_{\text{prin}}$  (see Proposition B.2, (2)), with weight  $w(q)$  where  $w : \widetilde{M}^\circ \rightarrow M^\circ$  is given by  $(m, n) \mapsto m - p^*(n)$ .*

*Proof.* Pick a seed  $\mathbf{s}$ , giving an identification  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z})$  with  $\widetilde{M}^\circ$ . Pick also a general basepoint  $Q \in \mathcal{C}_{\mathbf{s}}^+$ . We need to show that for any broken line  $\gamma$  in  $\widetilde{M}_{\mathbb{R}}^\circ$  for  $q$  with endpoint  $Q$ ,  $\text{Mono}(\gamma)$  is a semi-invariant for the  $T_{N^\circ}$  action with weight  $w(q)$ . Now the  $T_{N^\circ}$  action on the seed torus  $T_{\widetilde{N}^\circ, \mathbf{s}} \subset \mathcal{A}_{\text{prin}}$  is given by the map  $N^\circ \rightarrow \widetilde{N}^\circ$ ,  $n \mapsto (n, p^*(n))$ , and the transpose map on character lattices gives the weights for the action. The transpose is precisely  $w$ . Now  $w(v_i, e_i) = 0$ , so every monomial appearing in any function  $f_\delta$  for  $(\mathfrak{d}, f_\delta) \in \mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  is in the kernel of  $w$ . The result follows.  $\square$

With more work, we will define the middle cluster algebra for  $V = \mathcal{A}_t$  or  $\mathcal{X}$ .

**7.2. From  $\mathcal{A}_{\text{prin}}$  to  $\mathcal{A}_t$  and  $\mathcal{X}$ .** We now show how the various structures we have used to understand  $\mathcal{A}_{\text{prin}}$  induce similar structures for  $\mathcal{A}_t$  and  $\mathcal{X}$ .

By [GHK13], §3, each seed  $\mathbf{s}$  (in the  $\mathcal{X}$ ,  $\mathcal{A}$  and  $\mathcal{A}_{\text{prin}}$  cases) gives a *toric model* for  $V$ . The seed specifies the data of a fan  $\Sigma_{\mathbf{s}, V}$ , consisting only of rays (so the boundary  $\bar{D} \subset \text{TV}(\Sigma_{\mathbf{s}, V})$  of the associated toric variety is a disjoint union of tori). The seed also then specifies a blowup  $Y \rightarrow \text{TV}(\Sigma_{\mathbf{s}, V})$  with codimension two center, the disjoint union of divisors  $Z_i \subset \bar{D}_i$  in each of the disjoint irreducible components  $\bar{D}_i \subset \bar{D}$ . If  $D$  is the proper transform of  $\bar{D}$ , then there is a birational map  $Y \setminus D \dashrightarrow V$ . This map is an isomorphism outside of codimension two between  $Y \setminus D$  and the upper bound (see [GHK13], Remark 3.13, [BFZ05], Def. 1.1)  $V_{\mathbf{s}} \subset V$ , which we recall is the union of  $T_{L, \mathbf{s}}$  with  $T_{L, \mathbf{s}'}$  for the *adjacent seeds*,  $\mathbf{s}' = \mu_k(\mathbf{s})$ ,  $k \in I_{\text{uf}}$ . In the case  $V = \mathcal{A}_{\text{prin}}$ ,  $\mathcal{X}$  or  $\mathcal{A}_t$  for very general  $t$ , the inclusion  $V_{\mathbf{s}} \subset V$  is an isomorphism outside codimension two. We have

$$\begin{aligned} \Sigma_{\mathbf{s}, \mathcal{A}} &= \{\mathbb{R}_{\geq 0}e_i \mid i \in I_{\text{uf}}\}, \\ \Sigma_{\mathbf{s}, \mathcal{X}} &= \{-\mathbb{R}_{\geq 0}v_i \mid i \in I_{\text{uf}}\}. \end{aligned}$$

From these toric models it is easy to determine the global monomials:

**Lemma 7.8** (Global Monomials). *Notation as immediately above. For  $m \in \text{Hom}(L_{\mathbf{s}}, \mathbb{Z})$ , the character  $z^m$  on the torus  $T_{L, \mathbf{s}} \subset V$  is a global monomial if and only if  $z^m$  is regular on the toric variety  $\text{TV}(\Sigma_{\mathbf{s}, V})$ , which holds if and only if  $\langle m, n \rangle \geq 0$  for the primitive generator  $n$  of each ray in the fan  $\Sigma_{\mathbf{s}, V}$ . For  $\mathcal{A}$ -type cluster varieties a global monomial is the same as a cluster monomial, i.e., a monomial in the variables of a single cluster, where the non-frozen variables have non-negative exponent.*

*Proof.* We have a surjection  $Y \rightarrow \mathrm{TV}(\Sigma_{\mathbf{s},V})$  by construction of  $Y$ , and thus a monomial  $z^m$  is regular on  $\mathrm{TV}(\Sigma_{\mathbf{s},V})$  if and only if its pull-back to  $Y$  is regular. Certainly such a function is also regular on  $Y \setminus D$ . Conversely, suppose  $z^m$  is not regular on  $\mathrm{TV}(\Sigma_{\mathbf{s},V})$ . Then it has a pole on some toric boundary divisor  $\bar{D}_i$ . As  $z^m$  has no zeros on the big torus, the divisor of zeros of  $z^m$  will not contain the center  $Z_i \subset \bar{D}_i$ . It follows that  $z^m$  has a pole along the exceptional divisor  $E_i$  over  $Z_i$ . Since  $E_i \cap (Y \setminus D) \neq \emptyset$ ,  $z^m$  is not regular on  $Y \setminus D$ . Thus we conclude that  $z^m$  is regular on  $Y \setminus D$  if and only if  $z^m$  is regular on  $\mathrm{TV}(\Sigma_{\mathbf{s},V})$ . Of course,  $z^m$  is regular on  $\mathrm{TV}(\Sigma_{\mathbf{s},V})$  if and only if  $\langle m, n \rangle \geq 0$  for all primitive generators  $n$  of rays of  $\Sigma_{\mathbf{s},V}$ .

Now the rational map  $Y \setminus D \dashrightarrow V_{\mathbf{s}}$  to the upper bound is an isomorphism outside codimension two, so the two varieties have the same global functions. In the  $\mathcal{X}$  (or  $\mathcal{A}_{\mathrm{prin}}$ ) case, the inclusion  $V_{\mathbf{s}} \subset V$  is an isomorphism outside codimension two as well. This gives the theorem for  $\mathcal{X}$  or  $\mathcal{A}_{\mathrm{prin}}$ , and the forward direction for  $\mathcal{A}_t$ . The reverse direction for  $\mathcal{A}_t$  follows from the Laurent phenomenon. Indeed, the final statement of the lemma simply describes the monomials regular on  $\mathrm{TV}(\Sigma_{\mathbf{s},\mathcal{A}})$ , and a monomial of the given form is the same as a cluster monomial and these are global regular functions by the Laurent phenomenon.  $\square$

Recall from Proposition B.2, (4), the canonical maps  $\rho : \mathcal{A}_{\mathrm{prin}}^{\vee} \rightarrow \mathcal{A}^{\vee}$  and  $\xi : \mathcal{X}^{\vee} \rightarrow \mathcal{A}_{\mathrm{prin}}^{\vee}$  whose tropicalizations are

$$\rho^T : (m, n) \mapsto m \quad \xi^T : n \mapsto (-p^*(n), -n).$$

Note  $\rho^T$  identifies  $\mathcal{A}^{\vee}(\mathbb{Z}^T)$  with the quotient of  $\mathcal{A}_{\mathrm{prin}}^{\vee}(\mathbb{Z}^T)$  by the natural  $N$ -action. Since  $\xi$  identifies  $\mathcal{X}^{\vee}$  with the fibre over  $e$  of  $w : \mathcal{A}_{\mathrm{prin}}^{\vee} \rightarrow T_{M^{\circ}}$ ,  $\xi^T$  identifies  $\mathcal{X}^{\vee}(\mathbb{Z}^T)$  with  $w^{-1}(0)$ , where  $w : \mathcal{A}_{\mathrm{prin}}^{\vee}(\mathbb{Z}^T) \rightarrow M^{\circ}$  is the weight map given by  $w(m, n) = m - p^*(n)$ .

**Definition 7.9.** Let  $V = \bigcup_{\mathbf{s}} T_{L,\mathbf{s}}$  be a cluster variety, and suppose  $f \in \mathrm{up}(V)$  is a global monomial, and  $f|_{T_{L,\mathbf{s}} \subset V}$  is the character  $z^m$ ,  $m \in \mathrm{Hom}(L, \mathbb{Z}) = L^*$ . Define  $\mathcal{C}_{\mathbf{s}}^+(\mathbb{Z}) \subset V^{\vee}(\mathbb{Z}^T)$  to be the set of  $g$ -vectors (see Definition 5.10) for global monomials which are characters on the seed torus  $T_{L,\mathbf{s}} \subset V$ , and  $\Delta_V^+(\mathbb{Z}) \subset V^{\vee}(\mathbb{Z}^T)$  to be the union of all  $\mathcal{C}_{\mathbf{s}}^+(\mathbb{Z})$ .

**Lemma 7.10.** (1) For  $V$  of  $\mathcal{A}$ -type  $\mathcal{C}_{\mathbf{s}}^+(\mathbb{Z})$  is the set of integral points of the cone  $\mathcal{C}_{\mathbf{s}}^+$  in the Fock-Goncharov cluster complex corresponding to the seed  $\mathbf{s}$ .  
(2) In any case  $\mathcal{C}_{\mathbf{s}}^+(\mathbb{Z})$  is the set of integral points of a rational convex cone  $\mathcal{C}_{\mathbf{s}}^+$ , and the relative interiors of  $\mathcal{C}_{\mathbf{s}}^+$  as  $\mathbf{s}$  varies are disjoint. The  $g$ -vector  $\mathbf{g}(f) \in V^{\vee}(\mathbb{Z}^T)$  depends only on the function  $f$  (i.e., if  $f$  restricts to character on two different seed tori, the  $g$ -vectors they determine are the same).

- (3) For  $m \in w^{-1}(0) \cap \Delta_{\mathcal{A}_{\text{prin}}}^+(\mathbb{Z})$ , the global monomial  $\vartheta_m$  on  $\mathcal{A}_{\text{prin}}$  is invariant under the  $T_{N^\circ}$  action and thus gives a global function on  $\mathcal{X} = \mathcal{A}_{\text{prin}}/T_{N^\circ}$ . This is a global monomial and all global monomials on  $\mathcal{X}$  occur this way, and  $m = \mathbf{g}(\vartheta_m)$ .

*Proof.* (1) In the  $\mathcal{A}$  case,  $\mathcal{C}_s^+$  is the Fock-Goncharov cone by Lemma 2.9 and Lemma 7.8. These cones form a fan by Theorem 2.12, and the fan statement implies that  $\mathbf{g}(f)$  depends only on  $f$ .

The  $\mathcal{A}$  case of (2) immediately follows also from the discussion in §5. The  $\mathcal{X}$  case follows from the  $\mathcal{A}$ -case (applied to  $\mathcal{A}_{\text{prin}}$ ) by recalling that there is a map  $\tilde{p} : \mathcal{A}_{\text{prin}} \rightarrow \mathcal{X}$  making  $\mathcal{A}_{\text{prin}}$  into  $T_{N^\circ}$ -torsor over  $\mathcal{X}$ , see Proposition B.2, (2). This map is defined on monomials by  $\tilde{p}^*(z^n) = z^{(p^*(n), n)}$ . Pulling back a monomial for  $\mathcal{X}$  under  $\tilde{p}$  gives a  $T_{N^\circ}$ -invariant global monomial for  $\mathcal{A}_{\text{prin}}$ . Thus there is an inclusion  $\Delta_{\mathcal{X}}^+(\mathbb{Z}) \subseteq w^{-1}(0) \cap \Delta_{\mathcal{A}_{\text{prin}}}^+(\mathbb{Z})$  by Proposition 7.7. Conversely, if  $m \in w^{-1}(0)$  and  $m = \mathbf{g}(f)$  for a global monomial  $f$  on  $\mathcal{A}_{\text{prin}}$ , then there is some seed  $\mathbf{s} = (e_1, \dots, e_n)$  where  $f$  is represented by a monomial  $z^m$  on  $\widetilde{T}_{\tilde{N}^\circ, \mathbf{s}}$ . Because  $m \in \widetilde{M}_s^\circ$  lies in  $w^{-1}(0)$  it is of the form  $m = (p^*(n), n)$  for some  $n \in N$ . By Lemma 7.8,  $m$  is non-negative on the rays  $\mathbb{R}_{\geq 0}(e_i, 0)$  of  $\Sigma_{\mathbf{s}, \mathcal{A}_{\text{prin}}}$ , hence  $n$  is non-negative on the rays  $-\mathbb{R}_{\geq 0}v_i$  of  $\Sigma_{\mathbf{s}, \mathcal{X}}$ . Hence  $z^n$  defines a global monomial on  $\mathcal{X}$ . Thus  $\Delta_{\mathcal{X}}^+(\mathbb{Z}) = w^{-1}(0) \cap \Delta_{\mathcal{A}_{\text{prin}}}^+(\mathbb{Z})$ . Furthermore, one then sees that the Fock-Goncharov cones for  $\mathcal{A}_{\text{prin}}$  yield the cones for  $\mathcal{X}$  by intersecting with  $w^{-1}(0)$ . This gives the remaining statements of (2) in the  $\mathcal{X}$  case, as well as (3).  $\square$

**Construction 7.11** (Broken lines for  $\mathcal{X}$  and  $\mathcal{A}$ ). *The  $\mathcal{X}$  case.* Note that every function  $f_\delta$  attached to a wall in  $\mathfrak{D}_s^{\mathcal{A}_{\text{prin}}}$  is a power series in  $z^{(p^*(n), n)}$  for some  $n$ , thus  $w$  is zero on all exponents appearing in these functions. Thus broken lines with both  $I(\gamma)$  and initial infinite segment lying in  $w^{-1}(0)$  remain in  $w^{-1}(0)$ . In particular  $b(\gamma) \in w^{-1}(0)$ , and all their monomial decorations, e.g.,  $F(\gamma)$ , are in  $w^{-1}(0)$ . We define these to be the broken lines in  $\mathcal{X}^\vee(\mathbb{R}^T)$ .<sup>1</sup>

*The  $\mathcal{A}$  case.* We define broken lines in  $\mathcal{A}^\vee(\mathbb{R}^T)$  to be images of broken lines in  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$  under  $\rho^T$  (applying the derivative  $D\rho^T$  to the decorating monomials).

**Definition 7.12.** We define

$$(1) \quad \Theta(\mathcal{X}) := \Theta(\mathcal{A}_{\text{prin}}) \cap w^{-1}(0) \subset \mathcal{X}^\vee(\mathbb{Z}^T) = \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T) \cap w^{-1}(0).$$

(2)

$$\text{mid}(\mathcal{X}) := \text{mid}(\mathcal{A}_{\text{prin}})^{T_{N^\circ}} = \bigoplus_{q \in \Theta(\mathcal{X})} \mathbb{k}\vartheta_q,$$

<sup>1</sup>In fact each  $\mathfrak{D}_s^{\mathcal{A}_{\text{prin}}}$  induces a collection of walls with attached functions,  $\mathfrak{D}_s^{\mathcal{X}}$ , living in  $N_{\mathbb{R}, \mathbf{s}}$ , just by intersecting each wall with  $w^{-1}(0)$  and taking the same scattering function. This is a consistent scattering diagram, and we are getting exactly the broken lines for this diagram. We will not use this diagram, as we can get whatever we need from  $\mathfrak{D}^{\mathcal{A}_{\text{prin}}}$ .

where the superscript denotes the invariant part under the group action.

**Corollary 7.13.** *Theorem 0.2 holds for  $V = \mathcal{X}$ .*

*Proof.* This follows immediately from the  $\mathcal{A}_{\text{prin}}$  case by taking  $T_{N^\circ}$ -invariants.  $\square$

Moving on to the  $\mathcal{A}$  case, the following is easily checked:

**Definition-Lemma 7.14.** (1) *Define*

$$\Theta(\mathcal{A}_t) := \rho^T(\Theta(\mathcal{A}_{\text{prin}})) \subset \mathcal{A}^\vee(\mathbb{Z}^T).$$

*Noting that  $\Theta(\mathcal{A}_{\text{prin}}) \subset \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  is invariant under  $N$ -translation, we have  $\Theta(\mathcal{A}_{\text{prin}}) = (\rho^T)^{-1}(\Theta(\mathcal{A}_t))$ . Furthermore, any choice of section  $\Sigma : \mathcal{A}^\vee(\mathbb{Z}^T) \rightarrow \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  of  $\rho^T$  induces a bijection  $\Theta(\mathcal{A}_{\text{prin}}) \rightarrow \Theta(\mathcal{A}_t) \times N$ .*

(2) *Define  $\text{mid}(\mathcal{A}_t) = \text{mid}(\mathcal{A}_{\text{prin}}) \otimes_{\mathbb{k}[N]} \mathbb{k}$ , where  $\mathbb{k}[N] \twoheadrightarrow \mathbb{k}$  is given by  $t \in T_M$ . Given a choice of  $\Sigma$ , the collection  $\vartheta_m, m \in \Sigma(M^\circ)$  gives a  $\mathbb{k}[N]$ -module basis for  $\text{mid}(\mathcal{A}_{\text{prin}})$  and thus a  $\mathbb{k}$ -vector space basis for  $\text{mid}(\mathcal{A}_t)$ . For  $\text{mid}(\mathcal{A})$  the basis is independent of the choice of  $\Sigma$ , while for  $\text{mid}(\mathcal{A}_t)$  it is independent up to scaling each basis vector (i.e., the decomposition of the vector space  $\text{mid}(\mathcal{A})$  into one dimensional subspaces is canonical).*

$\square$

The variety  $\mathcal{A}_t$  is a space  $\mathcal{A}_t := \bigcup_{\mathfrak{s}} T_{N^\circ, \mathfrak{s}}$  with the tori glued by birational maps which vary with  $t$ . It is then not so clear how to dualize these birational maps to obtain  $\mathcal{A}_t^\vee$  as it is not obvious how to deal with these parameters. However, the tropicalisations of these birational maps are all the same (independent of  $t$ ) and thus the tropical sets  $\mathcal{A}_t^\vee(\mathbb{Z}^T)$  should all be canonically identified with  $\mathcal{A}^\vee(\mathbb{Z}^T)$ . So we just take:

**Definition 7.15.**  $\mathcal{A}_t^\vee(\mathbb{Z}^T) := \mathcal{A}^\vee(\mathbb{Z}^T)$ .

**Theorem 7.16.** *For  $V = \mathcal{A}_t$  the following modified statements of Theorem 0.2 hold.*

(1) *There is a map*

$$\alpha_{\mathcal{A}_t} : V^\vee(\mathbb{Z}^T) \times V^\vee(\mathbb{Z}^T) \times V^\vee(\mathbb{Z}^T) \rightarrow \mathbb{k} \cup \{\infty\},$$

*depending on a choice of a section  $\Sigma : \mathcal{A}^\vee(\mathbb{Z}^T) \rightarrow \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$ . This function is given by the formula*

$$\alpha_{\mathcal{A}_t}(p, q, r) = \sum_{n \in N} \alpha_{\mathcal{A}_{\text{prin}}}(\Sigma(p), \Sigma(q), \Sigma(r) + n) z^n(t)$$

*if this sum is finite; otherwise we take  $\alpha_{\mathcal{A}_t}(p, q, r) = \infty$ . This sum is finite whenever  $p, q, r \in \Theta(\mathcal{A}_t)$ .*

- (2) *There is a canonically defined subset  $\Theta \subset V^\vee(\mathbb{Z}^T)$  given by  $\Theta = \Theta(\mathcal{A}_t)$  such that the restriction of the structure constants give the vector subspace  $\text{mid}(V) \subset \text{can}(V)$  with basis indexed by  $\Theta$  the structure of an associative commutative  $\mathbb{k}$ -algebra.*
- (3)  *$\Delta_V^+(\mathbb{Z}) \subset \Theta$ , i.e.,  $\Theta$  contains the  $g$ -vector of each global monomial.*
- (4) *For the lattice structure on  $V^\vee(\mathbb{Z}^T)$  determined by any choice of seed,  $\Theta \subset V^\vee(\mathbb{Z}^T)$  is closed under addition. Furthermore  $\Theta$  is saturated.*
- (5) *There is a  $\mathbb{k}$ -algebra map  $\nu : \text{mid}(V) \rightarrow \text{up}(V)$  which sends  $\vartheta_p$  for  $p \in \Delta_V^+(\mathbb{Z})$  to a multiple of the corresponding global monomial.*
- (6) *There is no analogue of (6) of Theorem 0.2 because the coefficients of the  $\vartheta_{Q,p}$  will generally not be integers.*
- (7)  *$\nu$  is injective for very general  $t$ , and for all  $t$  if the vectors  $v_i$  lie in a strictly convex cone. When  $\nu$  is injective we have canonical inclusions*

$$\text{ord}(V) \subset \text{mid}(V) \subset \text{up}(V).$$

Taking  $t = e$  gives Theorem 0.2 for the  $V = \mathcal{A}$  case.

*Proof.* For (1), note that for  $p, q \in \Theta(\mathcal{A}_t)$ , we have  $\Sigma(p), \Sigma(q) \in \Theta(\mathcal{A}_{\text{prin}})$  and on  $\mathcal{A}_{\text{prin}}$

$$\begin{aligned} \vartheta_{\Sigma(p)} \cdot \vartheta_{\Sigma(q)} &= \sum_{r \in \Theta(\mathcal{A}_{\text{prin}})} \alpha_{\mathcal{A}_{\text{prin}}}(\Sigma(p), \Sigma(q), r) \vartheta_r \\ &= \sum_{r \in \Theta(\mathcal{A}_t)} \sum_{n \in \mathbb{N}} \alpha_{\mathcal{A}_{\text{prin}}}(\Sigma(p), \Sigma(q), \Sigma(r) + n) \vartheta_{\Sigma(r)+n} \\ &= \sum_{r \in \Theta(\mathcal{A}_t)} \vartheta_{\Sigma(r)} \cdot \left( \sum_{n \in \mathbb{N}} \alpha_{\mathcal{A}_{\text{prin}}}(\Sigma(p), \Sigma(q), \Sigma(r) + n) z^n \right) \end{aligned}$$

using  $\vartheta_{\Sigma(r)+n} = \vartheta_{\Sigma(r)} z^n$ . Note that the sums are finite because  $\Sigma(p), \Sigma(q) \in \Theta_{\mathcal{A}_{\text{prin}}}$ . Restricting to  $\mathcal{A}_t$  gives the formula of (1).

The remaining statements follow easily from the definitions except for the injectivity of (7). To see this, fix a seed  $\mathbf{s}$ , which gives the second projection  $\pi_{N, \mathbf{s}} : \mathcal{A}^\vee(\mathbb{Z}^T) = (M^\circ \oplus N)_{\mathbf{s}} \rightarrow N$ . Choose the section  $\Sigma$  of  $\rho^T$  to be  $\Sigma(m) = (m, 0)$ . Note the collection of  $\vartheta_p, p \in B := \Sigma(M^\circ) \cap \Theta(\mathcal{A}_{\text{prin}})$  are a  $\mathbb{k}[N]$ -basis for  $\text{mid}(\mathcal{A}_{\text{prin}})$ . By the choice of  $\Sigma$ , the  $\vartheta_p$  restrict to the basis of monomials on the central fibre  $T_{N^\circ}$  of  $\pi : \mathcal{A}_{\text{prin}, \mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$ . It follows that for any finite subset  $S \subset B$  there is a Zariski open set  $0 \in U_S \subset \mathbb{A}^n$  such that  $\vartheta_p, p \in S$  restrict to linearly independent elements of  $\text{up}(\mathcal{A}_t)$ ,  $t \in U_S$ . This gives the injectivity of  $\nu$  for very general  $t$ .

Now suppose the  $v_i$  span a strictly convex cone. Thus there exists an  $n \in N^\circ \setminus \{0\}$  such that  $\{n, e_i\} = -\langle v_i, n \rangle > 0$  for all  $i$ . But then  $\langle p^*(n), e_i \rangle > 0$  and so  $p^*(n)$  lies in the interior of the orthant generated by the  $e_i^*$  in  $M$ . Take the one-parameter subgroup

$T = n \otimes \mathbb{G}_m \subset T_{N^\circ}$ . Now the map  $\mathcal{A}_{\text{prin},s} \rightarrow \mathbb{A}^n$  is  $T_{N^\circ}$ -equivariant, where the action on  $\mathbb{A}^n$  is given by the composition of maps of cocharacter lattices  $N^\circ \rightarrow N^\circ \oplus M \rightarrow M$ ,  $n \mapsto (n, p^*(n)) \mapsto p^*(n)$ , see Proposition B.2, (2). But this map is  $p^*$ , and thus  $T$  has a one-dimensional orbit whose closure contains  $0 \in \mathbb{A}^n$ . So  $0$  is in the closure of the orbit  $T \cdot x \subset \mathbb{A}^n$  for all  $x \in T_M \subset \mathbb{A}^n$ . In particular for all  $x$  and all  $S$  there is some  $t_{S,x}$  with  $t_{S,x} \cdot x \in U_S$ . Now from the  $T_{N^\circ}$ -equivariance of the construction, the linear independence holds for all  $t$ .

Changing  $\Sigma$  will change the  $\mathbb{k}[N]$ -basis for  $\text{mid}(\mathcal{A}_{\text{prin}})$ , multiplying each  $\vartheta_p$  by some character  $z^n$ ,  $n \in N$ . The restrictions to  $\text{mid}(\mathcal{A}_i)$  are then multiplied by the values  $z^n(t)$ .

Theorem 0.2 for  $V = \mathcal{A}$  now follows from setting  $t = e$ , where  $z^n(t) = 1$  for all  $n$ .  $\square$

It is natural to wonder:

**Question 7.17.** Does the equality  $\text{mid}(\mathcal{A}_{\text{prin}}) = \text{up}(\mathcal{A}_{\text{prin}})$  always hold?

Our guess is no, but we do not know a counterexample.

Certainly  $\Theta \neq \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  in general, for this implies  $\Theta(\mathcal{X}) = \mathcal{X}^\vee(\mathbb{Z}^T)$ , while we know that in general  $\mathcal{X}$  has many fewer global functions, see [GHK13], §7. So we look for conditions that guarantee  $\Theta = \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$ , and  $\text{mid} = \text{up}$ . We turn to this in the next section.

**Example 7.18.** In the case of Example 1.30, the convex hull of the union of the cones of  $\Delta^+$  in  $\widetilde{M}_{\mathbb{R}}^\circ$  is all of  $\widetilde{M}_{\mathbb{R}}^\circ$ . Indeed, the first three quadrants already are part of the cluster complex. It then follows from the fact that  $\Theta$  is closed under addition and is saturated that  $\Theta = \widetilde{M}^\circ$ .

In the case of Example 2.13, we know that

$$\Delta^+(\mathbb{Z}) = \{(m, n) \in \widetilde{M}^\circ \mid \langle e_1 + e_2 + e_3, m \rangle \geq 0\}.$$

It then follows again from the fact that  $\Theta$  is closed under addition that either  $\Theta = \Delta^+(\mathbb{Z})$  or  $\Theta = \widetilde{M}^\circ$ . We believe, partly based on calculations in [M13], §7.1, that in fact the latter holds.  $\square$

We show the analogue of Proposition 7.7 for the  $\mathcal{A}$  variety:

**Proposition 7.19.** *If  $q \in \Theta(\mathcal{A}) \subset \mathcal{A}^\vee(\mathbb{Z})$ , then  $\vartheta_q \in \text{up}(\mathcal{A})$  is an eigenfunction for the natural  $T_{K^\circ}$  action on  $\mathcal{A}$ .*

*Proof.* This is essentially the same as the proof of Proposition 7.7, noting that the monomials  $z^{v_i} = z^{(v_i, e_i)}|_{\mathcal{A}}$  are invariant under the  $T_{K^\circ}$  action, as  $v_i|_{K^\circ} = 0$  by definition of  $K^\circ = \ker p_2^*$ .  $\square$

We end this section by showing that linear independence of cluster monomials follows easily from our techniques. This was pointed out to us by Gregory Muller. In the skew-symmetric case, this was proved in [CKLP].

**Theorem 7.20.** *For any  $\mathcal{A}$  cluster variety, there are no linear relations between cluster monomials and theta functions in  $\nu(\text{mid}(\mathcal{A})) \subset \text{up}(\mathcal{A})$ . More precisely, if there is a linear relation*

$$\sum_{q \in \Theta(\mathcal{A})} \alpha_q \vartheta_q = 0$$

*in  $\text{up}(\mathcal{A})$ , then  $\alpha_q = 0$  for all  $q \in \Delta^+(\mathbb{Z})$ . In particular the cluster monomials in  $\text{ord}(\mathcal{A}_{\text{prin}})$  are linearly independent.*

*Proof.* Suppose given such a relation. We choose a seed  $\mathbf{s}$  and a generic base point  $Q \in \mathcal{C}_{\mathbf{s}}^+ \in \Delta^+$ . The seed gives an identification  $\mathcal{A}^\vee(\mathbb{Z}^T) = M^\circ$ . We first show that if  $q \in \Delta^+(\mathbb{Z})$  with  $q \notin \mathcal{C}_{\mathbf{s}}^+$ , then  $\vartheta_{Q,q}$  satisfies the *proper Laurent property*, i.e., every monomial  $z^m = z^{\sum a_i f_i}$  appearing in  $\vartheta_{Q,q}$  has  $a_i < 0$  for some  $i$ .

Indeed, fix a section  $\Sigma : \mathcal{A}^\vee(\mathbb{Z}^T) \rightarrow \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  as in Definition-Lemma 7.14. As restriction to  $\mathcal{A} \subset \mathcal{A}_{\text{prin}}$  gives a bijection between the cluster variables for  $\mathcal{A}_{\text{prin}}$  and the cluster variables for  $\mathcal{A}$ , between the theta functions  $\vartheta_q$ ,  $q \in \text{Im}(\Sigma)$  and the theta functions for  $\mathcal{A}$ , and between the corresponding local expressions  $\vartheta_{Q,q}$ , it is enough to prove the claim in the  $\mathcal{A}_{\text{prin}}$  case. This follows immediately from the definition of broken line. Indeed, if  $\gamma$  is a broken line ending at  $Q$  and  $F(\gamma) = \sum a_i f_i$  with  $a_i \geq 0$  for all  $i$ , then  $\gamma$  must be wholly contained in  $\mathcal{C}_{\mathbf{s}}^+$ . But the unbounded direction of  $\gamma$  is parallel to  $\mathbb{R}_{\geq 0} m$ , so it follows that  $q = I(\gamma) \in \mathcal{C}_{\mathbf{s}}^+$ .

We then have the relation

$$\sum_{q \in \Theta(\mathcal{A})} \alpha_q \vartheta_{Q,q} = 0 \in \mathbb{k}[M^\circ]$$

which we rearrange as

$$\sum_{q \in \mathcal{C}_{\mathbf{s}}^+} \alpha_q \vartheta_{Q,q} = - \sum_{q \notin \mathcal{C}_{\mathbf{s}}^+} \alpha_q \vartheta_{Q,q}.$$

The collection of  $\vartheta_{Q,q}$  for  $q \in \mathcal{C}_{\mathbf{s}}^+$  are exactly the distinct cluster monomials for the seed  $\mathbf{s}$ . In particular all of their exponents are non-negative. Thus both sides of the equation are zero. Since the cluster monomials for  $\mathbf{s}$  are linearly independent, we conclude  $\alpha_q = 0$  for all  $q \in \mathcal{C}_{\mathbf{s}}^+$ . Varying  $\mathbf{s}$  the result follows.  $\square$

## 8. CONVEXITY IN THE TROPICAL SPACE

As explained in the introduction, for a cluster variety  $V = \bigcup_{\mathbf{s}} T_{L,\mathbf{s}}$ , the notion of broken lines in  $V(\mathbb{R}^T)$  allows us to define basic notions of convex and toric geometry.

We first explore how to generalize the usual notion of convexity to our situation, and then explore how this gives analogues of toric geometric constructions.

**8.1. Convexity conditions.** The following is elementary:

**Definition-Lemma 8.1.** *By a piecewise linear function on a real vector space  $W$  we mean a continuous function  $f : W \rightarrow \mathbb{R}$  piecewise linear with respect to a finite fan of (not necessarily strictly) convex cones. For a piecewise linear function  $f : W \rightarrow \mathbb{R}$  we say  $f$  is min-convex if it satisfies one of the following three equivalent conditions:*

- (1) *There are finitely many linear functions  $\ell_1, \dots, \ell_r \in W^*$  such that  $f(x) = \min\{\ell_i(x)\}$  for all  $x \in W$ .*
- (2)  *$f(\lambda_1 v_1 + \lambda_2 v_2) \geq \lambda_1 f(v_1) + \lambda_2 f(v_2)$  for all  $\lambda_i \in \mathbb{R}_{\geq 0}$  and  $v_i \in W$ .*
- (3) *The differential  $df$  is decreasing on straight lines. In other words, for a directed straight line  $L$  with tangent vector  $v$ , and  $x \in L$  general, then*

$$(df)_{x+rv}(v) \leq (df)_x(v),$$

*where  $r \in \mathbb{R}_{\geq 0}$  is general and the subscript denotes the point at which the differential is calculated.*

*In the case that  $W$  is defined over  $\mathbb{Q}$ , then in condition (3) we can restrict to lines of rational slope.*

This motivates for a cluster variety  $V$ :

**Definition 8.2.** (1) A piecewise linear function  $f : V(\mathbb{R}^T) \rightarrow \mathbb{R}$  is a function which is piecewise linear after fixing a seed  $\mathbf{s}$  to get an identification,  $V(\mathbb{R}^T) = L_{\mathbb{R}, \mathbf{s}}$ . If the function is piecewise linear for one seed it is clearly piecewise linear for all seeds.

(2) Let  $f : V(\mathbb{R}^T) \rightarrow \mathbb{R}$  be piecewise linear, and fix a seed  $\mathbf{s}$ , to view  $f : L_{\mathbb{R}, \mathbf{s}} \rightarrow \mathbb{R}$ . We say  $f$  is *min-convex* if for any broken line for  $V$  in  $L_{\mathbb{R}, \mathbf{s}}$ ,  $df$  is increasing on exponents of the decoration monomials (and thus decreasing on their negatives, which are the velocity vectors of the underlying directed path). We note that this notion is independent of mutation (by the invariance of broken lines, Proposition 3.6) and thus an intrinsic property of a piecewise linear function on  $V(\mathbb{R}^T)$ .

**Definition 8.3.** We say that a piecewise linear  $f : V(\mathbb{R}^T) \rightarrow \mathbb{R}$  is *decreasing* if for  $p_1, p_2, r \in V(\mathbb{R}^T)$ , with  $\alpha(p_1, p_2, r) \neq 0$ ,  $f(r) \geq f(p_1) + f(p_2)$ . Here  $\alpha(p, q, r)$  are the structure constants of Theorem 0.2.

**Lemma 8.4.** *If  $f: V(\mathbb{R}^T) \rightarrow \mathbb{R}$  is min-convex in the sense of Definition 8.2, or decreasing in the sense of Definition 8.3, then for any seed  $\mathbf{s}$ , we have  $f: L_{\mathbb{R},\mathbf{s}} = V(\mathbb{R}^T) \rightarrow \mathbb{R}$  min-convex in the sense of Definition-Lemma 8.1.*

*Proof.* Any straight line of rational slope in  $L_{\mathbb{R},\mathbf{s}}$  is a broken line in  $V(\mathbb{R}^T)$ , so min-convex in the sense of Definition 8.2 implies min-convex in the sense of Definition 8.1.

Suppose  $f$  is decreasing. For any  $a, b \in \mathbb{Z}_{>0}$ , and the linear structure on  $V(\mathbb{R}^T) = L_{\mathbb{R},\mathbf{s}}$  determined by any choice of seed  $\mathbf{s}$ , the contribution of straight lines in Definition-Lemma 6.2 (and item (1) of Theorem 7.16 in the  $\mathcal{A}$  case) shows  $\alpha(a \cdot p, b \cdot q, a \cdot p + b \cdot q) \neq 0$  for all  $p, q \in V(\mathbb{Z}^T)$ . Thus  $f(a \cdot p + b \cdot q) \geq af(p) + bf(q)$  for all positive integers  $a$  and  $b$ . By rescaling, the same is true for all positive rational numbers  $a$  and  $b$  and  $p, q \in V(\mathbb{Q}^T)$ . Min-convexity in the sense of Definition 8.1 then follows by continuity of  $f$ .  $\square$

In fact, min-convex is at least as strong as decreasing:

**Lemma 8.5.** *If  $f: V(\mathbb{R}^T) \rightarrow \mathbb{R}$  is min-convex, then  $f$  is decreasing.*

*Proof.* Let  $\gamma_1, \gamma_2$  be broken lines. Assume  $f$  is min-convex and that  $z$  very close to  $r$  is the endpoint of each broken line, with  $F(\gamma_1) + F(\gamma_2) = r$ . Then

$$\begin{aligned} f(r) &= (df)_z(r) = (df)_z(F(\gamma_1)) + (df)_z(F(\gamma_2)) \\ &\geq (df)_{\gamma_1(t)}(I(\gamma_1)) + (df)_{\gamma_2(t)}(I(\gamma_2)) \\ &= f(I(\gamma_1)) + f(I(\gamma_2)), \end{aligned}$$

where  $t \ll 0$ . Thus  $f$  is decreasing.  $\square$

**Lemma 8.6.** *A min-convex piecewise linear function  $f: W \rightarrow \mathbb{R}$  is strictly negative away from 0 if and only if for all  $c \in \mathbb{R}$ ,  $\{x \in W \mid f(x) \geq c\}$  is bounded.*

*Proof.* The given set is a convex polytope. It will be bounded if and only if it does not contain a ray, which holds if and only if  $f$  is strictly negative away from zero.  $\square$

**Proposition 8.7.** *For  $V = \mathcal{A}_{\text{prin}}$  or  $\mathcal{X}$ , let  $f: V(\mathbb{R}^T) \rightarrow \mathbb{R}$  be a decreasing function, which is strictly negative away from  $0 \in V(\mathbb{R}^T)$ . Then for  $p, q \in V(\mathbb{Z}^T)$ , there are at most finitely many  $r$  with  $\alpha(p, q, r) \neq 0$ . These give structure constants for an associative multiplication on*

$$\text{can}(V^\vee) := \bigoplus_{r \in V(\mathbb{Z}^T)} \mathbb{k} \cdot \vartheta_r.$$

If there is a decreasing function  $f : \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) \rightarrow \mathbb{R}$  strictly negative then the same conclusion holds for the structure constants (which are all finite) and multiplication rule of  $\text{can}(\mathcal{A}_t)$ , for all  $t$ .

*Proof.* For  $\mathcal{A}_{\text{prin}}$  or  $\mathcal{X}$ , the structure constants are defined in terms of broken lines. The finiteness is then immediate from Lemmas 8.4 and 8.6. Indeed, if  $\alpha(p, q, r) \neq 0$ , then  $f(r) \geq f(p) + f(q)$ , so  $r$  lies in the bounded polytope  $\{x \mid f(x) \geq f(p) + f(q)\}$ . The algebra structure is associative by (3) of Proposition 6.4. The  $\mathcal{A}_t$  case follows from the  $\mathcal{A}_{\text{prin}}$  case and the definitions of the structure constants and multiplication rule for  $\text{can}(\mathcal{A}_t)$ , see Theorem 7.16.  $\square$

**Lemma 8.8.** *A piecewise linear function  $f$  on  $\mathcal{A}^{\vee}(\mathbb{R}^T)$  is min-convex (resp. decreasing) if and only if  $f \circ \rho^T$  on  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$  is min-convex (resp. decreasing) for  $\rho : \mathcal{A}_{\text{prin}}^{\vee} \rightarrow \mathcal{A}^{\vee}$  the canonical projection.*

*Proof.* Broken lines in  $\mathcal{A}^{\vee}(\mathbb{R}^T)$  are by definition images of broken lines on  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$  under  $\rho^T$ , which gives the min-convex statement. The decreasing statement follows from the formula for the structure constants for  $\mathcal{A}$  of Theorem 7.16, (1).  $\square$

We turn to some criteria for min-convexity.

**Lemma 8.9.** *Let  $f : V^{\vee}(\mathbb{R}^T) \rightarrow \mathbb{R}$  be a piecewise linear function.*

- (1) *If  $V = \mathcal{A}_{\text{prin}}$  or  $\mathcal{X}$  and for some choice of seed  $f$  is the minimum of the collection of linear functionals  $\ell_i$ , each of which is non-negative on all the initial scattering monomials of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  (resp., for  $V = \mathcal{A}$ , the pullbacks  $\ell_i \circ \rho^T$  are non-negative on the initial scattering monomials of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$ ) then  $f$  is min-convex.*
- (2) *For  $V = \mathcal{A}_{\text{prin}}$ , if  $f$  is linear in a neighborhood of every wall of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$ , then  $f$  is min-convex if and only if each  $\ell_i$  is non-negative on each of the initial scattering monomials.*

*Remark 8.10.* Recall from §7.2 that for any choice of seed, the scattering monomials in  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  lie in  $w^{-1}(0) = N^{\circ} = \mathcal{X}^{\vee}(\mathbb{Z}^T)$ . So it makes sense to evaluate functions defined only on  $\mathcal{X}^{\vee}(\mathbb{R}^T)$  on scattering monomials for  $\mathfrak{D}^{\mathcal{A}_{\text{prin}}}$ .

*Proof.* Choose a seed and suppose  $f$  is the minimum of the  $\ell_i$ . We consider a broken line  $\gamma$ , with two consecutive monomial decorations  $cz^m, c'z^{m'}$ . Possibly refining the linear segments, we can assume  $f$  is given by  $\ell \in \{\ell_i\}$  along the first segment, and  $\ell' \in \{\ell_i\}$  along the second. Let  $t, t'$  be points in the domain of  $\gamma$  in the two segments.

Then

$$\begin{aligned} (df)_{\gamma(t)}(m') - (df)_{\gamma(t)}(m) &= \ell'(m') - \ell(m) \\ &= \ell'(m' - m) - (\ell(m) - \ell'(m)) \\ &\geq \ell'(m' - m). \end{aligned}$$

The last inequality comes from the fact that  $f = \min\{\ell_i\}$  and  $m$  lies on the side of the wall crossed by  $\gamma$  where  $f = \ell$ . Now  $m' - m$  is some positive multiple of the scattering monomial. This gives (1). If  $f$  is linear near any bend of the broken line, then  $\ell = \ell'$ , the inequality is an equality, and the right- (and left-) hand side is just  $\ell(m') - \ell(m)$ , which gives the equivalence of (2).  $\square$

**Conjecture 8.11.** *If  $0 \neq f$  is a regular function on a log Calabi-Yau manifold  $V$  with maximal boundary, then  $f^{\text{trop}} : V^{\text{trop}}(\mathbb{R}) \rightarrow \mathbb{R}$  is min-convex. Here  $f^{\text{trop}}(v) = v(f)$  for the valuation  $f$ .*

*Remark 8.12.* To make sense of the conjecture one needs a good theory of broken lines, currently constructed in [GHK11] in dimension two, and here for cluster varieties of all dimension. In dimension two, the conjecture has been proven by Travis Mandel, [M14]. Also, it is easy to see that in any case, for each seed  $\mathbf{s}$ , and regular function  $f$ , that  $f^T : L_{\mathbb{R}, \mathbf{s}} = V(\mathbb{R}^T) \rightarrow \mathbb{R}$ , see (2.5), is min-convex in the sense of Definition 8.1. Indeed this is the standard (min) tropicalisation of a Laurent polynomial.

It is easy to prove Conjecture 8.11 for global monomials, which gives our main tool for constructing min-convex functions.

**Proposition 8.13.** *For a global monomial  $f$  on  $V^\vee$ , the tropicalisation  $f^T$  is min-convex, and in particular, by Lemma 8.5, decreasing.*

*Proof.* First consider the case  $V = \mathcal{A}_{\text{prin}}$ . Suppose  $f$  is a global monomial which is a character on a chart indexed by  $\mathbf{s}$ . Then by Lemma 7.8, this character is regular on  $\text{TV}(\Sigma_{\mathbf{s}, \mathcal{A}_{\text{prin}}^\vee})$ , i.e., it is a character whose geometric tropicalisation (2.6) has non-negative value on each ray in the fan  $\Sigma_{\mathbf{s}, \mathcal{A}_{\text{prin}}^\vee}$ . These rays are spanned by  $-(v_i, e_i)$ ,  $i \in I_{\text{uf}}$ , the negatives of the initial scattering monomials for  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$ . Thus, because of the sign change between geometric and Fock-Goncharov tropicalisation, see (2.5),  $f^T$  is non-negative on the initial scattering monomials. Thus  $f^T$  is min-convex by Lemma 8.9. The same argument then applies in the  $V = \mathcal{X}$  case, see Remark 8.10. For the  $V = \mathcal{A}$  case, a global monomial on  $\mathcal{A}^\vee$  pulls back to a global monomial on  $\mathcal{A}_{\text{prin}}^\vee$  via the map  $\rho : \mathcal{A}_{\text{prin}}^\vee \rightarrow \mathcal{A}^\vee$ , and then the result follows from the  $V = \mathcal{A}_{\text{prin}}$  case by Lemma 8.8.  $\square$

**8.2. Finite generation from bounded polytopes.** Here  $V = \bigcup_{s \in S} T_{L,s}$  is a cluster variety of type  $\mathcal{A}$  or  $\mathcal{X}$ .

**Definition 8.14.** We say that  $V^\vee$  has *Enough Global Monomials* if for any  $x \in V^\vee(\mathbb{Z}^T)$ ,  $x \neq 0$ , there is a global monomial  $\vartheta_p \in H^0(V^\vee, \mathcal{O}_{V^\vee})$  such that  $\vartheta_p^T(x) < 0$ .

**Lemma 8.15.** *Under any of the identifications  $V^\vee(\mathbb{R}^T) = L_{\mathbb{R},s}^*$  induced by a choice of seed, the set*

$$\Xi_V := \bigcap_{p \in \Delta_{V^\vee}^+(\mathbb{Z}) \subset V(\mathbb{Z}^T)} \{x \in V^\vee(\mathbb{R}^T) \mid \vartheta_p^T(x) \geq -1\}$$

is a closed convex subset of  $V^\vee(\mathbb{R}^T)$ . The following are equivalent:

- (1)  $V^\vee$  has *Enough Global Monomials*.
- (2)  $\Xi_V$  is bounded, or equivalently, the intersection of all sets  $\{x \in V^\vee(\mathbb{R}^T) \mid \vartheta_p^T(x) \geq 0\}$  for  $p \in \Delta_{V^\vee}^+(\mathbb{Z})$  equals  $\{0\}$ .
- (3) There exists a finite number of points  $p_1, \dots, p_r \in \Delta_{V^\vee}^+(\mathbb{Z})$  such that

$$\bigcap_{i=1}^r \{x \in V^\vee(\mathbb{R}^T) \mid \vartheta_{p_i}^T(x) \geq -1\}$$

is bounded, or equivalently, the intersection of all sets  $\{x \in V^\vee(\mathbb{R}^T) \mid \vartheta_{p_i}^T(x) \geq 0\}$  for  $1 \leq i \leq r$  equals  $\{0\}$ .

*Proof.* By Remark 8.12,  $\Xi_V$  is the intersection of closed rational convex polygons (with respect to any seed), and hence is a closed convex set.

The equivalence of (1) and (2) is immediate from the definitions, while (3) clearly implies (2). For the converse, let  $S$  be a sphere in  $V^\vee(\mathbb{R}^T) = L_{\mathbb{R},s}^*$  centered at the origin. For each  $x \in S$  there is a global monomial  $\vartheta_{p_x}$  such that  $\vartheta_{p_x}^T(x) < 0$ , and thus there is an open subset  $U_x \subset S$  on which  $\vartheta_{p_x}^T$  is negative. The  $\{U_x\}$  form a cover of  $S$ , and hence by compactness there is a finite subcover  $\{U_{x_i}\}$ . Taking  $p_i = p_{x_i}$  gives the desired collection of  $p_i$ .  $\square$

**Lemma 8.16.** *Let  $p$  be an integral point in the interior of a maximal dimensional cone  $\mathcal{C}_{V^\vee,s}^+ \subset V(\mathbb{R}^T)$  (see Definition 7.9). Then  $\vartheta_p^T$  evaluated on monomial decorations strictly increases at any non-trivial bend of a broken line in  $L_{\mathbb{R},s}^*$ .*

*Proof.* It is enough to treat the case  $V = \mathcal{A}_{\text{prin}}$ , because global monomials on  $\mathcal{X}$  or  $\mathcal{A}$  are given either by  $T_{N^\circ}$ -invariant global monomials on  $\mathcal{A}_{\text{prin}}$  or by restriction of global monomials on  $\mathcal{A}_{\text{prin}}$  to  $\mathcal{A}$  respectively. Furthermore, broken lines in  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$  yield broken lines in  $\mathcal{X}^\vee(\mathbb{R}^T)$  and  $\mathcal{A}^\vee(\mathbb{R}^T)$ .

The integral points of the cluster cone

$$\mathcal{C}_{\mathcal{A}_{\text{prin}},s}^+ \cap \mathcal{A}_{\text{prin}}(\mathbb{Z}^T) \subset T_{\tilde{N}^\circ,s}(\mathbb{Z}^T) = \tilde{N}_s^\circ$$

correspond to characters of  $T_{\widetilde{M}^\circ, \mathbf{s}} \subset \mathcal{A}_{\text{prin}}^\vee$  which extend to global regular functions on  $\mathcal{A}_{\text{prin}}^\vee$ . Just as in the proof of Proposition 8.13, these are the characters  $z^m$  with  $m$  non-negative on the rays spanned by  $-(v_i, e_i)$ ,  $i \in I_{\text{uf}}$ , the negatives of the initial scattering monomials for  $\mathfrak{D}_s^{\mathcal{A}_{\text{prin}}}$ . Thus, because of the sign change between geometric and Fock-Goncharov tropicalisation, see (2.5),

$$p \in \text{Int}(\mathcal{C}_{\mathcal{A}_{\text{prin}}^\vee, \mathbf{s}}^+) \cap \mathcal{A}_{\text{prin}}(\mathbb{Z}) \text{ if and only if } \vartheta_p^T((v_i, e_i)) > 0 \text{ for all } i \in I_{\text{uf}}.$$

In this case  $\vartheta_p^T$  is strictly increasing on monomial decorations as in the statement.  $\square$

**Proposition 8.17.** *For  $V = \mathcal{A}_{\text{prin}}$  or  $\mathcal{X}$  assume  $V^\vee$  has Enough Global Monomials. For  $V = \mathcal{A}_t$  assume  $\mathcal{A}_{\text{prin}}^\vee$  has Enough Global Monomials. Then the multiplication rule on  $\text{can}(V)$  is polynomial, i.e., for given  $p, q \in V^\vee(\mathbb{Z}^T)$ ,  $\alpha(p, q, r) = 0$  for all but finitely many  $r \in V^\vee(\mathbb{Z}^T)$ . Furthermore,  $\text{can}(V)$  is finitely generated as a  $\mathbb{k}$ -algebra.*

*Proof.* The case of  $V = \mathcal{A}_t$  follows from the case of  $\mathcal{A}_{\text{prin}}$  so we may assume  $V$  is either  $\mathcal{X}$  or  $\mathcal{A}_{\text{prin}}$ . Using the Enough Global Monomials hypothesis and Lemma 8.15, we can find a finite collection  $p_1, \dots, p_r$  such that the intersection of the finite collection of polygons  $\vartheta_{p_i}^T \geq -1$  is bounded, or equivalently such that

$$\bigcap_i \{x \mid \vartheta_{p_i}^T \geq 0\} = \{0\}.$$

Take  $f = \min\{\vartheta_{p_i}^T\}$ . Then since the  $\vartheta_{p_i}^T$  are decreasing by Proposition 8.13,  $f$  is easily seen to be decreasing. Thus by Proposition 8.7, we see the multiplication law is polynomial, and  $\text{can}(V)$  is a  $\mathbb{k}$ -algebra.

Moreover (because boundedness of the intersection is preserved by small perturbation of the functions) we can assume that each  $p_i$  is in the interior of some maximal dimensional cluster cone  $\mathcal{C}_{\mathbf{s}_i}^+$ . Note the seed  $\mathbf{s}_i$  is then uniquely determined by  $p_i$ , by Lemma 7.10. It follows that  $\vartheta_{p_i}^T$  is strictly increasing on the monomial decorations at any non-trivial bend of any broken line in  $L_{\mathbb{R}, \mathbf{s}_i}^*$  by Lemma 8.16.

Finite generation of  $\text{can}(V)$  is a special case of the next proposition.  $\square$

**Proposition 8.18.** *Assume  $\mathcal{A}_{\text{prin}}^\vee$  has Enough Global Monomials. Let  $W \subset \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$  be a subset intrinsically closed under addition (see Definition 7.3), which is a toric monoid in some seed (and thus by Lemma 7.4, in every seed). Then*

$$S := \bigoplus_{q \in W} \mathbb{k}\theta_q \subset \text{can}(\mathcal{A}_{\text{prin}}).$$

*is a finitely generated  $\mathbb{k}$ -subalgebra.*

*Proof.*  $S$  is a subalgebra by the definition of intrinsically closed under addition.

For  $0 \leq j \leq r$ , we consider

$$S_j := \bigoplus_{\substack{\mathbb{k}\vartheta_q \subset S \\ \vartheta_{p_i}^T(q) \geq 0 \text{ for } i \leq j}} \mathbb{k}\vartheta_q \subset S.$$

(with the  $p_i$  defined in the proof of Proposition 8.17). Here the sum is over all  $q \in W$  satisfying the stated condition. This is a subalgebra of  $S$  by Proposition 8.13. Similarly, we define

$$\tilde{S}_j \subset S[T]$$

to be the vector subspace of all  $\vartheta_q T^s$ ,  $s \geq 0$  where  $\vartheta_{p_i}^T(q) \geq 0$  for  $i < j$ , and  $\vartheta_{p_j}^T(q) \geq -s$ . Then  $\tilde{S}_j$  is a graded subalgebra of  $S[T]$  by Proposition 8.13 (graded by  $T$ -degree) and  $S_j \subset \tilde{S}_j$  is the degree zero part.

The result then follows from the following claim, noting that  $S = S_0$  and for  $j \geq 1$  there is a natural surjection  $\tilde{S}_j \twoheadrightarrow S_{j-1}$  by sending  $T \mapsto 1$ ,  $\vartheta_q \mapsto \vartheta_q$ .

**Claim 8.19.**  $\tilde{S}_j$  is a finitely generated  $\mathbb{k}$ -algebra.

*Proof.* We argue first that  $\tilde{S}_j/T \cdot \tilde{S}_j$  is finitely generated. We work on  $V^\vee(\mathbb{R}^T) = L_{\mathbb{R}, \mathbf{s}_j}^*$ , so that the multiplication rule is defined using broken lines for  $\mathfrak{D}_{\mathbf{s}_j}^{\text{Aprin}}$ , as described by Proposition 6.4, (3) and Definition-Lemma 6.2. Note  $\vartheta_{p_j}^T$  is linear on  $L_{\mathbb{R}, \mathbf{s}_j}^*$ . If  $\vartheta_q T^s \in \tilde{S}_j$ , then modulo  $T$ ,  $\vartheta_q T^s = 0$  unless  $s = -\vartheta_{p_j}^T(q)$ , for otherwise  $\vartheta_q T^{s-1} \in \tilde{S}_j$ . By Lemma 8.16,  $\vartheta_{p_j}^T$  is strictly increasing on monomial decorations at any non-trivial bend of a broken line and thus the only broken lines that will contribute (modulo  $T$ ) to  $\vartheta_{q_1} T^{-\vartheta_{p_j}^T(q_1)} \cdot \vartheta_{q_2} T^{-\vartheta_{p_j}^T(q_2)}$  are straight, thus modulo  $T$ ,

$$\vartheta_{q_1} T^{-\vartheta_{p_j}^T(q_1)} \cdot \vartheta_{q_2} T^{-\vartheta_{p_j}^T(q_2)} = \vartheta_{q_1+q_2} T^{-\vartheta_{p_j}^T(q_1+q_2)}$$

(addition here in  $L_{\mathbf{s}_j}^*$ ). Thus  $\tilde{S}_j/T \cdot \tilde{S}_j$  is the monoid ring associated to the rational convex cone

$$\bigcap_{i < j} \{q \in W \mid \vartheta_{p_i}^T(q) \geq 0\},$$

and is thus finitely generated. Now by decreasing induction on the  $T$ -degree, to show  $\tilde{S}_j$  is finitely generated, it is enough to show that its degree 0 subalgebra,  $S_j$ , is finitely generated. This is obvious if the corresponding convex cone

$$\bigcap_{i \leq j} \{q \in W \mid \vartheta_{p_i}^T(q) \geq 0\}$$

is zero, and by assumption this is true for sufficiently large  $j$ . By the above, in any case, to prove  $S_j$  is finitely generated, it is enough to show  $\tilde{S}_{j+1}$  is finitely generated. So we are done by induction.  $\square$

**Proposition 8.20.** *Assume  $\mathcal{A}_{\text{prin}}^{\vee}$  has EGM. Then for each universal Laurent polynomial  $g$  on  $\mathcal{A}_{\text{prin}}$ , the function  $\alpha(g)$  of Theorem 6.7 has finite support (i.e.,  $\alpha(g)(q) = 0$  for all but finitely many  $q \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ ), and  $g \mapsto \sum_q \alpha(g)(q)\vartheta_q$  gives inclusions of  $\mathbb{k}$ -algebras*

$$\text{ord}(\mathcal{A}_{\text{prin}}) \subset \text{mid}(\mathcal{A}_{\text{prin}}) \subset \text{up}(\mathcal{A}_{\text{prin}}) \subset \text{can}(\mathcal{A}_{\text{prin}}) \subset \widehat{\text{up}(\mathcal{A}_{\text{prin},\mathbf{s}})} \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} \mathbb{k}[N].$$

*Proof.* Let  $g$  be a universal Laurent polynomial on  $\mathcal{A}_{\text{prin}}$ . By Theorem 6.7 the sets  $S_{g,\mathbf{s}}$  of Construction 6.6 are independent of the seed  $\mathbf{s}$ . We claim that for each global monomial  $\vartheta_p$  on  $\mathcal{A}_{\text{prin}}^{\vee}$ , there is a constant  $c_p$  such that

$$S_g \subset \{x \mid \vartheta_p^T(x) \geq c_p\} \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T).$$

To see that this is sufficient to prove the proposition, note that by Lemma 8.15, there are a finite number of  $p_i$  such that the intersection of the sets where  $\vartheta_{p_i}^T(x) \geq 0$  is the origin in  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$ . Thus, if the claim is true,  $S_g$ , the support of  $\alpha(g)$ , is a finite set. The inclusion of algebras follows by Proposition 6.4. So it's enough to establish the claim.

Let  $\vartheta_p$  be a global monomial which is a character on the seed torus for  $\mathbf{s}$ . We follow the notation of Construction 6.6. Thus  $S_g = S_{g,\mathbf{s}} \subset S + P_{\mathbf{s}}$ , where  $S$  itself depends on the seed  $\mathbf{s}$  and  $g$ . Global monomials  $\vartheta_p$  which restrict to a character on the seed torus  $T_{\widetilde{M}^{\circ},\mathbf{s}} \subset \mathcal{A}_{\text{prin}}^{\vee}$  are identified with integer points of the negative of the dual cone  $-P_{\mathbf{s}}^{\vee}$  (i.e., elements non-positive on each of the initial scattering monomials), see the proof of Proposition 8.13. Note  $\vartheta_p^T$  is linear on  $\widetilde{M}_{\mathbb{R},\mathbf{s}}^{\circ}$  (though for our purposes, its min-convexity will be enough). Since  $S$  is a finite set, for any such  $p \in P_{\mathbf{s}}^{\vee}$ , there is constant  $c_p$  such that

$$S_g \subset S + P_{\mathbf{s}} \subset \{x \mid \vartheta_p^T(x) \geq c_p\}.$$

This completes the proof.  $\square$

**8.3. Conditions implying  $\mathcal{A}_{\text{prin}}$  has EGM.** We now find many sufficient conditions to guarantee  $\mathcal{A}_{\text{prin}}$  (or equivalently  $\mathcal{A}_{\text{prin}}^{\vee}$ , by Proposition 8.24) has Enough Global Monomials.

**Proposition 8.21.** (1) *Let  $U = \text{Spec}(A)$  be an affine variety over a field  $\mathbb{k}$ , and  $f_1, \dots, f_n$  generators of  $A$  as a  $\mathbb{k}$ -algebra. For each divisorial discrete valuation  $v : Q(U)^* \rightarrow \mathbb{Z}$  (where  $Q(U)$  denotes the function field of  $U$ ) which does not have center on  $U$  (or equivalently, for each boundary divisor  $E \subset Y \setminus U$  in any partial compactification  $U \subset Y$ ),  $v(f_i) < 0$  for some  $i$ .*

(2) *Suppose  $V$  is a cluster variety,  $U = \text{Spec}(\text{up}(V))$  is a smooth affine variety, and  $V \rightarrow U$  is an open immersion. Let  $f_1, \dots, f_n$  generate  $\text{up}(V)$  as a  $\mathbb{k}$ -algebra. Then  $f = \min(f_1^T, \dots, f_n^T)$  is strictly negative on  $V(\mathbb{Z}^T) \setminus \{0\}$ .*

*Proof.* (1) Let  $U \subset V$  be an open immersion with complement an irreducible divisor  $E$ . Suppose each  $f_i$  is regular along  $E$ . Then the inclusion  $H^0(V, \mathcal{O}_V) \subset H^0(U, \mathcal{O}_U)$  is an equality. Thus the inverse birational map  $V \dashrightarrow U$  is regular, which implies  $U = V$ . Thus (1) follows.

(2) Since the restriction  $H^0(U, \mathcal{O}_U) \rightarrow H^0(V, \mathcal{O}_V)$  to the open subset  $V \subset U$  is an isomorphism, it follows that  $U \setminus V \subset U$  has codimension at least two. Thus  $U$  itself is log Calabi-Yau by [GHK13], Lemma 1.4, and the restriction  $(\omega_U)|_V$  of the holomorphic volume form is a scalar multiple of  $\omega_V$ . In addition  $V(\mathbb{Z}^T) = U(\mathbb{Z}^T)$ . Now (2) follows from (1).  $\square$

**Proposition 8.22.** *If the canonical map*

$$p_2^*|_{N^\circ} : N^\circ \rightarrow N_{\text{uf}}^*, \quad n \mapsto \{n, \cdot\}|_{N_{\text{uf}}}$$

*is surjective, then*

- (1)  $\pi : \mathcal{A}_{\text{prin}} \rightarrow T_M$  is isomorphic to  $\mathcal{A} \times T_M$ .
- (2) We can choose  $p^* : N \rightarrow M^\circ$  so that the induced map  $p^* : N \otimes_{\mathbb{Z}} \mathbb{Q} \rightarrow M^\circ \otimes_{\mathbb{Z}} \mathbb{Q}$  is an isomorphism.
- (3) The map induced by the choice of  $p^*$  in (2),  $p : \mathcal{A} \rightarrow \mathcal{X}$ , is finite.
- (4) If furthermore for each  $0 \neq x \in \mathcal{A}(\mathbb{Z}^T)$  we can find a cluster variable  $A$  with  $A^T(x) < 0$ , then  $\mathcal{A}$  (and  $\mathcal{A}_{\text{prin}}$ ) has Enough Global Monomials. This final condition holds if  $\text{ord}(\mathcal{A}) = \text{up}(\mathcal{A})$  is finitely generated and  $\text{Spec}(\text{up}(\mathcal{A}))$  is a smooth affine variety.

*Proof.* (1) is Lemma B.7. (3) follows from (2). So we assume  $p_2^*|_{N^\circ}$  is surjective and show we can choose  $p^*$  to have finite cokernel, or equivalently, so  $p^*$  is injective. We follow the notation of [GHK13], §2.1. By the assumed surjectivity,  $p^*$  is injective iff the induced map  $p^*|_K : K \rightarrow N_{\text{uf}}^\perp \subset M^\circ$  is injective. We can replace  $p^*$  by  $p^* + \alpha$  for any map  $\alpha : N \rightarrow N_{\text{uf}}^\perp \subset M^\circ$  which vanishes on  $N_{\text{uf}}$ , i.e., factors through a map  $\alpha : N/N_{\text{uf}} \rightarrow N_{\text{uf}}^\perp$ . Note by the assumed surjectivity that  $K$  and  $N_{\text{uf}}^\perp$  have the same rank, and moreover the restriction  $p^*|_{N_{\text{uf}}} = p_1^*$  (which is unaffected by the addition of  $\alpha$ ) is injective. In particular  $p^*|_{K \cap N_{\text{uf}}} : K \cap N_{\text{uf}} \rightarrow N_{\text{uf}}^\perp$  is injective. Thus we can choose  $\beta : K \rightarrow N_{\text{uf}}^\perp$ , vanishing on  $K \cap N_{\text{uf}}$  (i.e., factoring through a map  $\beta : K/K \cap N_{\text{uf}} \rightarrow N_{\text{uf}}^\perp$ ) so that  $p^*|_K + \beta : K \rightarrow N_{\text{uf}}^\perp$  is injective. By viewing the determinant of  $p^*|_K + m \cdot \beta$  for  $m$  an integer as a polynomial in  $m$ , we see that  $p^*|_K + m \cdot \beta$  is injective for all but a finite number of  $m$ . For sufficiently divisible  $m$ ,  $m \cdot \beta : K/K \cap N_{\text{uf}} \rightarrow N_{\text{uf}}^\perp$  extends to  $\alpha : N/N_{\text{uf}} \rightarrow N_{\text{uf}}^\perp$  under the natural inclusion  $K/K \cap N_{\text{uf}} \subset N/N_{\text{uf}}$ . Now  $p^* + \alpha : N \rightarrow M^\circ$  is injective as required. This shows (2).

For (4), when  $\mathcal{A}_{\text{prin}} \rightarrow T_M$  is a trivial bundle, it follows that

$$\mathcal{A}_{\text{prin}}(\mathbb{Z}^T) = \mathcal{A}(\mathbb{Z}^T) \times M.$$

So we have Enough Global Monomials so long as we can find cluster variables on  $\mathcal{A}$  with the given condition. The final statement of (4) follows from Proposition 8.21.  $\square$

*Remarks 8.23.* Every double Bruhat cell is an affine variety by [BFZ05], Prop. 2.8 and smooth by [FZ99], Theorem 1.1. The surjectivity condition in the statement of Proposition 8.22 holds for all double Bruhat cells by [BFZ05], Proposition 2.6 (the Proposition states that the exchange matrix has full rank, but the proof shows the surjectivity). So by the proposition,  $\mathcal{A}_{\text{prin}}$  has Enough Global Monomials for double Bruhat cells for which the upper and ordinary cluster algebras are the same. This holds for the open double Bruhat cell of  $G$  and the  $G/N$  ( $N \subset G$  maximal unipotent) for  $G = \text{SL}_n$  by [BFZ05], Remark 2.20, and is announced in [GY13] for all double Bruhat cells of all semi-simple  $G$ .

We note that the property of EGM is preserved by Fock-Goncharov duality:

**Proposition 8.24.** *Let  $\Gamma$  be fixed data, and  $\Gamma^\vee$  the Langlands dual data. We write e.g.  $N^\vee$  for the corresponding lattice for the data  $\Gamma^\vee$  as in Appendix A. For each seed  $\mathbf{s}$ , the canonical inclusion*

$$M_{\mathbf{s}} = M \subset M^\circ = M_{\mathbf{s}^\vee}^\vee$$

*commutes with the tropicalization of mutations, and induces an isomorphism*

$$\mathcal{X}_\Gamma(\mathbb{R}^T) = \mathcal{X}_{\Gamma^\vee}(\mathbb{R}^T).$$

*For  $n \in N_{\mathbf{s}}$ , the monomial  $z^n$  on  $T_{M,\mathbf{s}} \subset \mathcal{X}_\Gamma$  is a global monomial if and only if  $z^{D \cdot n}$  on  $T_{M^\vee,\mathbf{s}^\vee} \subset \mathcal{X}_{\Gamma^\vee}$  is a global monomial. Finally,  $\mathcal{A}_{\text{prin}}^\vee$  has EGM if and only if  $\mathcal{A}_{\text{prin}}$  has EGM.*

*Proof.* The statement about tropical spaces is immediate from the definitions. (Note that a similar statement does not hold at the level of tori, so there is no isomorphism between  $\mathcal{X}_\Gamma$  and  $\mathcal{X}_{\Gamma^\vee}$ .) The statement about global monomials is immediate from Lemma 7.8. Now the final statement follows from the definition of EGM, the isomorphism  $\mathcal{A}_{\text{prin}} \cong \mathcal{X}_{\text{prin}}$  of Proposition B.2, (1), and the equality  $\mathcal{A}_{\text{prin}}^\vee = \mathcal{X}_{\Gamma_{\text{prin}}^\vee}$  of Proposition B.2, (3).  $\square$

**Proposition 8.25.** *If for some seed  $\mathbf{s}$ ,  $\Delta^+(\mathbb{Z}) \subset \mathcal{A}^\vee(\mathbb{R}^T) = M_{\mathbb{R},\mathbf{s}}^\circ$  is not contained in a half-space, then  $\mathcal{A}_{\text{prin}}$  has EGM, and the full Fock-Goncharov conjecture (see Definition 0.6) holds for  $\mathcal{A}_{\text{prin}}$ ,  $\mathcal{X}$ , very general  $\mathcal{A}_t$  and, if the convexity condition (7) of Theorem 0.2 holds, for  $\mathcal{A}$ .*

*Proof.* Assume EGM fails for  $\mathcal{A}_{\text{prin}}$ . Then we have some point  $0 \neq x \in \mathcal{A}_{\text{prin}}(\mathbb{Z}^T)$  and  $\vartheta_p^T(x) \geq 0$  for all  $p \in \Delta^+(\mathbb{Z}) \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ . Take any seed  $\mathbf{s}$ . We can compute  $\vartheta_p^T(x)$  by using the corresponding positive Laurent polynomial  $\vartheta_{Q,p} \in \mathbb{k}[\widetilde{M}_{\mathbf{s}}^{\circ}]$ , for  $Q$  a point in the distinguished chamber  $\mathcal{C}_{\mathbf{s}}^+$  of  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$ . Thus using Lemma 2.8 (leaving the canonical isomorphism  $r$  out of the notation),

$$0 \leq \vartheta_{Q,p}^T(x) = \min_{\substack{I(\gamma)=p \\ b(\gamma)=Q}} \langle F(\gamma), -x \rangle \leq \langle p, -x \rangle.$$

Here the minimum is over all broken lines  $\gamma$  contributing to  $\vartheta_{Q,p}$  and the final inequality comes from the fact that one of the broken lines is the obvious straight line. Thus  $\Delta^+(\mathbb{Z})$  is contained in the half-space  $\{\langle \cdot, -x \rangle \geq 0\} \subset \widetilde{M}_{\mathbf{s},\mathbb{R}}^{\circ}$ . Since  $\Delta^+(\mathbb{Z}) \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  is the inverse image of  $\Delta_{\mathcal{A}}^+(\mathbb{Z}) \subset \mathcal{A}^{\vee}(\mathbb{Z}^T)$  under the map  $\rho^T : \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) \rightarrow \mathcal{A}^{\vee}(\mathbb{Z}^T)$ , the EGM statement follows. Now  $\Theta = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  since  $\Delta^+(\mathbb{Z}) \subset \Theta$  and  $\Theta$  is saturated and intrinsically closed under addition, see Theorem 7.5. Since  $\mathcal{A}_{\text{prin}}$  satisfies EGM, so does  $\mathcal{A}_{\text{prin}}^{\vee}$  by Proposition 8.24, and the full Fock-Goncharov conjecture for  $\mathcal{A}_{\text{prin}}$  then follows from Propositions 8.17 and 8.20. The  $\mathcal{A}_t$ ,  $\mathcal{X}$  and  $\mathcal{A}$  cases then follow as in the proofs of Theorem 7.5 and Theorem 7.16.  $\square$

**Proposition 8.26.** *Let  $\mathbf{s} = (e_i)$  be a seed. Suppose for some vertex  $w$  of  $\mathfrak{T}_{\mathbf{s}}$  the cluster chamber  $\mathcal{C}_{w \in \mathbf{s}}^+ \subset M_{\mathbb{R},\mathbf{s}}^{\circ} = \mathcal{A}^{\vee}(\mathbb{R}^T)$  meets the interior of  $\mathcal{C}_{\mathbf{s}}^-$ . Then the following hold:*

- (1)  $\mathcal{C}_{w \in \mathbf{s}}^+ = \mathcal{C}_{\mathbf{s}}^-$ .
- (2)  $\Delta^+(\mathbb{Z}) \subset \widetilde{M}_{\mathbf{s}}^{\circ} = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$  is not contained in a half-space.
- (3)  $\mathcal{A}_{\text{prin}}^{\vee}$  has EGM, and  $\Theta = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ .

*Proof.* Obviously (1) implies (2). (1) follows from the fact that each cluster chamber is a chamber in  $\mathfrak{D}^{\mathcal{A}_{\text{prin}}}$ , and each  $e_i^{\perp} \subset M_{\mathbb{R}}^{\circ}$  is a union of walls from  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$ , see Theorem 2.12. (2) implies (3) by Proposition 8.25  $\square$

**Proposition 8.27.** *An acyclic quiver has a maximal green sequence (for the definition see [BDP], Def. 1.8). If a skew-symmetric cluster algebra has a maximal green sequence then it satisfies the hypothesis of Proposition 8.26.*

*Proof.* The first statement is [BDP], Lemma 1.20. Let  $\mathbf{s}$  be an initial seed and  $\mathbf{s}'$  the seed obtained by mutations in a maximal green sequence. By definition, the  $c$ -vectors for  $\mathbf{s}'$  have non-positive entries. By Lemma 5.14 the  $c$ -vectors are the equations for the walls of the cluster chamber  $\mathcal{C}_{\mathbf{s}'}^+$ , thus the hypothesis of Proposition 8.26 holds.  $\square$

We make a quick aside here by making a connection with work of Reineke [R10], in the acyclic skew-symmetric case. In this case  $\mathfrak{D}_{\mathbf{s}}$  has a natural interpretation in terms of moduli of quiver representations. Here we will use the Lie algebra  $\mathfrak{g}$  as defined in

Construction 1.21, so that walls of  $\mathfrak{D}_{\mathbf{s}}$  have elements of the group  $G = \exp(\mathfrak{g})$  attached instead of functions, and these elements act on  $\widehat{\mathbb{k}[R]}$ , where  $R \subset N$  is a suitably chosen submonoid.

**Proposition 8.28.** *Suppose given fixed skew-symmetric data with no frozen variables along with an acyclic seed  $\mathbf{s} = (e_1, \dots, e_n)$ . Let  $Q$  be the associated quiver. Each  $x \in M_{\mathbb{R}}$  gives a stability in the sense of [R10]. Assume there is a unique primitive  $d \in N_{\mathbf{s}}^+$  with  $x \in d^{\perp}$ . For each  $i \in I$  let*

$$Q^i(z^d) = \sum_{k \geq 1} \chi(\mathcal{M}_{\mathbb{k}d, i}^x(Q)) z^{kd}$$

where  $\mathcal{M}_{\mathbb{k}d, i}^x(Q)$  is the framed moduli space (framed by the vector spaces  $V_j$  with  $\dim V_j = 0$  unless  $j = i$ , in which case  $\dim V_j = 1$ ) of semi-stable representations of  $Q$  with dimension vector  $d$  and  $x$ -slope zero, (see [R10], §5.1) and  $\chi$  denotes topological Euler characteristic. Let  $d = \sum d_i e_i$  for some  $d_i \in \mathbb{N}$ . Then

$$f := (Q^i)^{\frac{1}{d_i}} = (Q^j)^{\frac{1}{d_j}} \text{ for } i, j \in I, d_i \neq 0, d_j \neq 0$$

depends only on  $Q$  and  $x$  (i.e., is independent of the vertex  $i \in I$ ). Furthermore,  $g_x(\mathfrak{D}_{\mathbf{s}})$  (see Lemma 1.4) acts on  $\widehat{\mathbb{k}[R]}$  by

$$z^n \rightarrow z^n \cdot f^{\{d, n\}}.$$

*Proof.* The equality of the  $(Q^i)^{\frac{1}{d_i}}$  follows from the argument in the proof of [R10], Lemma 3.6.

Now assume that the indices are ordered so that  $Q$  has arrows from the vertex with index  $i$  to the vertex with index  $j$  only if  $i > j$ . Let us compute  $\theta_{+, -}$ , the automorphism associated to a path from the positive to the negative chamber, in two different ways.

First, there is a sequence of chambers connecting  $\mathcal{C}_{\mathbf{s}}^+$  to  $\mathcal{C}_{\mathbf{s}}^-$  via the mutations  $\mu_n, \mu_{n-1}, \dots, \mu_1$ . Indeed, it is easy to check that the  $c$ -vectors obtained by mutating  $\mu_n, \mu_{n-1}, \dots, \mu_i$  are precisely  $e_1, \dots, e_{i-1}, -e_i, \dots, -e_n$ , and the chamber corresponding to this sequence of mutations is precisely the dual of the cone generated by the  $c$ -vectors, see Lemma 5.14. Thus in particular, we can find a path  $\gamma$  from  $\mathcal{C}_{\mathbf{s}}^+$  to  $\mathcal{C}_{\mathbf{s}}^-$  which only crosses the walls  $e_n^{\perp}, \dots, e_1^{\perp}$  in order. Note that the group element attached to the wall  $e_j^{\perp}$  is  $z^n \mapsto z^n(1 + z^{e_j})^{\{n, e_j\}}$ , which agrees with the automorphism in [R10] written as  $T_{i_j}$  (noting that [R10] uses the opposite sign convention for the skew form  $\{\cdot, \cdot\}$  associated to the quiver). From this we conclude that  $\theta_{+, -} = T_{i_1} \circ \dots \circ T_{i_n}$ , the left-hand side of the equality of Theorem 2.1 of [R10].

On the other hand, choose a stability condition  $x$  and consider the path  $\gamma$  from  $\mathcal{C}_{\mathbf{s}}^+$  to  $\mathcal{C}_{\mathbf{s}}^-$  parameterized by  $\mu$ , with  $\gamma(\mu) = x - \mu \sum_i e_i^*$ , with domain sufficiently large so the initial and final endpoints lie in the positive and negative orthants respectively. Then a

dimension vector is  $\gamma(\mu)$ -slope 0 if and only if it is  $x$ -slope  $\mu$ . Thus if the description in the statement of the theorem of  $g_x$  is correct, then  $\theta_{\gamma, \mathfrak{D}_s}$  coincides with the right-hand side of the equality of Theorem 2.1 of [R10]. By the uniqueness of the factorization of  $\theta_{+,-}$  from the proof of Theorem 1.9, we obtain the result.  $\square$

Because non-negativity of Euler characteristics for the quiver moduli spaces appearing in the above statement is known ([R14]), this gives an alternate proof of positivity of the scattering diagram in this case.

## 9. COMPACTIFICATION AND DEGENERATION

For a piecewise linear function  $f : \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) \rightarrow \mathbb{R}$ , let

$$\Xi_f := \{x \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) \mid f(x) \geq -1\}.$$

By Lemma 8.4 if  $f$  is min-convex in the sense of Definition 8.2 (or more generally, decreasing in the sense of Definition 8.3), then under any identification  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) = \widetilde{M}_{\mathbb{R}}^{\circ}$  given by any seed,  $\Xi_f \subset \widetilde{M}_{\mathbb{R}}^{\circ}$  is a convex polytope. By Lemma 8.6,  $\Xi_f$  is bounded if and only if  $f$  is negative away from 0.

**Definition 9.1.** We will call a closed subset  $\Xi \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$  *positive* if for any non-negative integers  $d_1, d_2$ , any  $p_1 \in d_1\Xi \cap \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ ,  $p_2 \in d_2\Xi \cap \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ , and any  $r \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  with  $\alpha(p_1, p_2, r) \neq 0$ , we have  $r \in (d_1 + d_2)\Xi$ .

Clearly  $\Xi_f$  is positive if  $f$  is decreasing in the sense of Definition 8.3.

We observe how broken lines behave under the canonical  $N$ -translation on  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$ :

**Lemma 9.2.** *For  $Q \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$  general and  $n \in N$  there are natural bijections between the following sets of broken lines*

$$\begin{aligned} & \{\gamma \mid b(\gamma) = Q, I(\gamma) = q, F(\gamma) = s\}, \\ & \{\gamma \mid b(\gamma) = Q, I(\gamma) = q + n, F(\gamma) = s + n\}, \\ & \{\gamma \mid b(\gamma) = Q + n, I(\gamma) = q + n, F(\gamma) = s + n\}. \end{aligned}$$

*If  $\alpha(p, q, r) \neq 0$ , then  $\alpha(p + n, q, r + n) \neq 0$  and  $\alpha(p, q + n, r + n) \neq 0$ .*

*Proof.* The implications for  $\alpha$  follow from the equality of the sets using Definition-Lemma 6.2. To get the bijections between the sets, we first recall that every wall of  $\mathfrak{D}^{\mathcal{A}_{\text{prin}}}$  is invariant under the canonical  $N$ -translation and is contained in a hyperplane  $(n, 0)^{\perp}$  for some  $(n, 0) \in \widetilde{N}^{\circ}$ . Thus  $N$  acts on broken lines, by translation on the underlying path, keeping the monomial decorations the same. This give the bijection between the second and third sets.

For bijection between the first and second sets, we need to translate the decorations on each straight segment of  $\gamma$  by  $n$ . This will change the slopes of each line segment. To do this precisely, take  $\gamma$  in the first set, say with straight decorated segments  $L_1, \dots, L_k$  taken in reverse order, with  $L_k$  the infinite segment. Suppose the monomial attached to  $L_i$  is  $c_i z^{m_i}$  with  $m_i \in \widetilde{M}^\circ$ . Say the bends are at points  $x_i \in L_{i-1} \cap L_i$  along a wall contained the hyperplane  $(n_i, 0)^\perp$  so that  $L_i$  is parameterized (in the reverse direction to that of Definition 3.1) by  $x_i + tm_i$ ,  $0 \leq t \leq t_i$ . Then we define

$$x'_i = Q + t_1(m_1 + (0, n)) + t_2(m_2 + (0, n)) + \dots + t_{i-1}(m_{i-1} + (0, n)).$$

Observe that  $x'_i \in (n_i, 0)^\perp$ . Let  $L'_i$  be the segment  $x'_i + t(m_i + (0, n))$ ,  $0 \leq t \leq s_i$ , with attached monomial  $c_i z^{m_i + (0, n)}$ . Then  $L'_1, \dots, L'_k$  form the straight pieces of a broken line  $\gamma'$  in the second set. This gives the desired bijection.  $\square$

**Lemma 9.3.** *Let  $p, q, r \in \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$ ,  $k$  a positive integer. If  $\alpha(p, q, r) \neq 0$ , then  $\alpha(kp, kq, kr) \neq 0$ .*

*Proof.* This follows immediately from Definition-Lemma 6.2 and the argument given in the proof of saturatedness in Theorem 7.5. This latter argument shows that if there is a broken line  $\gamma$  with  $I(\gamma) = p$ ,  $F(\gamma) = r$ , then there is a broken line  $\gamma'$  with  $I(\gamma') = kp$ ,  $F(\gamma') = kr$ .  $\square$

**Proposition 9.4.** *Suppose  $\Xi$  is a positive polytope defined over  $\mathbb{Q}$  (i.e., all faces span rationally defined affine spaces). Then  $\Xi + N_{\mathbb{R}}$  is positive.*

*Proof.* Suppose  $p_i \in d_i(\Xi + N_{\mathbb{R}}) \cap \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$ , and  $\alpha(p_1, p_2, r) \neq 0$ . We can always write  $p_i = p'_i + n_i$  with  $p'_i \in (d_i \Xi) \cap \mathcal{A}_{\text{prin}}^\vee(\mathbb{Q}^T)$  and  $n_i \in N_{\mathbb{Q}}$  by the rationality assumption. Let  $k$  be a positive integer such that  $kp'_i$  and  $kn_i$  are all integral for  $i = 1, 2$ . Then because  $\alpha(p_1, p_2, r) \neq 0$ ,  $\alpha(kp_1, kp_2, kr) \neq 0$  by Lemma 9.3, and thus  $\alpha(kp'_1, kp'_2, k(r - n_1 - n_2)) \neq 0$  by Lemma 9.2. As  $kp'_i \in kd_i \Xi$ , positivity of  $\Xi$  implies  $k(r - n_1 - n_2) \in k(d_1 + d_2)\Xi$  and thus  $r \in (d_1 + d_2)(\Xi + N_{\mathbb{R}})$ .  $\square$

We assume  $\Xi = \Xi_f$  is bounded, rational, and positive. Recall from Proposition B.2, (4), the natural map  $\rho : \mathcal{A}_{\text{prin}}^\vee \rightarrow \mathcal{A}^\vee$  with  $\rho^T : \mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T) \rightarrow \mathcal{A}^\vee(\mathbb{R}^T)$  being the canonical projection  $\widetilde{M}^\circ \rightarrow M^\circ$ , the quotient by the  $N$  translation action. Let  $\overline{\Xi} := \rho^T(\Xi) \subset \mathcal{A}^\vee(\mathbb{R}^T)$  be the image of  $\Xi$ , or equivalently of  $\Xi + N_{\mathbb{R}}$ .

**Proposition 9.5.**  *$\overline{\Xi}$  is a positive bounded polytope with 0 in its interior.*

*Proof.* Positivity is easy to check using Proposition 9.4 and Theorem 7.16, (1). Since  $f$  must be negative except at 0 in order for  $\Xi_f$  to be bounded, we have that 0 lies in the interior of  $\Xi_f$ , and hence in the interior of  $\overline{\Xi}$ .  $\square$

Now we fix a seed  $\mathbf{s} = (e_1, \dots, e_n)$  and let  $N_{\mathbf{s}}^+ \subset N$  be the monoid generated by the  $e_i$ . The choice of seed gives an identification  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) = \widetilde{M}_{\mathbf{s}}^{\circ} = M^{\circ} \oplus N$  and in particular determines a second projection  $\pi_N : \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) \rightarrow N$  (which depends on the choice of seed). We have the canonical inclusion  $N \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  given in each seed by  $N = 0 \oplus N \subset \widetilde{M}_{\mathbf{s}}^{\circ} = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ , and canonical translation action of  $N$  on  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  making  $\text{can}(\mathcal{A}_{\text{prin}})$  into a  $\mathbb{k}[N]$ -module.

We let  $S = \text{can}(\mathcal{A}_{\text{prin}})$ . By Proposition 8.7 and the boundedness of  $\Xi$ ,  $S$  is a  $\mathbb{k}[N]$ -algebra with  $\mathbb{k}$ -algebra structure constants  $\alpha(p, q, r)$ . Let  $S_{N_{\mathbf{s}}^+} \subset S$  be the vector subspace with basis  $\pi_N^{-1}(N_{\mathbf{s}}^+)$ . We grade  $S[T]$  by giving  $T$  degree 1, and elements of  $S$  degree 0.

**Lemma 9.6.**  $S_{N_{\mathbf{s}}^+} \subset S$  is a  $\mathbb{k}[N_{\mathbf{s}}^+]$ -subalgebra.

*Proof.* This follows from the fact that  $\pi_N(m) \in N_{\mathbf{s}}^+$  for each scattering monomial  $m$  in  $\mathfrak{Q}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$ .  $\square$

Let  $\tilde{\Xi} := \Xi + N_{\mathbb{R}}$ , and

$$\Xi^+ := \tilde{\Xi} \cap \pi_N^{-1}(N_{\mathbf{s}, \mathbb{R}}^+),$$

where  $N_{\mathbf{s}, \mathbb{R}}^+$  is the cone in  $N_{\mathbb{R}}$  spanned by the  $e_i$ . In general, for a subset  $Z \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$ , we let  $\tilde{S}_Z \subset S[T]$  be the vector subspace with basis  $\vartheta_q T^d$  with  $q \in dZ$ , for  $d$  a non-negative integer. As  $\tilde{\Xi}$  is positive, it follows that  $\tilde{S}_{\tilde{\Xi}} \subset S[T]$  is a graded subalgebra, and  $\tilde{S}_{\Xi^+} \subset S_{N_{\mathbf{s}}^+}[T]$  is a graded  $\mathbb{k}[N_{\mathbf{s}}^+]$ -subalgebra.

Let  $R = \mathbb{k}[N_{\mathbf{s}}^+]$ . We note

$$\tilde{S} := \tilde{S}_{\Xi^+} = \bigoplus_{d \geq 0} \bigoplus_{q \in d \cdot \Xi} \vartheta_{(q,0)} T^d \mathbb{k}[N_{\mathbf{s}}^+].$$

Also,  $(\tilde{S}_T)_0$  (the subring of elements of degree zero in the localisation) has the form

$$(\tilde{S}_T)_0 = S_{N_{\mathbf{s}}^+} = \bigoplus_{q \in M^{\circ}} \vartheta_{(q,0)} \mathbb{k}[N_{\mathbf{s}}^+] \subset S.$$

**Claim 9.7.**  $\tilde{S}$  is a finitely generated  $\mathbb{k}[N_{\mathbf{s}}^+]$  algebra.

*Proof.* This is a graded analog of Proposition 8.18 and one easily adjusts the proof to the present context.  $\square$

Now we have  $\text{Spec}(S_{N_{\mathbf{s}}^+}) \subset \text{Proj}(\tilde{S})$  an open subset, with complement the zero locus of  $T \in H^0(\text{Proj}(\tilde{S}), \mathcal{O}(1))$ . The inclusion of  $\mathbb{k}[N_{\mathbf{s}}^+] = \vartheta_0 \mathbb{k}[N_{\mathbf{s}}^+]$  in the degree 0 part of  $\tilde{S}$  induces a morphism  $\text{Proj}(\tilde{S}) \rightarrow \text{Spec}(\mathbb{k}[N_{\mathbf{s}}^+]) = \mathbb{A}_{X_1, \dots, X_r}^n$ . This morphism is flat, since  $\tilde{S}$  is a free  $\mathbb{k}[N_{\mathbf{s}}^+]$ -module.

**Theorem 9.8.** *The central fibre of*

$$(\mathrm{Spec}(S_{N_{\mathbf{s}}^+}) \subset \mathrm{Proj}(\tilde{S})) \rightarrow \mathbb{A}^n$$

*is the polarized toric variety  $T_{N^\circ} \subset \mathbb{P}_{\overline{\Xi}}$  given by<sup>2</sup> the polyhedron  $\overline{\Xi}$ .*

*Proof.* This follows from the multiplication rule. Indeed, since all the scattering monomials project under  $\pi_N$  into the interior of  $N_{\mathbf{s}}^+$ ,  $z^{F(\gamma)}$  vanishes modulo the maximal ideal of  $\mathbb{k}[N_{\mathbf{s}}^+]$  for any broken line  $\gamma$  that bends, see e.g. the proof of Proposition 8.17. Thus

$$\tilde{S} \otimes_{\mathbb{k}[N_{\mathbf{s}}^+]} (\mathbb{k}[N_{\mathbf{s}}^+]/(X_1, \dots, X_n)) = \bigoplus_{d \geq 0} \bigoplus_{q \in d \cdot \overline{\Xi}} \mathbb{k} \cdot \vartheta_q \cdot T^d$$

with multiplication induced by  $\vartheta_p \cdot \vartheta_q = \vartheta_{p+q}$  (addition in  $M^\circ$ ). This is the coordinate ring of  $\mathbb{P}_{\overline{\Xi}}$ .  $\square$

**Example 9.9.** Consider the fixed and seed data given in Example 1.29. The scattering diagram for  $\mathcal{A}_{\mathrm{prin}}$  in this case has three walls, pulled back from the walls of the scattering diagram for  $\mathcal{A}$  as given in Example 1.29, with attached functions  $1 + A_2 X_1$ ,  $1 + A_1^{-1} X_2$  and  $1 + A_1^{-1} A_2 X_1 X_2$ . Here, with basis  $e_1, e_2$  of  $N$  and dual basis  $f_1, f_2$  of  $M$ , we have  $A_i = z^{(f_i, 0)}$  and  $X_i = z^{(0, e_i)}$ .

Take  $\overline{\Xi} \subseteq M_{\mathbb{R}}^\circ$  to be the pentagon with vertices (with respect to the basis  $f_1, f_2$ )  $(1, 0)$ ,  $(0, 1)$ ,  $(-1, 0)$ ,  $(0, -1)$ , and  $(1, -1)$ , which we write as  $w_1, \dots, w_5$ . Then  $\overline{\Xi}$  pulls back to  $\widetilde{M}_{\mathbb{R}}^\circ$  to give a polytope  $\Xi$ . It is easy to see that  $\Xi$  is a positive polytope. Further, write  $\vartheta_i := \vartheta_{(w_i, 0)}$ ,  $\vartheta_0 = \vartheta_{(0, 0)}$ . Then it is not difficult to describe the ring  $\tilde{S}$  as determined by  $\overline{\Xi}$  as the graded ring generated in degree 1 by  $\vartheta_0, \dots, \vartheta_5$ , with relations

$$\begin{aligned} \vartheta_1 \cdot \vartheta_3 &= X_1 \vartheta_2 \vartheta_0 + \vartheta_0^2, \\ \vartheta_2 \cdot \vartheta_4 &= X_2 \vartheta_3 \vartheta_0 + \vartheta_0^2, \\ \vartheta_3 \cdot \vartheta_5 &= \vartheta_4 \vartheta_0 + X_1 \vartheta_0^2, \\ \vartheta_4 \cdot \vartheta_1 &= \vartheta_5 \vartheta_0 + X_1 X_2 \vartheta_0^2, \\ \vartheta_5 \cdot \vartheta_2 &= \vartheta_1 \vartheta_0 + X_2 \vartheta_0^2. \end{aligned}$$

These equations define a family of projective varieties in  $\mathbb{P}^5$ , parameterized by  $(X_1, X_2) \in \mathbb{A}^2$ . For  $X_1 X_2 \neq 0$ , we obtain a smooth del Pezzo surface of degree 5. The boundary (where  $\vartheta_0 = 0$ ) is a cycle of five projective lines. When  $X_1 = X_2 = 0$ , we obtain a toric surface with two ordinary double points.

<sup>2</sup>Although  $\overline{\Xi} \subseteq M_{\mathbb{R}}^\circ$  is only a rationally defined polyhedron rather than a lattice polyhedron, we can still define  $\mathbb{P}_{\overline{\Xi}} = \mathrm{Proj} \bigoplus_{d=0}^{\infty} \mathbb{k}^{d \cdot \overline{\Xi} \cap M^\circ}$ .

**Theorem 9.10.** *Assume that  $\mathcal{A}_{\text{prin}}^V$  has Enough Global Monomials, and that  $\mathbb{k}$  is an algebraically closed field of characteristic zero. Let  $V$  be one of  $\mathcal{X}$ ,  $\mathcal{A}$ ,  $\mathcal{A}_t$  or  $\mathcal{A}_{\text{prin}}$ . We note  $\text{can}(V)$  has a finitely generated  $\mathbb{k}$ -algebra structure by Proposition 8.17. Define  $U := \text{Spec}(\text{can}(V))$ .*

*Define  $Y := \text{Proj}(\tilde{S}_{\Xi}) \rightarrow T_M$  (constructed above) in case  $V = \mathcal{A}_{\text{prin}}$ , and for  $V := \mathcal{A}_t$ , take instead its fibre over  $t \in T_M$  (we are not defining  $Y$  in the  $V = \mathcal{X}$  case), so by construction we have an open immersion  $U \subset Y$ . Define  $B := Y \setminus U$ . The following hold:*

- (1) *In all cases  $U$  is a Gorenstein scheme with trivial dualizing sheaf.*
- (2) *For  $V = \mathcal{A}_{\text{prin}}$ ,  $\mathcal{X}$ , or  $\mathcal{A}_t$  for  $t$  general,  $U$  is a  $K$ -trivial Gorenstein log canonical variety.*
- (3) *For  $V = \mathcal{A}_{\text{prin}}$  or  $\mathcal{A}_t$  for  $t$  general, or all  $\mathcal{A}_t$  assuming there exists a seed  $(e_1, \dots, e_n)$  and a strictly convex cone containing all of  $v_i := \{e_i, \cdot\}$  for  $i \in I_{\text{uf}}$ , we have  $U \subset Y$  is a minimal model. In other words,  $Y$  is a (in the  $\mathcal{A}_{\text{prin}}$  case relative to  $T_M$ ) projective normal variety,  $B \subset Y$  is a reduced Weil divisor,  $K_Y + B$  is trivial, and  $(Y, B)$  is log canonical.*

*Proof.* First we consider the theorem in the cases  $V \neq \mathcal{X}$ . Note that (3) implies (2) by restriction.

We consider the family  $(\text{Proj}(\tilde{S}), B) \rightarrow \mathbb{A}^n$  constructed above, where  $B$  is the divisor given by  $T = 0$  with its reduced structure. Using Lemma 9.11 below, the condition that on a fibre  $Z$ ,  $U \subset Z \setminus B_Z$  is a minimal model (in the sense of the statement) is open, and it holds for the central fibre as it is toric by Theorem 9.8. Thus the condition holds for fibres over some non-empty Zariski open subset  $0 \in W \subset \mathbb{A}^n$ . This gives (3) for  $\mathcal{A}_t$  with  $t$  general. The convexity condition (on the  $v_i$ ) implies there is a one-parameter subgroup of  $T_{N^\circ}$  which pushes a general point of  $\mathbb{A}^n$  to 0 (see the proof of Theorem 7.16), and now (3) for  $\mathcal{A}_t$  for all  $t$  follows by the  $T_{N^\circ}$ -equivariance.

Now note given seed data  $\Gamma$  the convexity assumption holds for the seed data  $\Gamma_{\text{prin}}$ . Thus the final paragraph applies with  $\mathcal{A} = \mathcal{A}_{\Gamma_{\text{prin}}}$  and so in particular  $\text{Spec}(\text{can}(\mathcal{A}_{\text{prin}}))$  is Gorenstein with trivial dualizing sheaf. The same then holds for the fibres of the flat map  $\text{Spec}(\text{can}(\mathcal{A}_{\text{prin}})) \rightarrow T_M$ , which are  $U = \text{Spec}(\text{can}(\mathcal{A}_t))$  (for arbitrary  $t \in T_M$ ). This gives (1).

Finally we consider the case  $V = \mathcal{X}$ . The graded ring construction above applied with seed data  $\Gamma_{\text{prin}}$  gives a degeneration of a compactification of  $\text{Spec}(\text{can}(\mathcal{A}_{\text{prin}})) \subset Y$  (which is now a fibre of the family) to a toric compactification of  $T_{\tilde{N}^\circ}$ . The torus  $T_{N^\circ}$  acts on the family, trivially on the base, and the quotient gives an isotrivial degeneration of an analogously defined compactification of  $\text{Spec}(\text{can}(\mathcal{X}))$  to a toric compactification of  $T_M$ . We leave the details of the construction (which is exactly analogous to the

construction of  $\text{Proj}(\tilde{S})$  above) to the reader. Now exactly the same openness argument applies.  $\square$

We learned of the following result, and its proof, from J. Kollár.

**Lemma 9.11** (Kollár). *Let  $\mathbb{k}$  be an algebraically closed field of characteristic zero. Let  $p: X \rightarrow S$  be a proper flat morphism of schemes of finite type over  $\mathbb{k}$ , and  $B \subset X$  a closed subscheme which is flat over  $S$ . Let  $(X_0, B_0)$  denote the fiber of  $(X, B)/S$  over a closed point  $0 \in S$ . Assume that  $S$  is regular and for  $s = 0 \in S$  the following hold:*

- (1)  $X_s$  is normal and Cohen–Macaulay.
- (2)  $B_s \subset X_s$  is a reduced divisor.
- (3) The pair  $(X_s, B_s)$  is log canonical.
- (4)  $\omega_{X_s}(B_s) \simeq \mathcal{O}_{X_s}$ .
- (5)  $H^1(X_s, \mathcal{O}_{X_s}) = 0$ .

*Then the natural morphism  $\omega_{X/S}(B)|_{X_0} \rightarrow \omega_{X_0}(B_0)$  is an isomorphism, and there exists a Zariski open neighbourhood  $0 \in V \subset S$  such that the conditions (1–5) hold for all  $s \in V$ . In particular,  $X_s \setminus B_s$  is a  $K$ -trivial Gorenstein log canonical variety for all  $s \in V$ .*

*Proof.* We are free to replace  $S$  by an open neighbourhood of  $0 \in S$  and will do so during the proof without further comment.

By assumption  $\omega_{X_0}(B_0) \simeq \mathcal{O}_{X_0}$  and  $X_0$  is Cohen–Macaulay. So

$$\mathcal{O}_{X_0}(-B_0) = \mathcal{H}om_{\mathcal{O}_{X_0}}(\omega_{X_0}(B_0), \omega_{X_0})$$

is Cohen–Macaulay by [K13], Corollary 2.71, p. 82. It follows that  $B_0$  is Cohen–Macaulay by [K13], Corollary 2.63, p. 80.

The base  $S$  is regular by assumption, so  $0 \in S$  is cut out by a regular sequence. Since  $X_0$  and  $B_0$  are Cohen–Macaulay, and  $(X, B) \rightarrow S$  is proper and flat, we may assume that  $X$  and  $B$  are Cohen–Macaulay. Now  $\mathcal{O}_X(-B)$  is Cohen–Macaulay by [K13], Corollary 2.63, and  $\omega_X(B) = \mathcal{H}om_{\mathcal{O}_X}(\mathcal{O}_X(-B), \omega_X)$  is Cohen–Macaulay by [K13], Corollary 2.71. The relative dualizing sheaf  $\omega_{X/S}$  is identified with  $\omega_X \otimes (p^*\omega_S)^\vee$ , so  $\omega_{X/S}(B)$  is also Cohen–Macaulay. It follows that  $\omega_{X/S}(B)|_{X_0}$  is Cohen–Macaulay, and so in particular satisfies Serre’s condition  $S_2$ . The natural map  $\omega_{X/S}(B)|_{X_0} \rightarrow \omega_{X_0}(B_0)$  is an isomorphism in codimension 1 (because  $X_0$  is smooth in codimension 1) and both sheaves are  $S_2$ , hence the map is an isomorphism. Now  $\omega_{X_0}(B_0) \simeq \mathcal{O}_{X_0}$  implies that we may assume  $\omega_{X/S}(B) \simeq \mathcal{O}_X$  using  $H^1(X_0, \mathcal{O}_{X_0}) = 0$ .

The conditions (1),(2), and (5) are open conditions on  $s \in S$  because  $(X, B) \rightarrow S$  is proper and flat. So we may assume they hold for all  $s \in S$ . We established above

that  $\omega_{X/S}(B)$  is invertible. It follows that condition (3) is also open on  $s \in S$  by [K13], Corollary 4.10, p. 159, and that condition (4) is open on  $S$  (using (5)).  $\square$

*Remarks 9.12.* Note that directly from its definition, with the multiplication rule counting broken lines, it is difficult to prove anything about  $\text{can}(V)$ , e.g., that it is an integral domain, or determine its dimension. But the convexity, i.e., existence of a convex polytope in the intrinsic sense, gives this very simple degeneration from which we get many properties, at least for very general  $\mathcal{A}_t$ , for free.

There have been many constructions of degenerations of flag varieties and the like to toric varieties, see [AB] and references therein. We expect these are all instances of Theorem 9.8.

Many authors have looked for a nice compactification of the moduli space  $\mathcal{M}$  of (say) rank two vector bundles with algebraic connection on an algebraic curve  $X$ . We know of no satisfactory solution. For example, in [IIS] the case of  $X$  the complement of 4 points in  $\mathbb{P}^1$  is considered, a compactification is constructed, but the boundary is rather nasty (it lies in  $|-K|$ , but this anti-canonical divisor is not reduced). This can be explained as follows:  $\mathcal{M}$  has a different algebraic structure, the  $\text{SL}_2(\mathbb{C})$  character variety,  $V$  (as complex manifolds they are the same). Note  $\mathcal{M}$  is covered by affine lines (the space of connections on a fixed bundle is an affine space), thus it is not log Calabi-Yau. Rather, it is the log version of uniruled, and there is no Mori theoretic reason to expect a natural compactification.  $V$  however is log Calabi-Yau, and then by Mori theory one expects (infinitely many) nice compactifications, the minimal models, see [GHK13], §1, for an introduction to these ideas. When  $X$  has punctures,  $V$  is a cluster variety, see [FST] and [FG06]. In the case of  $S^2$  with 4 punctures,  $V$  is the universal family of affine cubic surfaces (the complement of a triangle of lines on a cubic surface in  $\mathbb{P}^3$ ). See [GHK11], Example 6.12. Each affine cubic has an obvious normal crossing minimal model, the cubic surface. This compactification is an instance of the above, for a natural choice of polygon  $\Xi$ . The same procedure will give a minimal model compactification for any  $\text{SL}_2$  character variety (of a punctured Riemann surface) by the above simple procedure that has nothing to do with Teichmüller theory.

For the remainder of this section we will assume that  $\mathcal{A}_{\text{prin}}^\vee$  has Enough Global Monomials. By Lemma 8.15, there are global monomials  $\vartheta_{p_1}, \dots, \vartheta_{p_n}$  with  $p_1, \dots, p_r \in \mathcal{A}_{\text{prin}}(\mathbb{Z}^T)$  such that  $w := \min\{\vartheta_{p_i}^T\}$  is min-convex with

$$\Xi := \{x \in \mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T) \mid w(x) \geq -1\}$$

being compact. Thus we have seeds  $\mathbf{s}_1, \dots, \mathbf{s}_r$  (possibly repeated) such that  $\vartheta_{p_i}$  is a character on  $T_{\widetilde{M}^\circ, \mathbf{s}_i}$ , so that  $\vartheta_{p_i}^T$  is linear after making the identification  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T) \cong \widetilde{M}_{\mathbf{s}_i}^\circ$ . Furthermore, as in the proof of Proposition 8.17, we can assume  $p_i$  is in the interior

of the cone  $\mathcal{C}_{\mathbf{s}_i}^+$ . We will now observe that with these assumptions the irreducible components of the boundary in the compactification of  $\mathcal{A}_{\text{prin}}$  induced by  $\Xi$  are toric.

Note for each  $p_i$  there is at least one seed where  $\vartheta_{p_i}^T$  is linear. We assume the collection of  $p_i$  is minimal for defining  $\Xi$ , and thus  $\{\vartheta_{p_i}^T = -1\} \cap \Xi$  is a union of maximal faces of  $\Xi$ , a non-empty closed subset of codimension 1.

As earlier in the proof of Proposition 8.18, with  $S = \text{can}(\mathcal{A}_{\text{prin}})$ , we take  $\tilde{S} \subset S[T]$  the vector subspace with basis  $\vartheta_q \cdot T^s$ , with  $\vartheta_{p_i}^T(q) \geq -s$  for all  $i$ . By the proof of Proposition 8.18, this is a finitely generated graded subalgebra,  $Y = \text{Proj}(\tilde{S}) \supset \text{Spec}(S)$  is a projective variety and  $T = 0$  gives a Cartier (but not necessarily reduced) boundary  $D \subset Y$ .

**Theorem 9.13.** *In the above situation, the irreducible components of  $D$  are projective toric varieties. More precisely, for each  $p_i$  we have a seed  $\mathbf{s}_i$  such that  $\vartheta_{p_i}$  is a character on  $T_{\tilde{N}^\circ, \mathbf{s}_i}$ . Then*

$$\{\vartheta_{p_i}^T = -1\} \cap \Xi \subset \widetilde{M}_{\mathbb{R}, \mathbf{s}_i}^\circ$$

*is a bounded polytope. The associated projective toric variety is an irreducible component of  $D$ , and all irreducible components of  $D$  occur in this way.*

*Proof.* For each  $i$  consider the vector subspace  $I_i \subset \tilde{S}$  with basis  $\vartheta_q \cdot T^s$  with  $\vartheta_{p_i}^T(q) > -s$  and  $\vartheta_{p_j}^T(q) \geq -s$  for  $j \neq i$ .

Note that  $I_i$  is an ideal of  $\tilde{S}$ . Indeed, the fact that  $p_i$  lies in the interior of its cone of the cluster complex for  $\mathcal{A}_{\text{prin}}^\vee$  implies that  $\vartheta_{p_i}^T$  is strictly positive on the exponents appearing in the monomials of functions in  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  by Lemma 7.8. Now if  $\vartheta_p T^s \in I_i$ ,  $\vartheta_q T^w \in \tilde{S}$ , and  $\vartheta_r$  appears in  $\vartheta_p \cdot \vartheta_q$ , then  $\vartheta_{p_i}^T(r) > -s - w$ , and thus  $\vartheta_p T^s \cdot \vartheta_q T^w \in I_i$ .

Now the definitions imply  $\bigcap_i I_i = (T)$ . So it is enough to show that  $\text{Proj}(\tilde{S}/I_i)$  is the projective toric variety given by the polytope  $\Xi_i := \{\vartheta_{p_i}^T = -1\} \cap \Xi \subset \widetilde{M}_{\mathbb{R}, \mathbf{s}_i}^\circ$ . Now  $\tilde{S}/I_i$  has basis  $\vartheta_q T^s$ ,  $q \in s\Xi_i$ . By the multiplication rule, and the fact again that  $\vartheta_{p_i}^T$  is strictly positive on scattering monomials of  $\mathfrak{D}_{\mathbf{s}_i}^{\mathcal{A}_{\text{prin}}}$ , the only broken line that contributes to  $\vartheta_q T^s \cdot \vartheta_p T^w$  is the straight broken line, and the multiplication rule on

$$\tilde{S}/I_i = \bigoplus_{s \geq 0} \mathbb{k} \cdot (s\Xi_i \cap \widetilde{M}_{\mathbf{s}_i}^\circ)$$

is given by lattice addition, i.e.,  $\text{Proj}(\tilde{S}/I_i)$  is the projective toric variety given by the polytope  $\Xi_i$ .  $\square$

*Remark 9.14.* The result is (at least to us) surprising in that many cluster varieties come with a natural compactification, where the boundary is not at all toric. For example, order the columns of a  $k \times n$  matrix and consider the open subset  $\text{Gr}^\circ(k, n) \subset \text{Gr}(k, n)$  where the  $n$  consecutive Plucker coordinates (the determinant of the first  $k$  columns,

columns  $2, \dots, k+1$ , etc.) are non-zero. This is a cluster variety. Its boundary in the given compactification  $\text{Gr}(k, n)$  is a union of Schubert cells (which are not toric). This has EGM by Proposition 8.22. Then generic compactifications given by bounded polytopes  $\Xi$  gives an alternative compactification in which we replace all these Schubert cells by toric varieties. We do not know, e.g., how to produce such a compactification by birational geometric operations beginning with  $\text{Gr}(k, n)$ .

## 10. PARTIAL COMPACTIFICATIONS

As discussed in the introduction, many basic objects in representation theory, e.g. a semi-simple group  $G$ , are not log Calabi-Yau, and we cannot expect they have a canonical basis of regular functions. However, in many cases the basic object is a *partial minimal model* of a log Calabi-Yau variety, i.e., contains a Zariski open log Calabi-Yau subset whose volume form has a pole along all components of the complement. For example, the group  $G$  will be a partial compactification of an open double Bruhat cell, and this is a partial minimal model. We have a canonical basis of functions on the cluster variety, and from this, suprisingly, we can in many cases get a canonical basis on the partial compactification (the thing we really care about) in the most naive possible way, by taking those elements in the basis of functions for the open set which extend to regular functions on the compactification.

Note that a frozen variable for  $\mathcal{A}$  (or  $\mathcal{A}_{\text{prin}}$ ) canonically determines a valuation, a point of  $\mathcal{A}^{\text{trop}}(\mathbb{Z})$ , namely the boundary divisor where that variable becomes zero. See Construction B.9.

**Definition 10.1.** We say a seed  $\mathbf{s} = (e_i)_{i \in I}$  is *optimized* for  $n \in \mathcal{A}(\mathbb{Z}^T)$  if

$$\{e_k, (r \circ i)(n)\} \geq 0 \text{ for all } k \in I_{\text{uf}},$$

where

$$r \circ i : \mathcal{A}(\mathbb{Z}^T) \xrightarrow{i} \mathcal{A}(\mathbb{Z}^t) = \mathcal{A}^{\text{trop}}(\mathbb{Z}) \xrightarrow{r} N$$

is the composition of canonical identifications defined in §2. If instead  $n \in \mathcal{A}(\mathbb{Z}^t) = \mathcal{A}^{\text{trop}}(\mathbb{Z})$ , we say  $\mathbf{s}$  is *optimized* for  $n$  if  $\{e_k, r(n)\} \geq 0$  for all  $k \in I_{\text{uf}}$ .

We say  $\mathbf{s}$  is optimized for a frozen index if it is optimized for the corresponding point of  $\mathcal{A}^{\text{trop}}(\mathbb{Z})$ .

**Lemma 10.2.** *In the skew-symmetric case, a seed is optimized for a frozen index if and only if in the quiver for this seed all arrows between unfrozen vertices and the given frozen vertex point towards the given frozen vertex.*

*Proof.* Under the identification  $r : \mathcal{A}^{\text{trop}}(\mathbb{Z}) \rightarrow N^\circ$  (which is just  $N$  in the skew-symmetric case), the valuation corresponding to the divisor given by the frozen variable

indexed by  $i \in I \setminus I_{\text{uf}}$  is simply  $e_i$ . Thus the seed is optimized for this frozen variable if  $\{e_k, e_i\} \geq 0$  for all  $k \in I_{\text{uf}}$ ; this is the number of arrows from  $k$  to  $i$  in the quiver, with sign telling us that they are incoming arrows.  $\square$

**Lemma 10.3.** (1) *The seed  $\mathbf{s}$  is optimized for  $n \in \mathcal{A}(\mathbb{Z}^T)$  if and only if the monomial  $z^{r(n)}$  on  $T_{M^\circ, \mathbf{s}} \subset \mathcal{A}^\vee$  is a global monomial. In this case*

$$n \in \mathcal{C}_{\mathbf{s}}^+(\mathbb{Z}) \subset \Delta_{\mathcal{A}^\vee}^+(\mathbb{Z}) \subset \Theta(\mathcal{A}^\vee)$$

*and the global monomial  $z^{r(n)}$  is the restriction to  $T_{M^\circ, \mathbf{s}} \subset \mathcal{A}^\vee$  of  $\vartheta_n$ . In the  $\mathcal{A}_{\text{prin}}$  case, for  $n \in \mathcal{A}_{\text{prin}}(\mathbb{Z}^T)$  primitive, this holds if and only if each of the initial scattering monomials  $z^{(v_i, e_i)}$  in  $\mathfrak{D}_{\mathbf{s}}^{\mathcal{A}_{\text{prin}}}$  is regular along the boundary divisor of  $\mathcal{A}_{\text{prin}}$  corresponding to  $n$  under the identification  $i : \mathcal{A}_{\text{prin}}(\mathbb{Z}^T) \rightarrow \mathcal{A}_{\text{prin}}^{\text{trop}}(\mathbb{Z})$ .*

(2)  *$n \in \mathcal{A}(\mathbb{Z}^T)$  has an optimized seed if and only if  $n$  lies in  $\Delta_{\mathcal{A}^\vee}^+(\mathbb{Z})$ .*

*Proof.* For (1), the rays for the fan  $\Sigma_{\mathbf{s}}$  giving the toric model for  $\mathcal{A}^\vee$  are  $-\mathbb{R}_{\geq 0}v_k$  for  $k \in I_{\text{uf}}$ . Note that  $r(i(n)) = -r(n)$ , see (2.6). Now the statement concerning  $\mathcal{A}$  follows from Lemma 7.8 and Lemma 7.10. The additional statement in the  $\mathcal{A}_{\text{prin}}$  case is clear from the definitions. For (2), one notes that the forward implication is given by (1), while for the converse, if  $n \in \Delta_{\mathcal{A}^\vee}^+(\mathbb{Z})$ , then  $n \in \mathcal{C}_{\mathbf{s}}^+(\mathbb{Z})$  for some seed  $\mathbf{s}$ , and then  $n$  is optimized for that seed.  $\square$

**Proposition 10.4.** *For the standard cluster algebra structure on  $\text{CGr}(k, n)$  (the affine cone over  $\text{Gr}(k, n)$  in its Plücker embedding) every frozen variable has an optimized seed.*

*Proof.* As was pointed out to us by Lauren Williams, for  $\text{Gr}(k, n)$ , the initial seed in [GSV], Figure 4.4, is optimized for one frozen variable (the *special* upper right hand vertex for the initial quiver). The result follows from the cyclic symmetry of this cluster structure.  $\square$

*Remark 10.5.* B. LeClerc, and independently L. Shen, gave us an explicit sequence of mutations that shows the proposition holds as well for the cluster structure of [BFZ05], [GLS] on the maximal unipotent subgroup  $N \subset \text{SL}_{r+1}$ , and the same argument applies to the Fock-Goncharov cluster structure on  $(G/N \times G/N \times G/N)^G$ ,  $G = \text{SL}_{r+1}$ . The argument will appear in [Ma14].

**Lemma 10.6.** *Let  $L$  be a lattice and  $P \subset L$  a submonoid with  $P^\times = 0$ . For any subset  $S \subseteq L$  and collection of elements  $\{Z_q \mid q \in S\}$  such that  $Z_q \in \mathbb{k}[q + (P \setminus \{0\})]$ , the subset  $\{z^q + Z_q \mid q \in S\} \subset \mathbb{k}[L]$  is linearly independent over  $\mathbb{k}$ .*

*Proof.* Suppose

$$\sum_{q \in S'} \alpha_q(z^q + Z_q) = 0$$

for  $\alpha_q$  all non-zero and  $S' \subseteq S$  a finite set. Let  $q' \in S'$  be minimal with respect to the partial ordering on  $L$  given by  $P$  (where  $n_1 \leq n_2$  means  $n_2 = n_1 + p$  for some  $p \in P$ ). The coefficient of  $z^{q'}$  in the sum, expressed in the basis of monomials, must be zero. But the minimality of  $q'$  implies the monomial  $z^{q'}$  does not appear in any of the  $Z_q$ ,  $q \in S'$ . Thus the coefficient of  $z^{q'}$  is just  $\alpha_{q'}$ , a contradiction.  $\square$

**Proposition 10.7.** *Suppose a valuation  $v \in \mathcal{A}_{\text{prin}}^{\text{trop}}(\mathbb{Z})$  has an optimized seed. If  $v(\sum_{q \in \Theta} \alpha_q \vartheta_q) \geq 0$ , then  $v(\vartheta_q) \geq 0$  for all  $q$  with  $\alpha_q \neq 0$ .*

*Proof.* Let  $\mathbf{s} = (e_1, \dots, e_n)$  be optimized for  $v$ . Let  $C$  be the strictly convex cone spanned by the exponents of the initial scattering monomials  $(v_i, e_i) \in \widetilde{M}^\circ$ . Let  $P = C \cap \widetilde{M}$ . Take  $Q$  a basepoint in the distinguished chamber of  $\mathfrak{D}_{\mathbf{s}}$ . By definition  $\vartheta_{Q,q} = z^q + Z_q$  where  $Z_q = \sum_{m \in q+P \setminus \{0\}} \beta_{m,q} z^m$  is a finite sum of monomials. By (1) of Lemma 10.3 we have  $v(z^m) \geq v(z^q)$ , and thus by (2) of Lemma 2.8,  $v(\vartheta_q) = v(z^q)$ .

Let  $r$  be the minimum of  $v(\vartheta_q)$  over all  $q$  with  $\alpha_q \neq 0$ , and suppose  $r < 0$ . Since  $v(\sum \alpha_q \vartheta_q) \geq 0$ , necessarily

$$\sum_{v(z^q)=r} \alpha_q(z^q + \sum_{m:v(z^m)=r} \beta_{m,q} z^m) = 0 \in \mathbb{k}[\widetilde{M}^\circ].$$

Note this is the sum of all the monomial terms in  $\sum \alpha_q \vartheta_q$  which have the maximal order of pole,  $|r|$ , along  $v$ . This contradicts Lemma 10.6.  $\square$

We believe the assumption of an optimized seed is not necessary:

**Conjecture 10.8.** *The proposition holds for any  $v \in \mathcal{A}_{\text{prin}}^{\text{trop}}(\mathbb{Z})$ .*

Any finite set  $S \subset \mathcal{A}_{\text{prin}}^{\text{trop}}(\mathbb{Z}) \setminus \{0\}$  of primitive elements gives a partial compactification (defined canonically up to codimension two)  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin},S}$ , with the boundary divisors of this partial compactification in one-to-one correspondence with the elements of  $S$  (this is true for any finite collection,  $S$ , of divisorial discrete valuations on the function field of normal variety  $\mathcal{A}$ : there is always an open immersion  $\mathcal{A} \subset \mathcal{A}_S$ , with divisorial boundary  $\mathcal{A}_S \setminus \mathcal{A}$  corresponding to  $S$ , and  $\mathcal{A} \subset \mathcal{A}_S$  is unique up to changes in codimension greater than or equal to two).

We then define

$$\Theta(\mathcal{A}_{\text{prin},S}) := \{q \in \Theta(\mathcal{A}_{\text{prin}}) \mid v(\vartheta_q) \geq 0 \text{ for all } v \in S\}$$

and  $\text{mid}(\mathcal{A}_{\text{prin},S}) \subset \text{mid}(\mathcal{A}_{\text{prin}})$  the vector subspace with basis  $\Theta(\mathcal{A}_{\text{prin},S})$ . Similarly we define  $\text{ord}(\mathcal{A}_{\text{prin},S})$  to be the subalgebra of  $\text{up}(\mathcal{A}_{\text{prin},S})$  generated by those cluster variables that are regular (generically) along all  $v \in S$ .

**Definition 10.9.** Each choice of seed  $\mathbf{s}$  gives a pairing

$$\langle \cdot, \cdot \rangle_{\mathbf{s}} : \mathcal{A}_{\text{prin}}(\mathbb{Z}^T) \times \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) \rightarrow \mathbb{Z}$$

which is just the dual pairing composed with the identifications

$$\begin{aligned} \mathcal{A}_{\text{prin}}(\mathbb{Z}^T) &= T_{\widetilde{N}^{\circ}, \mathbf{s}}(\mathbb{Z}^T) \stackrel{r}{=} \widetilde{N}_{\mathbf{s}}^{\circ}, \\ \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) &= T_{\widetilde{M}^{\circ}, \mathbf{s}}(\mathbb{Z}^T) \stackrel{r}{=} \widetilde{M}_{\mathbf{s}}^{\circ}. \end{aligned}$$

**Lemma 10.10.** (1)  $\text{mid}(\mathcal{A}_{\text{prin}, S}) \subset \text{mid}(\mathcal{A}_{\text{prin}})$  is a subalgebra containing  $\text{ord}(\mathcal{A}_{\text{prin}, S})$ .

If  $\text{ord}(\mathcal{A}_{\text{prin}, S}) = \text{up}(\mathcal{A}_{\text{prin}, S})$  then

$$\text{ord}(\mathcal{A}_{\text{prin}, S}) = \text{mid}(\mathcal{A}_{\text{prin}, S}) = \text{up}(\mathcal{A}_{\text{prin}, S}).$$

(2) Assume each  $v \in S$  has an optimized seed. Then

$$\text{mid}(\mathcal{A}_{\text{prin}, S}) = \text{mid}(\mathcal{A}_{\text{prin}}) \cap \text{up}(\mathcal{A}_{\text{prin}, S}) \subset \text{up}(\mathcal{A}_{\text{prin}}).$$

If  $\text{mid}(\mathcal{A}_{\text{prin}}) = \text{up}(\mathcal{A}_{\text{prin}})$  then  $\text{mid}(\mathcal{A}_{\text{prin}, S}) = \text{up}(\mathcal{A}_{\text{prin}, S})$ .

(3) If each  $v \in S$  has an optimized seed and  $\mathbf{s}$  is optimized for  $v \in S$ , the piecewise linear function

$$\vartheta_{i(v)}^T = \langle \cdot, r(v) \rangle_{\mathbf{s}} : (\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) = \widetilde{M}_{\mathbb{R}, \mathbf{s}}^{\circ}) \rightarrow \mathbb{R}$$

is min-convex, and for all  $q \in \Theta(\mathcal{A}_{\text{prin}}) \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ ,

$$\vartheta_q^T(v) = \langle r(q), r(v) \rangle_{\mathbf{s}} = \vartheta_{i(v)}^T(q)$$

where  $\vartheta_{i(v)}$  is the global monomial on  $\mathcal{A}_{\text{prin}}^{\vee}$  corresponding to  $i(v)$  (which exists by Lemma 10.3).

*Proof.* The subalgebra statement of (1) follows from the positivity (both of structure constants and the Laurent polynomials  $\vartheta_{Q,q}$ ) just as in the proof of Theorem 7.5. Every cluster variable is a theta function, so the inclusion  $\text{ord} \subset \text{mid}$  is clear. Now obviously if  $\text{ord}(\mathcal{A}_{\text{prin}, S}) = \text{up}(\mathcal{A}_{\text{prin}, S})$  then both are equal to  $\text{mid}$ .

The intersection expression of (2) for the middle algebra follows from Proposition 10.7. Now obviously if  $\text{mid}(\mathcal{A}_{\text{prin}}) = \text{up}(\mathcal{A}_{\text{prin}})$  then  $\text{mid}(\mathcal{A}_{\text{prin}, S}) = \text{up}(\mathcal{A}_{\text{prin}, S})$ .

For (3), we work with the scattering diagram  $\mathfrak{D}_{\mathbf{s}}$ . Then  $i(v)$  is the  $g$ -vector of the global monomial  $\vartheta_{i(v)}$ , with  $(\vartheta_{i(v)})|_{T_{\widetilde{M}^{\circ}, \mathbf{s}} \subset \mathcal{A}_{\text{prin}}^{\vee}} = z^{r(i(v))}$ , by Lemma 10.3. Using  $r(v) = -r(i(v))$ , one sees that  $\vartheta_{i(v)}^T = \langle \cdot, r(v) \rangle$  is linear on  $\widetilde{M}^{\circ}$ , so obviously min-convex in the sense of Definition-Lemma 8.1. Since it is the tropicalisation of a global monomial it is also min-convex in the sense of Definition 8.2, by Proposition 8.13.

Now fix a base point  $Q \in \mathcal{C}_{\mathbf{s}}^+ \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T)$ , and consider  $\vartheta_{Q,q}$ ,  $q \in \Theta$ . By Lemma 10.3, (1), each scattering function is regular along the boundary divisor corresponding to  $v \in \mathcal{A}_{\text{prin}}^{\text{trop}}(\mathbb{Z})$ . By definition  $\vartheta_{Q,q} = z^{r(q)} + Z_{r(q)}$ , where  $Z_{r(q)}$  is a linear combination

of monomials  $z^{r(q)+q'}$  with  $z^{q'}$  regular along the boundary divisor corresponding to  $v$ . Thus

$$\vartheta_q^T(i(v)) = v(\vartheta_{Q,q}) = \langle r(q), r(v) \rangle$$

by Lemma 2.8. Since  $\vartheta_{i(v)}$  is the monomial  $z^{r(i(v))}$  on  $T_{\widetilde{M}^e, \mathbf{s}}$ ,

$$\vartheta_{i(v)}^T(q) = -\langle r(q), r(i(v)) \rangle = \langle r(q), r(v) \rangle.$$

This completes the proof of (3). □

*Proof of Corollary 0.5.* In [GLS], a cluster algebra structure is given to the ring of regular functions  $\mathcal{O}(G/N)$  on  $G/N$ . This cluster structure is skew-symmetric in the case  $G = \mathrm{SL}_n$ , following [BFZ05]. In particular, it provides varieties  $\mathcal{A} \subseteq \overline{\mathcal{A}}$  with  $\mathrm{up}(\overline{\mathcal{A}}) = \mathcal{O}(G/N)$ . Then  $\mathrm{up}(G/N) = \mathrm{ord}(G/N)$  by [GLS], 10.4.2. (We note, in the notation of [GLS], that in this case of their Conjecture 10.4 there is no localisation: in type  $A_n$  all the weights are miniscule). This can also be obtained from [BFZ05], Remark 2.20. Thus by Theorem 0.2,  $\Theta$  gives a basis of  $\mathcal{O}(G/N)$ .

Because  $H$  normalizes  $N$ ,  $(gN)h := ghN$  gives a right action of  $H$  on  $G/N$ . From the explicit construction in [GLS],  $H$  acts through a homomorphism to  $T_K$  which acts on  $\mathcal{A}$ , see Proposition B.2, (2). Thus by Proposition 7.19, each  $\vartheta$  is an  $H$ -eigenfunction. It follows that  $\Theta \cap \mathcal{O}(G/N)^\lambda$  is a basis of the weight space  $\mathcal{O}(G/N)^\lambda$ .

Since  $H$  acts on the right, its action commutes with the  $G$  action on  $G/N$  (which is on the left). Thus  $\mathcal{O}(G/N)^\lambda \subset \mathcal{O}(G/N)$  is preserved by  $G$ . By the Borel-Weil theorem,  $\mathcal{O}(G/N)^\lambda =: V_\lambda$  are irreducible representations of  $G$ , and each irreducible representation occurs exactly once. □

## 11. CONDITIONS IMPLYING THE FULL FOCK-GONCHAROV CONJECTURE

Recall a choice of seed gives a partial compactification  $\mathcal{A}_{\mathrm{prin}} \subset \mathcal{A}_{\mathrm{prin}, \mathbf{s}}$  and a map  $\pi : \mathcal{A}_{\mathrm{prin}, \mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$ . The boundary  $\mathcal{A}_{\mathrm{prin}, \mathbf{s}} \setminus \mathcal{A}_{\mathrm{prin}}$  has  $n$  irreducible components, primitive elements of  $\mathcal{A}_{\mathrm{prin}}^{\mathrm{trop}}(\mathbb{Z})$ , the vanishing loci of the  $X_i$ .

**Lemma 11.1.** *The seed  $\mathbf{s}$  is optimized for each of the boundary divisors of  $\mathcal{A}_{\mathrm{prin}} \subset \mathcal{A}_{\mathrm{prin}, \mathbf{s}}$ .*

*Proof.* If  $\mathbf{s} = (e_1, \dots, e_n)$ , the corresponding seed for  $\mathcal{A}_{\mathrm{prin}}$  is

$$\tilde{\mathbf{s}} = ((e_1, 0), \dots, (e_n, 0), (0, f_1), \dots, (0, f_n)),$$

and the boundary divisors correspond to the  $(0, f_i)$ . But  $\{(e_i, 0), (0, f_j)\} = \langle e_i, f_j \rangle = \delta_{ij} \geq 0$ , hence the claim. □

We adjust slightly the notation  $\mathcal{A}_{\mathrm{prin}, S}$  of the previous section to this case:

**Definition 11.2.** Let

$$\Theta(\mathcal{A}_{\text{prin},s}) \subset \Theta \subset \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$$

be the subset of points such that  $\vartheta_q$  remains regular on the partial compactification  $\mathcal{A}_{\text{prin},s} \supset \mathcal{A}_{\text{prin}}$ , i.e., such that

$$\vartheta_q \in \text{up}(\mathcal{A}_{\text{prin},s}) \subset \text{up}(\mathcal{A}_{\text{prin}}).$$

**Lemma 11.3.** *Under the identification  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T) = M^{\circ} \oplus N$ , we have  $\Theta = \Theta(\mathcal{A}^{\vee}) \times N$  and  $\Theta(\mathcal{A}_{\text{prin},s}) = \Theta(\mathcal{A}^{\vee}) \times N_{\mathbf{s}}^+$ .*

*Proof.*  $\Theta$  is invariant under translation by  $0 \oplus N$ , and thus  $\Theta = \Theta(\mathcal{A}^{\vee}) \times N$ .

By Lemma 5.2 we construct  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin},s}$  from the atlas of toric compactifications

$$T_{N^{\circ}} \times T_M \subset T_{N^{\circ}} \times \mathbb{A}_{X_i}^n$$

parameterized by the cluster chambers in  $\Delta_{\mathbf{s}}^+$ . Now take  $q \in \Theta$ , and consider  $\vartheta_{Q,q}$  for some basepoint in the cluster complex. This is a positive sum of monomials, so it will be regular on the boundary of  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin},s}$  iff each summand is. One summand is  $z^q$ , so if  $\vartheta_q$  is regular on  $\mathcal{A}_{\text{prin},s}$  then  $\pi_N(q) \in N_{\mathbf{s}}^+$ . Thus  $\Theta(\mathcal{A}_{\text{prin},s}) \subset \Theta(\mathcal{A}) \times N_{\mathbf{s}}^+$ . But now suppose  $q = (m, n)$ ,  $n \in N_{\mathbf{s}}^+$ . Then  $z^q$  is regular on the boundary. Since the initial scattering monomials are  $(v_i, e_i)$ , any bend in a broken line multiplies the decorating monomial by a monomial regular on the boundary. Thus  $q \in \Theta(\mathcal{A}_{\text{prin},s})$ . This completes the proof.  $\square$

We define

$$\text{mid}(\mathcal{A}_{\text{prin},s}) := \bigoplus_{q \in \Theta(\mathcal{A}_{\text{prin},s})} \mathbb{k}\vartheta_q \subset \text{mid}(\mathcal{A}_{\text{prin}}).$$

Recall  $\text{ord}(\mathcal{A}_{\text{prin}}) \subset \text{up}(\mathcal{A}_{\text{prin}})$  are the cluster and upper cluster algebras with principal coefficients respectively, with the frozen variables inverted. On the other hand,  $\text{ord}(\mathcal{A}_{\text{prin},s}) \subset \text{up}(\mathcal{A}_{\text{prin},s})$  are the cluster and upper cluster algebras with principal coefficients respectively, with the frozen variables not inverted. By Lemma 10.10,  $\text{mid}(\mathcal{A}_{\text{prin},s}) \subset \text{mid}(\mathcal{A}_{\text{prin}})$  is a subalgebra, and  $\text{ord}(\mathcal{A}_{\text{prin},s}) \subset \text{mid}(\mathcal{A}_{\text{prin},s}) \subset \text{up}(\mathcal{A}_{\text{prin},s})$ .

By Lemma 10.10 and Lemma 11.1, we have

**Corollary 11.4.** *If  $\text{mid}(\mathcal{A}_{\text{prin}}) = \text{up}(\mathcal{A}_{\text{prin}})$  then  $\text{mid}(\mathcal{A}_{\text{prin},s}) = \text{up}(\mathcal{A}_{\text{prin},s})$ .*

**Theorem 11.5.** *Assume  $\mathcal{A}_{\text{prin}}^{\vee}$  has Enough Global Monomials.*

*The following implications hold:*

- (1) *If for some (and hence, by Lemma 7.4, every) seed  $\Theta \subset \widetilde{M}_{\mathbf{s}}^{\circ}$  is a toric monoid, then  $\text{mid}(\mathcal{A}_{\text{prin},s})$  is finitely generated.*

- (2) If  $\text{up}(\mathcal{A}_{\text{prin},s})$  is generated by finitely many cluster variables then  $\Theta = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  and the Full Fock-Goncharov conjecture holds for  $\mathcal{A}_{\text{prin}}$ . Furthermore the cluster complex  $\Delta_{\mathcal{A}}^+(\mathbb{Z}) \subset M_{\mathfrak{s}}^{\circ} = \mathcal{A}^{\vee}(\mathbb{Z}^T)$  does not lie in a half space.

*Proof.* (1) is immediate from Proposition 8.18.

Now we assume  $\text{up}(\mathcal{A}_{\text{prin},s})$  is generated by finitely many cluster variables. Then in particular we have

$$\text{ord}(\mathcal{A}_{\text{prin},s}) = \text{mid}(\mathcal{A}_{\text{prin},s}) = \text{up}(\mathcal{A}_{\text{prin},s}).$$

Consider the multiplication rule on  $\text{mid}(\mathcal{A}_{\text{prin},s})$ . Suppose  $\vartheta_r$  appears in  $\vartheta_p \cdot \vartheta_q$  for  $p, q \in \Theta(\mathcal{A}_{\text{prin},s})$ . Then

$$r = p + q + (m, n)$$

where  $(m, n)$  are the contributions from bends in the broken lines. Here we are using the identifications  $\widetilde{M}^{\circ} = M^{\circ} \oplus N = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ . From the form of the initial scattering data,  $n \in N_{\mathfrak{s}}^+$ , and  $n$  is non-zero unless both broken lines are straight. Now  $r - (0, n) = p + q + (m, 0)$ . Also  $r - (0, n) \in \Theta$  because  $\Theta$  is invariant under translation by  $N$ , and

$$\pi_N(r - (0, n)) = \pi_N(p) + \pi_N(q) \in N_{\mathfrak{s}}^+.$$

Thus  $r - (0, n) \in \Theta(\mathcal{A}_{\text{prin},s})$  by Lemma 11.3. So the multiplication is trivial mod the maximal toric ideal  $\mathfrak{m} \subset \mathbb{k}[N_{\mathfrak{s}}^+]$ , unless both broken lines are straight, i.e.,  $r = p + q$ . Thus

$$\text{mid}(\mathcal{A}_{\text{prin},s}) \otimes \mathbb{k}[N_{\mathfrak{s}}^+]/\mathfrak{m} = \mathbb{k}[\Theta(\mathcal{A})],$$

the latter being the monoid algebra. Since  $\text{mid}(\mathcal{A}_{\text{prin},s})$  is finitely generated, it follows that  $\Theta(\mathcal{A}_{\text{prin}})$  is a toric monoid.

We consider the flat family

$$\text{Spec}(\text{mid}(\mathcal{A}_{\text{prin},s})) \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n = \text{Spec}(\mathbb{k}[N_{\mathfrak{s}}^+]).$$

Here is the idea behind the proof. The special fibre is the affine toric variety  $\text{Spec}(\mathbb{k}[\Theta(\mathcal{A})])$ . Note that an affine toric variety which is not the algebraic torus contains an open subset of the form  $T \times \mathbb{A}^1$ , and in particular its log Kodaira dimension is  $-\infty$ . On the other hand, another fibre is (up to codimension two)  $\mathcal{A}$ , an affine log Calabi-Yau manifold, so has non-negative log Kodaira dimension. So deformation invariance of log Kodaira dimension suggests the special fibre must be a torus, i.e.,  $\Theta(\mathcal{A}) = M^{\circ}$ .

To make the argument rigorously using log Kodaira dimension, we compactify the fibres. Let  $B := \text{mid}(\mathcal{A}_{\text{prin},s})$ . By the EGM condition, there is a bounded polytope  $\Xi \subset$

$\mathcal{A}_{\text{prin}}^\vee(\mathbb{R}^T)$  defined by a min-convex function, by Lemma 8.15, (3). As in Proposition 9.5 we consider

$$W := (\Xi + N_{\mathbb{R}}) \cap (M_{\mathbb{R}}^\circ \times N_{\mathbf{s}, \mathbb{R}}^+) = \bar{\Xi} \times N_{\mathbf{s}, \mathbb{R}}^+$$

where  $\bar{\Xi} = \rho^T(\Xi) \subset \mathcal{A}^\vee(\mathbb{R}^T)$  for the canonical  $\rho^T : \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T) \rightarrow \mathcal{A}^\vee(\mathbb{Z}^T)$  (which is the first projection under the identification  $\mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T) = M^\circ \oplus N$ ). In addition,  $N_{\mathbf{s}, \mathbb{R}}^+$  is the cone generated by  $N_{\mathbf{s}}^+$ . Note that  $W$  and  $\bar{\Xi}$  are positive by Proposition 9.5.

Now we take the graded subalgebra  $\tilde{S} \subset B[T]$  generated by  $\vartheta_q T^m$  with

$$q \in \Theta(\mathcal{A}_{\text{prin}, \mathbf{s}}) \cap mW = (\Theta(\mathcal{A}) \cap m\bar{\Xi}) \times N_{\mathbf{s}}^+.$$

Then  $\tilde{S}$  is a free  $\mathbb{k}[N_{\mathbf{s}}^+]$ -algebra, finitely generated by (a slight variant of) Proposition 8.18, and  $\text{Spec}(B) \subset \text{Proj}(\tilde{S}) =: \bar{\mathcal{A}}_{\text{prin}, \mathbf{s}}$  is a partial compactification, with boundary  $\mathcal{D}$  defined (set-theoretically) by  $T = 0$ . By the analysis of the multiplication rule above, the central fibre  $A \subset \mathbb{P}$ , of  $\text{Spec}(B) \subset \bar{\mathcal{A}}_{\text{prin}, \mathbf{s}}$ , is the toric compactification of the affine toric variety  $A = \text{Spec}(\mathbb{k}[\Theta(\mathcal{A})])$  given by the bounded lattice polytope which is the convex hull of  $\Theta(\mathcal{A}) \cap \bar{\Xi}$  in  $M_{\mathbb{R}}^\circ$ , where in each case we use the identification  $\mathcal{A}^\vee(\mathbb{Z}^T) = M_{\mathbf{s}}^\circ$  to realize e.g.  $\Theta(\mathcal{A})$  as a subset of a lattice. Note that, as an effective Weil divisor, the toric boundary of  $\mathbb{P}$  (the complement of the structure torus) is  $D + Z$ , where  $Z$  is the closure of the toric boundary of  $A$ : The polytope  $W$  is the *ice cream cone* which is given by intersecting the polytope  $\bar{\Xi}$  with the cone  $\Theta(\mathcal{A})$ ,  $Z$  corresponds to the maximal faces contained in faces of  $\Theta(\mathcal{A})$ , and  $D$  to the remaining maximal faces.

Assume  $\Theta(\mathcal{A}) \neq M^\circ = \mathcal{A}^\vee(\mathbb{Z}^T)$ , or equivalently,  $Z \neq \emptyset$ . We have  $\omega_{\mathbb{P}}(D) = \mathcal{O}_{\mathbb{P}}(-Z)$ , thus  $H^0(\omega_{\mathbb{P}}(D)) = 0$ .

Let  $(\mathcal{X}, \mathcal{D}) := (\text{Proj}(\tilde{S}), \mathcal{D})$ . Then by Lemma 11.6,  $H^0(\omega_{\mathcal{X}_t}(\mathcal{D}_t)) = 0$  for all  $t$  in some Zariski open subset  $0 \in U \subset \mathbb{A}^n$ . By a result of Shapiro, (see [GHK13], Theorem 3.14), the natural map gives an open immersion

$$i : \mathcal{A}_{\text{prin}} \subset \text{Spec}(\text{up}(\mathcal{A}_{\text{prin}})).$$

The complement has codimension at least two (since the rings of regular functions are the same). From  $\text{ord}(\mathcal{A}_{\text{prin}}) = \text{mid}(\mathcal{A}_{\text{prin}}) = \text{up}(\mathcal{A}_{\text{prin}})$  and the definition  $\text{mid}(\mathcal{A}_t) = \text{mid}(\mathcal{A}_{\text{prin}}) \otimes_{\mathcal{O}_{\mathbb{A}^n}} \mathcal{O}_{\mathbb{A}^n}/\mathfrak{m}_t$ , (where  $\mathfrak{m}_t$  is the maximal ideal associated to  $t \in \mathbb{A}^n$ ) restricting  $i$  to the fibre over  $t \in U \subset \mathbb{A}^n$  gives an open immersion

$$\mathcal{A}_t \subset \text{Spec}(\text{mid}(\mathcal{A}_t)) = \mathcal{X}_t \setminus \mathcal{D}_t.$$

For generic  $t$  the complement has codimension at least 2 (since this holds for  $i$ ). But  $\mathcal{A}_t = \bigcup_{\mathbf{s}} T_{N^\circ, \mathbf{s}}$  is a log CY variety, with canonical volume form  $\omega$  restricting to the canonical form on each torus in the cover. This has at worst a simple pole on any

boundary divisor in any partial compactification of  $\mathcal{A}_t$ , thus  $0 \neq \omega \in H^0(\mathcal{X}_t, \omega_{\mathcal{X}_t}(\mathcal{D}_t))$ , a contradiction. We conclude  $\Theta = \mathcal{A}_{\text{prin}}^\vee(\mathbb{Z}^T)$ .

Now  $\text{mid}(\mathcal{A}_{\text{prin},s}) = \text{up}(\mathcal{A}_{\text{prin},s}) = \text{can}(\mathcal{A}_{\text{prin},s})$ . The restriction of cluster monomials from  $\mathcal{A}_{\text{prin},s}$  to the central fibre  $T_{N^\circ,s}$  are the monomials given by the associated  $g$ -vectors, thus these monomials generate  $\mathbb{k}[M^\circ]$ , which is the restriction of  $\text{can}(\mathcal{A}_{\text{prin},s})$ . It follows the  $g$ -vectors do not lie in a half space.  $\square$

**Lemma 11.6.** *Let  $p : X \rightarrow S$  be a flat proper family, with  $S$  regular. Let  $B \subset X$  be a closed subscheme, with  $B \rightarrow S$  flat. Suppose the following hold for a closed point  $0 \in S$ :*

*There is a full dimensional convex rational polyhedral cone  $C \subset M_{\mathbb{R}}$  (for a lattice  $M$ ) and bounded polytope  $W \subset M_{\mathbb{R}}$  with  $0$  in the interior of  $W$ . Let  $P$  be the ice cream cone  $P := C \cap W$ .*

- (1) *The fibre  $X_0$  is the normal projective toric variety determined by  $P$  (with  $M$  the character lattice of the structure torus).*
- (2) *The fibre  $B_0 \subset X_0$  is the reduced Weil divisor which is the union of boundary divisors of  $X_0$  corresponding to those (maximal) faces of  $P$  which do not lie in  $C$  (thus they bound the ice cream rather than the cone).*

*Then the canonical map  $\omega_{X/S}(B)|_{X_0} \rightarrow \omega_{X_0}(B_0)$  is an isomorphism, and there is a Zariski open subset  $0 \in U \subset S$  such that the following hold for all  $s \in U$ :*

- (1)  *$X_s$  is a normal and Cohen–Macaulay*
- (2)  *$B_s \subset X_s$  is a reduced Cohen–Macaulay Weil divisor*
- (3)  *$H^0(X_s, \omega_{X_s}(B_s)) = 0$ .*

*Proof.*  $B_0$  is Cohen–Macaulay by [A02], 1.1.30,1.2.14. Now the argument proceeds along the same lines as Kollár’s proof of Lemma 9.11 to show that  $\omega_{X/S}(B)$  commutes with base-extension, and is flat over  $S$ . For (3) we use semi-continuity of  $\dim H^0(X_s, \omega_{X/S}(B)|_{X_s})$ .  $\square$

*Remark 11.7.* It is not true that log Kodaira dimension can *only jump* in families of smooth varieties. Christopher Hacon gave us the following simple counter-example. Take  $T$  a smooth curve,  $E$  any curve,  $S \rightarrow T \times E$  the blowup of  $T \times E$  at a point, and  $S^\circ \subset S$  the complement of the strict transform of the fibre  $E$  through the blowup point. Then one fibre of  $S^\circ \rightarrow T$  is  $\mathbb{A}^1$  and all the others are  $E$ . So for studying the log Kodaira dimension in families, it is necessary to consider some kind of compactification as in the above proof.

Here is another frequently useful sufficient condition for the full Fock-Goncharov conjecture to hold:

**Proposition 11.8.** *Suppose there is a min-convex function  $w : \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) \rightarrow \mathbb{R}$ , such that  $w(p) > 0$  implies  $p \in \Theta$ , and such that  $w(p) > 0$  for some  $p$ . Suppose also that there is a bounded positive polytope in  $\mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  (which holds for example if  $\mathcal{A}_{\text{prin}}^{\vee}$  has Enough Global Monomials). Then  $\Theta = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ .*

*Proof.* Take any  $p \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  and  $q \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  with  $w(q) > 0$ . Then consider any  $\vartheta_r$  appearing in  $\vartheta_p \cdot \vartheta_{mq}$ , for  $m \geq 1$ . By Lemma 8.5,

$$w(r) \geq w(p) + w(mq) = w(p) + mw(q) > 0$$

for  $m$  sufficiently large. The existence of the bounded positive polytope implies  $\vartheta_p \cdot \vartheta_{mq}$  is a finite sum of  $\vartheta_r$ . Also, for each  $\vartheta_r$  that appears,  $\vartheta_{Q,r}$  is a universal positive Laurent polynomial, for any basepoint  $Q$  in the cluster complex. The same is then true of the product, and thus by the positivity of the scattering diagram,  $\vartheta_{Q,p}$  must be a finite positive Laurent polynomial. Thus  $p \in \Theta$ .  $\square$

If there are frozen variables, there is a canonical candidate for  $w$  in the proposition. The cluster algebras related to double Bruhat cells are of this sort, and we hope that this will give a way of completing the proof of the full Fock-Goncharov conjecture in these cases.

When we have frozen variables, this gives a partial compactification  $\mathcal{A} \subset \overline{\mathcal{A}}$ . In this case, let us change notation slightly and write a seed  $\mathbf{s}$  as

$$\mathbf{s} = (e_1, \dots, e_{n_u}, h_1, \dots, h_{n_f}),$$

with  $n_u = \#I_{\text{uf}}$  and  $n_f = \#(I \setminus I_{\text{uf}})$ , and the  $h_i$  are frozen. In this case the elements  $d_i h_i \in N_{\mathbf{s}}^{\circ} = \mathcal{A}^{\text{trop}}(\mathbb{Z})$  give  $n_f$  canonical boundary divisors for a partial compactification  $\mathcal{A} \subset \overline{\mathcal{A}}$ , and an analogous  $\mathcal{A}_{\text{prin}} \subset \overline{\mathcal{A}}_{\text{prin}}$ . An atlas for  $\mathcal{A}_{\text{prin}} \subset \overline{\mathcal{A}}_{\text{prin}}$  is given by gluing the partial compactification  $T_{\tilde{N}^{\circ}} \subset \text{TV}(\Sigma_{\mathbf{s}})$ , where  $\Sigma_{\mathbf{s}}$  is the fan consisting of the rays  $\mathbb{R}_{\geq 0}(d_i h_i, 0)$ .

**Corollary 11.9.** *Assume that for each  $1 \leq i \leq n_f$ ,  $(d_i h_i, 0) \in \mathcal{A}_{\text{prin}}^{\text{trop}}(\mathbb{Z})$  has an optimized seed,  $\mathbf{s}_i$ . Let  $W := \sum \vartheta_{i(d_i h_i, 0)}$  be the (Landau-Ginzburg) potential, the sum of the corresponding global monomials on  $\mathcal{A}_{\text{prin}}^{\vee}$  given by Lemma 10.3. Then:*

- (1) *The piecewise linear function*

$$W^T : \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) \rightarrow \mathbb{R}$$

*is min-convex and*

$$\Xi := \{x \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) \mid W^T(x) \geq 0\}$$

*is a positive polytope.*

(2)  $\Xi$  has the alternative description:

$$\Xi = \{x \in \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{R}^T) \mid \langle x, (d_i h_i, 0) \rangle_{\mathbf{s}_i} \geq 0 \text{ for all } i\}.$$

(3) The set

$$\Xi \cap \Theta(\mathcal{A}_{\text{prin}}) = \{p \in \Theta(\mathcal{A}_{\text{prin}}) \mid \vartheta_p \in \text{up}(\overline{\mathcal{A}}_{\text{prin}}) \subset \text{up}(\mathcal{A}_{\text{prin}})\}$$

parameterizes a canonical basis of

$$\text{mid}(\overline{\mathcal{A}}_{\text{prin}}) = \text{up}(\overline{\mathcal{A}}_{\text{prin}}) \cap \text{mid}(\mathcal{A}_{\text{prin}}) \subset \text{up}(\mathcal{A}_{\text{prin}}).$$

*Proof.* This is immediate from Lemma 10.10.  $\square$

**Corollary 11.10.** *Assume we have Enough Global Monomials on  $\mathcal{A}_{\text{prin}}^{\vee}$ , and every frozen variable has an optimized seed. Let  $W$  and  $\Xi$  be as in Corollary 11.9. If for some seed  $\mathbf{s}$  every edge of  $\Xi$  is contained in the convex hull  $\text{Conv}(\Theta)$  of  $\Theta$  (which itself contains the integral points of the cluster complex  $\Delta^+(\mathbb{Z})$ ) then  $\Theta = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$ ,  $\text{mid}(\overline{\mathcal{A}}_{\text{prin}}) = \text{up}(\overline{\mathcal{A}}_{\text{prin}})$  is finitely generated, and the integer points  $\Xi \cap \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  parameterize a canonical basis of  $\text{up}(\overline{\mathcal{A}}_{\text{prin}})$ .*

*Proof.* By Theorem 7.5 and the assumption that there is a seed such that every edge of  $\Xi$  is contained in  $\text{Conv}(\Theta)$ , we have  $\Xi \subset \text{Conv}(\Theta)$ . By definition  $\Xi := \{W^T \geq 0\}$ , and  $W^T$  is min-convex by Lemma 10.3. Thus  $\Theta = \mathcal{A}_{\text{prin}}^{\vee}(\mathbb{Z}^T)$  by Proposition 11.8. Now the result follows from the inclusions

$$\text{mid}(\mathcal{A}_{\text{prin}}) \subset \text{up}(\mathcal{A}_{\text{prin}}) \subset \text{can}(\mathcal{A}_{\text{prin}})$$

of Corollary 8.20  $\square$

We expect the corollary to apply in many representation theoretic examples. For example, Conjecture 0.19 would imply the full Fock-Goncharov conjecture for  $\text{SL}_{r+1}$  by Corollary 11.10. We anticipate the conjecture can be extracted from results of [BZ01] or [GS13], which relate Gelfand-Tsetlin type cones to tropicalisations of functions which we believe are exactly our potential  $w$ .

We make a similar even simpler conjecture that would imply the full Fock-Goncharov conjecture for the maximal unipotent subgroup  $U \subset \text{SL}_{r+1}$ , the group of uni-upper triangular matrices.

**Conjecture 11.11.** *Each of the (non-constant) matrix entries is a cluster variable for some cluster of  $U$ . There is a choice of seed for which the  $g$ -vectors for the matrix entries are linearly independent.*

**Proposition 11.12.** *Conjecture 11.11 implies the full Fock-Goncharov conjecture for the open Bruhat cell  $\mathcal{A} \subset \overline{\mathcal{A}} := U$ . If moreover each frozen variable has an optimized seed (see Remark 10.5) then the full Fock-Goncharov conjecture holds for  $U$ .*

*Proof.* We prove that  $\overline{\mathcal{A}}_{\text{prin},s}$  is equal, outside of codimension two, to an affine variety, and  $\text{up}(\overline{\mathcal{A}}_{\text{prin},s})$  is generated, as a  $\mathbb{k}[N_{\mathbf{s}}^+]$ -algebra (notation as in Lemma 11.3) by the matrix entries. Then the result follows from Theorem 11.5, and Corollary 11.9. The canonical map  $N^\circ \rightarrow N_{\text{uf}}^*$  is surjective by [BFZ05], Proposition 2.6. So by Proposition 8.22, (1),  $\mathcal{A}_{\text{prin}} \rightarrow T_M$  is a trivial bundle. The same argument (based on the  $T_{N^\circ}$ -equivariance of the map) shows  $\overline{\mathcal{A}}_{\text{prin}} \rightarrow T_M$  is a trivial bundle, with fibre the affine space  $U = \overline{\mathcal{A}}$ . Each non-constant matrix entry is a cluster variable in some cluster. These lift to cluster variables of  $\overline{\mathcal{A}}_{\text{prin},s}$ , regular by the Laurent Phenomenon. Every cluster variable is a  $T_{N^\circ}$ -eigenfunction. It follows that the lifts of the matrix entries restrict to coordinates on each fibre of  $\overline{\mathcal{A}}_{\text{prin}} \rightarrow T_M$ . The lifts of the matrix entries give a regular map

$$f : \overline{\mathcal{A}}_{\text{prin},s} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n \times \mathbb{A}^s$$

where the second factor is the affine space of dimension the number of matrix entries ( $s = n$ , the dimension of  $\overline{\mathcal{A}} = U$ , but we use  $s$  to distinguish the two components), which restricts to an isomorphism over  $T_M \subset \mathbb{A}^n$ . Here  $X_i = z^{e_i}$  for  $\mathbf{s} = (e_1, \dots, e_n)$  a seed for which the matrix entry  $g$ -vectors are linearly independent. It is enough to show  $f$  is an isomorphism outside codimension two (in the domain and range). We already have this away from the boundary of  $T_M \subset \mathbb{A}^n$ , so it's enough to show the map is generically finite when restricted to the inverse image of any of the boundary divisors of  $T_M \subset \mathbb{A}^n$ . By construction  $\pi : \overline{\mathcal{A}}_{\text{prin},s} \rightarrow \mathbb{A}^n$  is smooth, with connected fibres. The same is obviously true for the trivial bundle  $\mathbb{A}^s \times \mathbb{A}^n \rightarrow \mathbb{A}^n$ . So in particular (for either map) the inverse images of the boundary divisors  $(X_i = 0) \subset \mathbb{A}^n$  are irreducible. So (by semi-continuity of fibre dimension) it is enough to show that  $f$  is generically quasi-finite when restricted to the central fibre. By Proposition 5.7 the cluster variables restrict to characters on the torus open set  $T_N$  of the central fibre. For the matrix entries these are independent by assumption, thus span a finite index subgroup of the character lattice  $M$ . It follows that  $f|_{T_N \subset \pi^{-1}(0)}$  is quasi-finite.  $\square$

*Remark 11.13.* We note the proof shows the following: Let  $T_M \subset \mathbb{A}_{X_1, \dots, X_n}^n$  be as in the proof, and let  $X_{i,j}$  be the canonical lifts of the matrix entries from  $\mathcal{A}$  to  $\mathcal{A}_{\text{prin}} \supset \mathcal{A}$ . Then the lift of each cluster variable from  $\mathcal{A}$  to  $\mathcal{A}_{\text{prin}}$  is a polynomial in the variables  $X_{i,j}, X_k$ . Indeed  $\text{up}(\overline{\mathcal{A}}_{\text{prin},s})$  is the polynomial ring in these variables.

## APPENDIX A. REVIEW OF NOTATION AND LANGLANDS DUALITY

We first review basic cluster variety notation as adopted in [GHK13]. None of this is original to [GHK13], but we follow that source for consistency of notation.

As in [GHK13], §2, *fixed data*  $\Gamma$  means

- A lattice  $N$  with a skew-symmetric bilinear form

$$\{\cdot, \cdot\} : N \times N \rightarrow \mathbb{Q}.$$

- An *unfrozen sublattice*  $N_{\text{uf}} \subseteq N$ , a saturated sublattice of  $N$ . If  $N_{\text{uf}} = N$ , we say the fixed data has no frozen variables.
- An index set  $I$  with  $|I| = \text{rank } N$  and a subset  $I_{\text{uf}} \subseteq I$  with  $|I_{\text{uf}}| = \text{rank } N_{\text{uf}}$ .
- Positive integers  $d_i$  for  $i \in I$  with greatest common divisor 1.
- A sublattice  $N^\circ \subseteq N$  of finite index such that  $\{N_{\text{uf}}, N^\circ\} \subseteq \mathbb{Z}$ ,  $\{N, N_{\text{uf}} \cap N^\circ\} \subseteq \mathbb{Z}$ .
- $M = \text{Hom}(N, \mathbb{Z})$ ,  $M^\circ = \text{Hom}(N^\circ, \mathbb{Z})$ .

Here we modify the definition slightly, and include in the *fixed data*  $[\mathbf{s}]$  a mutation class of seed. Recall a seed  $\mathbf{s} = (e_1, \dots, e_n)$  is a basis of  $N$  satisfying certain properties, see [GHK13], §2, for the precise definitions, including that of mutation. In particular, we write  $e_1^*, \dots, e_n^*$  for the dual basis and  $f_i = d_i^{-1}e_i^*$ . We write

$$(A.1) \quad \epsilon_{ij} := \{e_i, e_j\}d_j.$$

We have two natural maps defined by  $\{\cdot, \cdot\}$ :

$$\begin{array}{ll} p_1^* : N_{\text{uf}} \rightarrow M^\circ & p_2^* : N \rightarrow M^\circ/N_{\text{uf}}^\perp \\ N_{\text{uf}} \ni n \mapsto (N^\circ \ni n' \mapsto \{n, n'\}) & N \ni n \mapsto (N_{\text{uf}} \cap N^\circ \ni n' \mapsto \{n, n'\}) \end{array}$$

We also choose a map

$$(A.2) \quad p^* : N \rightarrow M^\circ$$

such that (a)  $p^*|_{N_{\text{uf}}} = p_1^*$  and (b) the composed map  $N \rightarrow M^\circ/N_{\text{uf}}^\perp$  agrees with  $p_2^*$ . Different choices of  $p^*$  differ by a choice of map  $N/N_{\text{uf}} \rightarrow N_{\text{uf}}^\perp$ . Further, if there are no frozen variables,  $p^* = p_1^* = p_2^*$  is canonically defined.

We also define

$$K = \ker p_2^*, \quad K^\circ = K \cap N^\circ.$$

Following our conventions in [GHK13], let  $\mathfrak{T}$  be the infinite oriented rooted tree with  $|I_{\text{uf}}|$  outgoing edges from each vertex, labelled by the elements of  $I_{\text{uf}}$ . Let  $v$  be the root of the tree. Attach some choice of initial seed  $\mathbf{s} \in [\mathbf{s}]$  to the vertex  $v$ . (We write  $\mathfrak{T}_{\mathbf{s}}$  if we want to record this choice of initial seed.) Now each simple path starting at  $v$  determines a sequence of mutations, just mutating at the label attached to the edge. In this way we attach a seed to each vertex of  $\mathfrak{T}$ . We write the seed attached to a vertex  $w$  as  $\mathbf{s}_w$ , and write  $T_{N^\circ, \mathbf{s}_w}, T_{M, \mathbf{s}_w}$  etc. for the corresponding tori. Mutations

define birational maps between these tori, and the associated Fock-Goncharov  $\mathcal{A}$ ,  $\mathcal{X}$  cluster varieties are defined by

$$(A.3) \quad \mathcal{A}_\Gamma = \bigcup_{w \in \mathfrak{T}} T_{N^\circ, \mathbf{s}_w}, \quad \mathcal{X}_\Gamma = \bigcup_{w \in \mathfrak{T}} T_{M, \mathbf{s}_w}.$$

This parameterization of torus charts is very redundant, with infinitely many copies of the same chart appearing. In particular, given a vertex  $w$  of  $\mathfrak{T}$ , one can consider the subtree  $\mathfrak{T}_w$  rooted at  $w$ , with initial seed  $\mathbf{s}_w$ . This tree can similarly be used to define  $\mathcal{A}_\Gamma$ , and the obvious inclusion between these two versions of  $\mathcal{A}_\Gamma$  is in fact an isomorphism, as can be easily checked.

As one expects the mirror of a variety obtained by gluing charts of the form  $T_{M^\circ}$  to be obtained by gluing charts of the form  $T_{N^\circ}$ , the mirror of  $\mathcal{A}$  is not  $\mathcal{X}$ , as the latter is obtained by gluing charts of the form  $T_N$ . To get the correct mirrors of  $\mathcal{A}$  and  $\mathcal{X}$ , one follows [FG09] in defining the *Langlands dual* cluster varieties. This is done by, given fixed data  $\Gamma$ , defining fixed data  $\Gamma^\vee$  to be the fixed data:

$$I^\vee := I, \quad I_{\text{uf}}^\vee := I_{\text{uf}}, \quad d_i^\vee := d_i^{-1}D$$

where

$$D := \text{lcm}(d_1, \dots, d_n).$$

The lattice, with its finite index sublattice, is

$$D \cdot N =: (N^\vee)^\circ \subset N^\vee := N^\circ$$

and the  $\mathbb{Q}$ -valued skew-symmetric form on  $N^\vee = N^\circ$  is

$$\{\cdot, \cdot\}^\vee := D^{-1}\{\cdot, \cdot\}.$$

For each  $\mathbf{s} = (e_1, \dots, e_n) \in [\mathbf{s}]$ , we define

$$\mathbf{s}^\vee := (d_1 e_1, \dots, d_n e_n).$$

One checks easily that  $\mathbf{s} \mapsto \mathbf{s}^\vee$  gives a bijection between  $[\mathbf{s}]$  and  $[\mathbf{s}^\vee]$ .

Note that for skew-symmetric cluster algebras, i.e., when all the multipliers  $d_i = 1$ , Langlands duality is the identity,  $\Gamma^\vee = \Gamma$ .

**Definition A.4** (Fock-Goncharov dual). We write  $\mathcal{A}_\Gamma^\vee := \mathcal{X}_{\Gamma^\vee}$  and  $\mathcal{X}_\Gamma^\vee := \mathcal{A}_{\Gamma^\vee}$ .

Note in the skew-symmetric case, that  $\mathcal{A}^\vee = \mathcal{X}$ .

One observes the elementary

**Proposition A.5.** *Given fixed data  $\Gamma$ , the double Langlands dual data  $\Gamma^{\vee\vee}$  is canonically isomorphic to the data  $\Gamma$  via the map  $D \cdot N \rightarrow N$  given by  $n \mapsto D^{-1}n$ .*

APPENDIX B. THE  $\mathcal{A}$  AND  $\mathcal{X}$ -VARIETIES WITH PRINCIPAL COEFFICIENTS

We recall briefly the construction of principal fixed data from [GHK13], Construction 2.11. For fixed data  $\Gamma$ , the data for the cluster variety with principal coefficients  $\Gamma_{\text{prin}}$  is defined by:

- $\tilde{N} := N \oplus M^\circ$  with the skew-symmetric bilinear form

$$\{(n_1, m_1), (n_2, m_2)\} = \{n_1, n_2\} + \langle n_1, m_2 \rangle - \langle n_2, m_1 \rangle.$$

- $\tilde{N}_{\text{uf}} := N_{\text{uf}} \oplus 0 \subset \tilde{N}$ .
- The sublattice  $\tilde{N}^\circ$  is  $N^\circ \oplus M$ .
- The index set  $I$  is now the disjoint union of two copies of  $I$ , with the  $d_i$  taken to be as in  $\Gamma$ . The set of unfrozen indices  $I_{\text{uf}}$  is just the original  $I_{\text{uf}}$  thought of as a subset of the first copy of  $I$ .
- Given an initial seed  $\mathbf{s} = (e_1, \dots, e_n)$ , we define

$$(B.1) \quad \tilde{\mathbf{s}} = ((e_1, 0), \dots, (e_n, 0), (0, f_1), \dots, (0, f_n)).$$

We then take the mutation class  $[\tilde{\mathbf{s}}]$ .

Note that  $[\tilde{\mathbf{s}}]$  depends on the choice of  $\mathbf{s}$ : it is not true that if  $\mathbf{s}'$  is obtained by mutation from  $\mathbf{s}$  then  $\tilde{\mathbf{s}}'$  is obtained from the same set of mutations applied to  $\tilde{\mathbf{s}}$ . Nevertheless, the cluster varieties

$$\mathcal{X}_{\text{prin}} := \mathcal{X}_{\Gamma_{\text{prin}}}, \quad \mathcal{A}_{\text{prin}} := \mathcal{A}_{\Gamma_{\text{prin}}}$$

are defined independently of the seed  $\mathbf{s}$ . This is a very important point, which we shall revisit in Remark B.8.

The following summarizes all of the important relationships between the various varieties which will be made use of in this paper.

**Proposition B.2.** *Giving fixed data  $\Gamma$ , we have:*

- (1) *There is a commutative diagram where the dotted arrows are only present if there are no frozen variables (i.e.,  $N_{\text{uf}} = N$ ):*

$$\begin{array}{ccccccc}
 & & & p & & & \\
 & & & \curvearrowright & & & \\
 \mathcal{A}_t & \longrightarrow & \mathcal{A}_{\text{prin}} & \xrightarrow{\tilde{p}} & \mathcal{X} & \xleftarrow{\rho} & \mathcal{X}_{\text{prin}} & \xleftarrow{\xi} & \mathcal{A} \\
 \downarrow & & \pi \downarrow & & \lambda \downarrow & & w \downarrow & & \downarrow \\
 t & \longrightarrow & T_M & \dashrightarrow & T_{K^*} & \dashleftarrow & T_M & \longleftarrow & e
 \end{array}$$

with  $t \in T_M$  any point,  $e \in T_M$  the identity, and with the left- and right-hand squares cartesian and  $p$  an isomorphism, canonical if there are no frozen variables.

(2) *There are torus actions*

$$T_{N^\circ} \text{ on } \mathcal{A}_{\text{prin}}; T_{K^\circ} \text{ on } \mathcal{A}; T_{N_{\text{uf}}^\perp} \text{ on } \mathcal{X}; T_{\tilde{K}^\circ} \text{ on } \mathcal{A}_{\text{prin}}.$$

Here  $\tilde{K}^\circ$  is the kernel of the map

$$\begin{aligned} N^\circ \oplus M &\rightarrow N_{\text{uf}}^* \\ (n, m) &\mapsto p_2^*(n) - m. \end{aligned}$$

Furthermore  $T_{N^\circ}$  and  $T_{\tilde{K}^\circ}$  act on  $T_M$  so that the map  $\pi : \mathcal{A}_{\text{prin}} \rightarrow T_M$  is  $T_{N^\circ}$ - and  $T_{\tilde{K}^\circ}$ -equivariant. The map  $\tilde{p} : \mathcal{A}_{\text{prin}} \rightarrow \mathcal{X} = \mathcal{A}_{\text{prin}}/T_{N^\circ}$  is a  $T_{N^\circ}$ -torsor. There is a map  $T_{\tilde{K}^\circ} \rightarrow T_{N_{\text{uf}}^\perp}$  such that the map  $\tilde{p}$  is also compatible with the actions of these two tori on  $\mathcal{A}_{\text{prin}}$  and  $\mathcal{X}$  respectively, so that

$$\tau : \mathcal{A}_{\text{prin}} \rightarrow \mathcal{X}/T_{N_{\text{uf}}^\perp}$$

is a  $T_{\tilde{K}^\circ}$ -torsor.

(3)  $(\Gamma_{\text{prin}})^\vee$  and  $(\Gamma^\vee)_{\text{prin}}$  are isomorphic data, so we can define

$$\mathcal{A}_{\text{prin}}^\vee := \mathcal{X}_{(\Gamma^\vee)_{\text{prin}}}, \quad \mathcal{X}_{\text{prin}}^\vee := \mathcal{A}_{(\Gamma^\vee)_{\text{prin}}}$$

(4) *There is a commutative diagram*

$$\begin{array}{ccccccccc} & & & & \overset{p}{\curvearrowright} & & & & \\ \mathcal{X}^\vee & \longrightarrow & \mathcal{X}_{\text{prin}}^\vee & \xrightarrow{\tilde{p}} & \mathcal{A}^\vee & \xleftarrow{\rho} & \mathcal{A}_{\text{prin}}^\vee & \xleftarrow{\xi} & \mathcal{X}^\vee \\ \downarrow & & \downarrow \pi & & \downarrow \lambda & & \downarrow w & & \downarrow e \\ e & \longrightarrow & T_{M^\circ} & \dashrightarrow & T_{(K^\circ)^*} & \dashleftarrow & T_{M^\circ} & \longleftarrow & e \end{array}$$

*Proof.* We consider the diagram of (1). The maps with names are given as follows on cocharacter lattices:

$$\begin{aligned} \pi : N^\circ \oplus M &\rightarrow M, & (n, m) &\mapsto m \\ \tilde{p} : N^\circ \oplus M &\rightarrow M, & (n, m) &\mapsto m - p^*(n) \\ \rho : M \oplus N^\circ &\rightarrow M, & (m, n) &\mapsto m \\ \lambda : M &\rightarrow K^*, & m &\mapsto m|_K \\ w : M \oplus N^\circ &\rightarrow M, & (m, n) &\mapsto m - p^*(n) \\ \xi : N^\circ &\rightarrow M \oplus N^\circ, & n &\mapsto (-p^*(n), -n) \\ p : N^\circ \oplus M &\rightarrow M \oplus N^\circ, & (n, m) &\mapsto (m - p^*(n), n) \end{aligned} \tag{B.3}$$

Note  $\lambda$  is the transpose of the inclusion  $K \rightarrow N$ . In the case there are no frozen variables, the two dotted horizontal lines are just given on cocharacter lattices by  $\lambda$  again. One checks commutativity from these formulas at the level of individual tori, and

one checks the maps are compatible with mutations. Note the left-hand diagram defines  $\mathcal{A}_t$ , see [GHK13], Definition 2.12. The statements that  $\tilde{p}$ ,  $\pi$  and  $\lambda$  are compatible with mutations are in §2 of [GHK13], as well as the commutativity of the second square in case of no frozen variables. It is clear that  $p$  induces an isomorphism of lattices, hence an isomorphism of the relevant tori. This isomorphism is canonical in the no frozen variable case because  $p^*$  is well-defined in this case. The fact the right-hand square is cartesian follows from the fact that  $\text{Im } \xi = \ker w$ . Note the signs in the definition of  $\xi$  are necessary to be compatible with mutations. This gives (1).

For (2), the first action is specified on the level of cocharacter lattices by

$$N^\circ \rightarrow N^\circ \oplus M, \quad n \mapsto (n, p^*(n))$$

while the last three are given by the inclusions

$$K^\circ \subset N^\circ, \quad N_{\text{uf}}^\perp \subset M, \quad \tilde{K}^\circ \subset N^\circ \oplus M.$$

One checks easily that the induced actions are compatible with mutations. The action of  $T_{N^\circ}$  and  $T_{\tilde{K}^\circ}$  on  $T_M$  are induced by the maps  $n \mapsto p^*(n)$  and  $(n, m) \mapsto m$  respectively, in order to achieve the desired equivariance. The map  $T_{\tilde{K}^\circ} \rightarrow T_{N_{\text{uf}}^\perp}$  is given by

$$\tilde{K}^\circ \ni (m, n) \mapsto m - p^*(n) \in N_{\text{uf}}^\perp.$$

The other statements are easily checked.

For (3), from the definitions, the lattices playing the role of  $N^\circ \subseteq N$  are:

$$\begin{aligned} (\Gamma_{\text{prin}})^\vee : \quad D \cdot \tilde{N} &= D \cdot N \oplus D \cdot M^\circ \subseteq \tilde{N}^\circ = N^\circ \oplus M \\ (\Gamma^\vee)_{\text{prin}} : \quad D \cdot N \oplus M^\circ &\subseteq N^\circ \oplus D^{-1} \cdot M. \end{aligned}$$

These are isomorphic under the map  $(n, m) \mapsto (n, D^{-1}m)$ . Furthermore, the pairings in the two cases are given by

$$\{(n_1, m_1), (n_2, m_2)\} = \begin{cases} D^{-1}(\{n_1, n_2\} + \langle n_1, m_2 \rangle - \langle n_2, m_1 \rangle) & \text{in the } (\Gamma_{\text{prin}})^\vee \text{ case} \\ D^{-1}\{n_1, n_2\} + \langle n_1, m_2 \rangle - \langle n_2, m_1 \rangle & \text{in the } (\Gamma^\vee)_{\text{prin}} \text{ case} \end{cases}$$

respectively. The isomorphism given preserves the pairings, hence the isomorphism.

(4) is the same as (1), but for the Langlands dual data  $\Gamma^\vee$ . For reference, the maps are given as follows:

$$\begin{aligned}
& \pi : D \cdot N \oplus M^\circ \rightarrow M^\circ, & (n, m) & \mapsto m \\
& \tilde{p} : D \cdot N \oplus M^\circ \rightarrow M^\circ, & (Dn, m) & \mapsto m - p^*(n) \\
& \rho : M^\circ \oplus D \cdot N \rightarrow M^\circ, & (m, Dn) & \mapsto m \\
\text{(B.4)} \quad & \lambda : M^\circ \rightarrow (K^\circ)^*, & m & \mapsto m|_K \\
& w : M^\circ \oplus D \cdot N \rightarrow M^\circ, & (m, Dn) & \mapsto m - p^*(n) \\
& \xi : D \cdot N \rightarrow M^\circ \oplus D \cdot N, & Dn & \mapsto (-p^*(n), -Dn) \\
& p : D \cdot N \oplus M^\circ \rightarrow M^\circ \oplus D \cdot N, & (Dn, m) & \mapsto (m - p^*(n), Dn)
\end{aligned}$$

□

*Remark B.5.* Whenever the lattice  $D \cdot N$  appears in dealing with the Langlands dual data, we will always identify this with  $N$  in the obvious way.

Simple linear algebra gives:

**Lemma B.6.** *The choice of the map  $p^*$  gives an inclusion  $N^\circ \subset \tilde{K}^\circ$  (see Proposition B.2, (2)) given by  $n \mapsto (n, p^*(n))$ . We also have  $N_{\text{uf}}^\perp$  (a sublattice of  $M$ ) included in  $\tilde{K}^\circ$  via  $m \mapsto (0, m)$ . These inclusions induce an isomorphism  $N^\circ \oplus N_{\text{uf}}^\perp \rightarrow \tilde{K}^\circ$ .*

**Lemma B.7.** *The map  $T_{\tilde{K}^\circ} \rightarrow T_M$  induced by the composition of the inclusion and projection  $\tilde{K}^\circ \subset \tilde{N}^\circ \rightarrow M$  is a split surjection if and only if the map*

$$p_2^*|_{N^\circ} : N^\circ \rightarrow N_{\text{uf}}^*, \quad n \mapsto \{n, \cdot\}|_{N_{\text{uf}}}$$

*is surjective. This holds if and only if in some seed  $\mathbf{s} = (e_i)_{i \in I}$ , the  $\#I_{\text{uf}} \times \#I$  matrix with entries for  $i \in I_{\text{uf}}$ ,  $j \in I$ ,  $\epsilon_{ij} = \{e_i, d_j e_j\}$  gives a surjective map  $\mathbb{Z}^{\#I} \rightarrow \mathbb{Z}^{\#I_{\text{uf}}}$ . In this case  $\pi : \mathcal{A}_{\text{prin}} \rightarrow T_M$  is isomorphic to the trivial bundle  $\mathcal{A} \times T_M \rightarrow T_M$ .*

*Proof.* For the first statement, note using Lemma B.6 that the map  $\tilde{K}^\circ \rightarrow M$  is surjective if and only if the map  $N^\circ \oplus N_{\text{uf}}^\perp \rightarrow M$  given by  $(n, m) \mapsto m + p^*(n)$  is surjective, and this is the case if and only if the induced map  $N^\circ \rightarrow M/N_{\text{uf}}^\perp = N_{\text{uf}}^*$  is surjective. The given matrix is the matrix for  $N^\circ \rightarrow N_{\text{uf}}^*$  in the given bases, so the second equivalence is clear. The final statement follows from the  $T_{\tilde{K}^\circ}$ -equivariance of  $\pi$  (the trivialization then comes by choosing a splitting of  $\tilde{K}^\circ \twoheadrightarrow M$ ). □

*Remark B.8.* In general, a seed is defined to be a basis of the lattice  $N$  (or  $\tilde{N}$ ), but to define the seed mutations [GHK13], (2.2) and the union of tori (A.3), all one needs are elements  $e_i \in N$ ,  $i \in I_{\text{uf}}$  (the definitions as given make sense even if the  $e_i$  are dependent, or fail to span). If one makes the construction in this greater generality,

the characters  $X_i := z^{e_i}$  on  $T_{M,\mathbf{s}} \subset \mathcal{X}$  will not be independent (if the  $e_i$  are not) and unless we take a full basis, we cannot define the cluster variables  $A_i := z^{f_i}$  on  $T_{N^\circ,\mathbf{s}}$ , as the  $f_i$  are defined as the dual basis to the basis  $(d_1e_1, \dots, d_n e_n)$  for  $N^\circ$ .

In the case of the principal data, given a seed  $\mathbf{s} = (e_1, \dots, e_n)$  for  $\Gamma$ , we get a seed  $((e_1, 0), \dots, (e_n, 0))$  in this modified sense for the data  $\Gamma_{\text{prin}}$ . We also write this seed as  $\mathbf{s}$ . On the other hand, in [GHK13], the seed  $\tilde{\mathbf{s}}$  for  $\Gamma_{\text{prin}}$  is defined in the more traditional sense to be the basis  $((e_1, 0), \dots, (e_n, 0), (0, f_1), \dots, (0, f_n))$ . It is not the case that if  $\mathbf{s}'$  is obtained from  $\mathbf{s}$  via a sequence of mutations, then  $\tilde{\mathbf{s}}'$  is obtained from  $\tilde{\mathbf{s}}$  by the same sequence of mutations. In particular, the set  $[\tilde{\mathbf{s}}]$  of seeds mutation equivalent to  $\tilde{\mathbf{s}}$  depends not just on the mutation equivalence class of  $\mathbf{s}$ , but on the original seed  $\mathbf{s}$ . However, using the seed  $\mathbf{s}$  as a seed for  $\Gamma_{\text{prin}}$  in this modified sense, we can build  $\mathcal{A}_{\text{prin}}$ , and this depends only on the mutation class of  $\mathbf{s}$ . Thus  $\mathcal{A}_{\text{prin}}$  does not depend on the initial choice of seed, but only on its mutation equivalence class.

However, as we shall now see, the choice of initial seed does give a partial compactification. This is a more general phenomenon when there are frozen variables.

**Construction B.9** (Partial compactifications from frozen variables). When the cluster data  $\Gamma$  includes frozen variables,  $\mathcal{A}$  comes with a canonical partial compactification  $\mathcal{A} \subset \overline{\mathcal{A}}$ , given by partially compactifying each torus chart via  $T_{N^\circ,\mathbf{s}} \subset \text{TV}(\Sigma^{\mathbf{s}})$ , where for  $\mathbf{s} = (e_i)$ ,  $\Sigma^{\mathbf{s}} = \sum_{i \notin I_{\text{uf}}} \mathbb{R}_{\geq 0} e_i \subset N_{\mathbb{R},\mathbf{s}}^\circ$ . Thus the dual cone  $(\Sigma^{\mathbf{s}})^\vee \subset M_{\mathbb{R},\mathbf{s}}^\circ$  is cut out by the half-spaces  $e_i \geq 0$ ,  $i \notin I_{\text{uf}}$ . Note that the monomials  $A_i := z^{f_i}$ ,  $i \notin I_{\text{uf}}$  are invariant under mutation. These give a canonical map  $\overline{\mathcal{A}} \rightarrow \mathbb{A}^{\text{rank } N - u}$ , where  $u$  is the number of unfrozen variables. Note that the basis elements  $e_i$  for  $i \notin I_{\text{uf}}$ , though they have frozen indices, can change under mutation. What is invariant is the associated boundary divisor with valuation given by  $e_i \in N_{\mathbf{s}}^\circ = \mathcal{A}^{\text{trop}}(\mathbb{Z})$ . These are the boundary divisors of  $\mathcal{A} \subset \overline{\mathcal{A}}$ .

Here is another way of seeing the same thing. Given any cluster variety  $V = \bigcup_{\mathbf{s} \in S} T_{L,\mathbf{s}}$  and a single fan  $\Sigma \subset L_{\mathbb{R}}$  for a toric partial compactification  $T_{L,\mathbf{s}'} \subset \text{TV}(\Sigma)$  for some  $\mathbf{s}' \in S$ , there is a canonical way to build a partial compactification

$$V \subset \overline{V} = \bigcup_{\mathbf{s} \in S} \text{TV}(\Sigma^{\mathbf{s}}).$$

We let  $\Sigma^{\mathbf{s}'} := \Sigma$  and  $\Sigma^{\mathbf{s}} := (\mu_{\mathbf{s},\mathbf{s}'}^t)^{-1}(\Sigma^{\mathbf{s}'})$ , where  $\mu_{\mathbf{s},\mathbf{s}'}$  is the birational map given by the composition

$$\mu_{\mathbf{s},\mathbf{s}'} : T_{L,\mathbf{s}} \subset V \supset T_{L,\mathbf{s}'}$$

and  $\mu_{\mathbf{s},\mathbf{s}'}^t$  is the geometric tropicalisation, see §2.

*Remark B.10.* We now return to the discussion of  $\mathcal{A}_{\text{prin}}$ . Note that the frozen variables for  $\mathcal{A}_{\text{prin}}$  are indexed by  $I \setminus I_{\text{uf}}$  in the first copy of  $I$ , along with all indices in the

second copy of  $I$ . However, we can apply Construction B.9 taking only the second copy of  $I$  as the set of frozen indices, with the initial choice of seed  $\mathbf{s}$  determining a partial compactification of  $\mathcal{A}_{\text{prin}}$ . In this case we use different notation, and instead of the overline notation, we indicate the partial compactification by  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin},\mathbf{s}}$ . It is important to keep in mind the dependence on  $\mathbf{s}$ . Fixing  $\mathbf{s}$  fixes  $\tilde{\mathbf{s}}$ , and hence cluster variables  $A_i = z^{(f_i,0)}$ ,  $X_i = z^{(0,e_i)}$ . The variables  $X_i$  can then take the value 0 in the compactification. In particular, we obtain an extension of  $\pi : \mathcal{A}_{\text{prin}} \rightarrow T_M$  to  $\pi : \mathcal{A}_{\text{prin},\mathbf{s}} \rightarrow \mathbb{A}_{X_1, \dots, X_n}^n$ ,  $X_i := z^{e_i}$  pulling back to  $X_i = z^{(0,e_i)}$ .

Note that the seeds in  $[\mathbf{s}]$  and  $[\tilde{\mathbf{s}}]$  are in one-to-one correspondence. Given any seed  $\mathbf{s}' = (e'_i)_{i \in I} \in [\mathbf{s}]$ , and seed  $\tilde{\mathbf{s}}' \in [\tilde{\mathbf{s}}]$  obtained via the same sequence of mutations we have  $\tilde{\mathbf{s}}' = ((e'_i, 0)_{i \in I}, (g_i)_{i \in I})$  for some  $g_i \in \tilde{N}$ . These two seeds give rise to coordinates  $A'_i$  on the chart of  $\mathcal{A}$  indexed by  $\mathbf{s}'$  and coordinates  $A'_i, X_i$  on the chart of  $\mathcal{A}_{\text{prin}}$  indexed by  $\tilde{\mathbf{s}}'$ . As  $\mathcal{A}$  is the fibre of  $\pi$  over the point of  $\mathbb{A}^n$  with all coordinates 1, the coordinate  $A'_i$  on the chart of  $\mathcal{A}_{\text{prin}}$  restricts to the coordinate  $A'_i$  on the chart of  $\mathcal{A}$ . This gives a one-to-one correspondence between cluster variables on  $\mathcal{A}$  and  $A$ -type cluster variables on  $\mathcal{A}_{\text{prin}}$ . To summarize:

**Proposition B.11.** *The cluster variety  $\mathcal{A}_{\text{prin}} := \bigcup_{w \in \mathfrak{S}_{\mathbf{s}}} T_{\tilde{N}^\circ, \mathbf{s}_w}$  depends only on the mutation class  $[\mathbf{s}]$ . But the choice of a seed  $\mathbf{s}$  determines:*

- (1) *A partial compactification  $\mathcal{A}_{\text{prin}} \subset \mathcal{A}_{\text{prin},\mathbf{s}}$ ;*
- (2) *The canonical extension of each cluster variable on any chart of  $\mathcal{A}$  to a cluster variable on the corresponding chart of  $\mathcal{A}_{\text{prin}} \supset \mathcal{A}$ .*

## APPENDIX C. CONSTRUCTION OF SCATTERING DIAGRAMS

This appendix is devoted to giving proofs of Theorems 1.36 and 1.28. The proof of 1.36 is essentially proven in [GS11], but the special case here is considerably simpler than the general case covered there, and it is likely to be very difficult for the reader to extract the needed results from [GS11]. In addition, the details of the proof of 1.36 will be helpful in proving Theorem 1.28.

**C.1. The proof of Theorem 1.36.** We fix the notation of Theorem 1.36, and in addition make use of the notation  $\mathcal{H}_{k,\pm}$  of Definition 1.32 and  $\theta_{\mathfrak{d}_k}$  the map given by

$$z^m \mapsto z^m (1 + z^{v_k})^{-\langle d_k e_k, m \rangle}$$

associated to crossing the slab  $\mathfrak{d}_k = (e_k^\perp, 1 + z^{v_k})$  from  $\mathcal{H}_{k,-}$  to  $\mathcal{H}_{k,+}$ .

We define the Lie algebra

$$\bar{\mathfrak{g}} := \bigoplus_{n \in N_{\text{uf}}^{+,k}} \mathbb{k} z^{p^*(n)} \partial_n,$$

and set  $\bar{G}^{\leq j} := \exp(\bar{\mathfrak{g}}/\bar{\mathfrak{g}}^{> j})$ ,  $\bar{G} = \varprojlim \bar{G}^{\leq j}$  as usual, with the degree function  $\bar{d} : N_{\text{uf}}^{+,k} \rightarrow \mathbb{N}$  given by  $\bar{d}(\sum_i a_i e_i) = \sum_{i \neq k} a_i$ . We note that  $\bar{G}$  acts on  $\widehat{\mathbb{k}[\bar{P}]}$  as usual, and if  $\mathfrak{D}$  is a scattering diagram in the sense of Definition 1.35, then all automorphisms associated to crossing walls (rather than slabs) lie in  $\bar{G}$ .

Besides the Lie algebra  $\bar{\mathfrak{g}}$  just defined, recall we also have  $\mathfrak{g} = \bigoplus_{n \in N_{\text{uf}}^+} \mathbb{k}z^{p^*(n)} \partial_n$  as usual. We have the degree map  $d : N_{\text{uf}}^+ \rightarrow \mathbb{N}$  given by  $d(\sum_i a_i e_i) = \sum a_i$ , but we also have  $\bar{d} : N_{\text{uf}}^+ \rightarrow \mathbb{N}$  given by the restriction of  $\bar{d} : N_{\text{uf}}^{+,k} \rightarrow \mathbb{N}$ . We use the notation  $\mathfrak{g}^{d>k}$  and  $\mathfrak{g}^{\bar{d}>k}$  to distinguish between the two possibilities for  $\mathfrak{g}^{>k}$  determined by the two choices of degree map. Then  $G = \varprojlim \exp(\mathfrak{g}/\mathfrak{g}^{d>j})$  as used in §1.2 and we define  $\tilde{G} = \varprojlim \exp(\mathfrak{g}^{\bar{d}>0}/\mathfrak{g}^{\bar{d}>j})$ . Note that  $G, \tilde{G}$  both act faithfully on  $\widehat{\mathbb{k}[\bar{P}]}$ , where the completion is respect to the maximal monomial ideal  $P \setminus \{0\}$ , and  $\tilde{G}, \bar{G}$  act faithfully on  $\widehat{\mathbb{k}[\bar{P}]}$ . There are inclusions  $\tilde{G} \subset G$  and  $\tilde{G} \subset \bar{G}$ . Only the second inclusion holds at finite order, i.e.,  $\tilde{G}^{\leq j} \subset \bar{G}^{\leq j}$ .

Finally, we will need one other group. We define, for a fixed  $j$ ,

$$\hat{G}^{\leq j} := \varprojlim_{j'} \exp(\mathfrak{g}/(\mathfrak{g}^{d>j'} + \mathfrak{g}^{\bar{d}>j}).$$

There is an inclusion  $\tilde{G}^{\leq j} = \exp(\mathfrak{g}^{\bar{d}>0}/\mathfrak{g}^{\bar{d}>j})$  in  $\hat{G}^{\leq j}$ , and surjection  $G \rightarrow \hat{G}^{\leq j}$ .

We need to understand the interaction between elements of  $G$  and the automorphism associated to crossing the slab (see Lemma 2.15 of [GS11]). Recall the notation  $G_j$  from Construction 1.14; this is applied also to the various assorted groups above.

**Lemma C.1.** *Let  $n \in N_{\text{uf}}^{+,k}$  (resp.  $N_{\text{uf}}^+$ ) and let  $\theta \in \bar{G}$  (resp.  $\theta \in \tilde{G}$ ) be an automorphism of the form  $\exp(f\partial_n)$  for  $f = 1 + \sum_{\ell \geq 1} c_\ell z^{\ell p^*(n)}$ . Let  $j = n^\perp \cap e_k^\perp$ . If  $\{n, e_k\} > 0$ , then*

$$\theta_{\mathfrak{d}_k}^{-1} \circ \theta \circ \theta_{\mathfrak{d}_k} \in \bar{G}_j \text{ (resp. } \tilde{G}_j)$$

while if  $\{n, e_k\} < 0$ , then

$$\theta_{\mathfrak{d}_k} \circ \theta \circ \theta_{\mathfrak{d}_k}^{-1} \in \bar{G}_j, \text{ (resp. } \tilde{G}_j).$$

Here, we view  $\theta_{\mathfrak{d}_k}^{-1} \circ \theta \circ \theta_{\mathfrak{d}_k}$  or  $\theta_{\mathfrak{d}_k} \circ \theta \circ \theta_{\mathfrak{d}_k}^{-1}$  as automorphisms of  $\widehat{\mathbb{k}[\bar{P}]_{1+z^{v_k}}}$ , and  $\bar{G}$  or  $\tilde{G}$  as subgroups of the group of automorphisms of this ring.

*Proof.* Let us prove the first statement, the second being similar. It is enough to check that

$$\theta_{\mathfrak{d}_k}^{-1} \circ (z^{p^*(n)} \partial_n) \circ \theta_{\mathfrak{d}_k} \in \bar{\mathfrak{g}}_j \text{ (resp. } \tilde{\mathfrak{g}}_j).$$

But, with  $h = 1 + z^{v_k}$ ,

$$\begin{aligned}
& (\theta_{\mathfrak{d}_k}^{-1} \circ (z^{p^*(n)} \partial_n) \circ \theta_{\mathfrak{d}_k})(z^m) \\
&= (\theta_{\mathfrak{d}_k}^{-1} \circ (z^{p^*(n)} \partial_n))(z^m h^{-\langle d_k e_k, m \rangle}) \\
&= \theta_{\mathfrak{d}_k}^{-1}(\langle n, m \rangle z^{m+p^*(n)} h^{-\langle d_k e_k, m \rangle}) - \theta_{\mathfrak{d}_k}^{-1}(\langle d_k e_k, m \rangle \langle v_k, n \rangle z^{m+p^*(n)+v_k} h^{-\langle d_k e_k, m \rangle - 1}) \\
&= z^m (\langle n, m \rangle z^{p^*(n)} h^{\langle d_k e_k, p^*(n) \rangle} - \langle d_k e_k, m \rangle \langle v_k, n \rangle z^{p^*(n)+v_k} h^{\langle d_k e_k, p^*(n) \rangle + v_k - 1}).
\end{aligned}$$

Noting that  $\langle v_k, n \rangle = \{e_k, n\} = -\{n, e_k\} = -\langle e_k, p^*(n) \rangle = -d_k^{-1} \langle d_k e_k, p^*(n) \rangle$ , and in addition  $\langle d_k e_k, v_k \rangle = 0$ , we see that as a derivation, writing  $\alpha = \langle d_k e_k, p^*(n) \rangle > 0$ ,

$$\begin{aligned}
& \theta_{\mathfrak{d}_k}^{-1} \circ (z^{p^*(n)} \partial_n) \circ \theta_{\mathfrak{d}_k} \\
&= z^{p^*(n)} h^{\langle d_k e_k, p^*(n) \rangle} \partial_n + z^{p^*(n)+v_k} \langle d_k e_k, p^*(n) \rangle h^{\langle d_k e_k, p^*(n) \rangle - 1} \partial_{e_k} \\
\text{(C.2)} \quad &= \sum_{\beta=0}^{\alpha} z^{p^*(n)+\beta v_k} \binom{\alpha}{\beta} \partial_n + \alpha \sum_{\beta=1}^{\alpha} z^{p^*(n)+\beta v_k} \binom{\alpha-1}{\beta-1} \partial_{e_k} \\
&= \sum_{\beta=0}^{\alpha} z^{p^*(n+\beta e_k)} \binom{\alpha}{\beta} \partial_{n+\beta e_k}.
\end{aligned}$$

Of course  $n + \beta e_k \in \Lambda_j^+$  by definition of  $j$ , so the derivation  $z^{p^*(n+\beta e_k)} \partial_{n+\beta e_k}$  lives in  $\bar{\mathfrak{g}}_j$  (resp.  $\tilde{\mathfrak{g}}_j$ ).  $\square$

We now proceed with the proof of Theorem 1.36.

*Step I. Strategy of the proof.* We will first construct  $\overline{\mathfrak{D}}_s$  using essentially the same algorithm as the one given in Construction 1.14, but working with the group  $\tilde{G}$ . The algorithm is slightly more complex because of the slab, and needs to be carried out in two steps. To show that the diagram constructed is consistent at each step, we compare it with the scattering diagram  $\mathfrak{D}_s$  for the group  $G$  which we know exists, using  $\hat{G}^{\leq j}$  as an intermediary group. Because  $\tilde{G} \subset \bar{G}, G$ , we obtain a consistent scattering diagram for  $\bar{G}$  and  $G$ . While  $\overline{\mathfrak{D}}_s$  is equivalent to  $\mathfrak{D}_s$  as a scattering diagram for  $G$  by construction, this does not show uniqueness of  $\overline{\mathfrak{D}}_s$ , as there may be a different choice with wall crossing automorphisms in  $\bar{G}$  but not in  $\tilde{G}$ , so it cannot be compared with  $\mathfrak{D}_s$ . Thus, the final step involves showing uniqueness directly for the group  $\bar{G}$ , again as part of the inductive proof.

We will proceed by induction on  $j$ , constructing for each  $j$  a finite scattering diagram  $\overline{\mathfrak{D}}_j$  for  $\tilde{G}$  containing  $\mathfrak{D}_{\text{in},s}$  such that the following induction hypotheses hold:

- (1) For every joint  $j$  of  $\overline{\mathfrak{D}}_j$ , there is a simple loop  $\gamma_j$  around  $j$  small enough so that it only intersects walls and slabs containing  $j$  and such that  $\theta_{\gamma_j, \overline{\mathfrak{D}}_j}$ , as an automorphism of  $\widehat{\mathbb{k}[\widehat{P}]_{1+z^{v_k}}}$ , lies in  $\tilde{G}$  and is trivial in  $\tilde{G}^{\leq j}$ , or equivalently, by the inclusion  $\tilde{G}^{\leq j} \subset \bar{G}^{\leq j}$ , trivial in  $\bar{G}^{\leq j}$ .

- (2) If  $\overline{\mathfrak{D}}'_j$  is a scattering diagram for  $\tilde{G}$  which has the same incoming walls as  $\overline{\mathfrak{D}}_j$  and satisfies (1) (with  $\tilde{G}$  replaced by  $\tilde{G}$  everywhere), then  $\overline{\mathfrak{D}}'_j$  is equivalent to  $\overline{\mathfrak{D}}_j$  in  $\tilde{G}^{\leq j}$ .

Recall that joints of  $\overline{\mathfrak{D}}_j$  are either parallel or perpendicular, Definition-Lemma 1.15.

*Step II. The base case.* For  $j = 0$ ,  $\overline{\mathfrak{D}}_0 = \mathfrak{D}_{\text{in},s}$  does the job. Indeed, all walls are trivial in  $\tilde{G}^{\leq 0} = \{\text{id}\}$ , leaving just the single initial slab, and thus there are no joints.

*Step III. From  $\overline{\mathfrak{D}}_j$  to  $\overline{\mathfrak{D}}_{j+1}$ : adding walls associated to joints not contained in  $e_k^\perp$ .* Now assume we have found  $\overline{\mathfrak{D}}_j$  satisfying the induction hypotheses. We need to add a finite number of walls to get  $\overline{\mathfrak{D}}_{j+1}$ . We will carry out the construction of  $\overline{\mathfrak{D}}_{j+1}$  in two steps, following Construction 1.14.

First, let  $\mathfrak{j}$  be a perpendicular joint of  $\overline{\mathfrak{D}}_j$  with  $\mathfrak{j} \not\subseteq e_k^\perp$ . Let  $\Lambda_{\mathfrak{j}} \subseteq M^\circ$  be the set of integral tangent vectors to  $\mathfrak{j}$ . If  $\gamma_{\mathfrak{j}}$  is a simple loop around  $\mathfrak{j}$  small enough so that it only intersects walls containing  $\mathfrak{j}$ , we note that every wall-crossing automorphism  $\theta_{\gamma_{\mathfrak{j}}, \mathfrak{d}}$  contributing to  $\theta_{\gamma_{\mathfrak{j}}, \overline{\mathfrak{D}}_j}$  lies in  $\tilde{G}_{\mathfrak{j}}$ . Thus in  $\tilde{G}^{\leq j+1}$  we can write

$$(C.3) \quad \theta_{\gamma_{\mathfrak{j}}, \overline{\mathfrak{D}}_j} = \exp \left( \sum_{i=1}^s c_i z^{p^*(n_i)} \partial_{n_i} \right)$$

with  $c_i \in \mathbb{k}$ , and  $n_i \in \Lambda_{\mathfrak{j}}^\perp$  with  $\bar{d}(n_i) = j + 1$  as  $\theta_{\gamma_{\mathfrak{j}}, \overline{\mathfrak{D}}_j}$  is the identity in  $\tilde{G}^{\leq j}$  by the induction hypothesis. Finally,  $p^*(n_i) \notin \Lambda_{\mathfrak{j}}$  because the joint is perpendicular. Let

$$\mathfrak{D}[\mathfrak{j}] := \{(\mathfrak{j} - \mathbb{R}_{\geq 0} p^*(n_i), (1 + z^{p^*(n_i)})^{\pm c_i}) \mid i = 1, \dots, s\}.$$

Here  $(1 + z^{p^*(n_i)})^{\pm c_i} = \exp(\pm c_i \log(1 + z^{p^*(n_i)}))$  makes sense as a power series. The sign is chosen in each wall so that its contribution to  $\theta_{\gamma_{\mathfrak{j}}, \mathfrak{D}[\mathfrak{j}]}$  is  $\exp(-c_i z^{p^*(n_i)} \partial_{n_i})$  to  $\bar{d}$ -order  $j + 1$ .

We now take

$$\overline{\mathfrak{D}}'_j := \overline{\mathfrak{D}}_j \cup \bigcup_{\mathfrak{j}} \mathfrak{D}[\mathfrak{j}],$$

where the union is over all perpendicular joints not contained in  $e_k^\perp$ . We have only added a finite number of walls.

*Step IV. From  $\overline{\mathfrak{D}}_j$  to  $\overline{\mathfrak{D}}_{j+1}$ : adding walls associated to joints contained in  $e_k^\perp$ .* If we didn't have a slab,  $\overline{\mathfrak{D}}'_j$  constructed above would now do the job as in the proof of Lemma 1.17. However, the elements of  $\tilde{G}$  trivial in  $\tilde{G}^{\leq j}$  do not commute with  $\theta_{\mathfrak{d}_k}$  to order  $j + 1$  as automorphisms of  $\widehat{\mathbb{k}[\tilde{P}]_{1+z^{\nu_k}}}$  in any reasonable sense. As a consequence, we will need to add some additional walls coming from joints in  $e_k^\perp$ , some of which have arisen as the intersection of  $e_k^\perp$  with walls added in Step III.

Consider a perpendicular joint  $j \subseteq e_k^\perp$  of  $\overline{\mathfrak{D}}'_j$ . Necessarily the linear span of  $j$  is  $e_k^\perp \cap n^\perp$  for some  $n \in N_{\text{uf}}^+$ . Furthermore, we can choose  $n$  so that any wall containing  $j$  then has linear span  $(ae_k + bn)^\perp$  for some  $a, b$  non-negative rational numbers. The direction of such a wall is positively proportional to  $-p^*(ae_k + bn)$ . We now distinguish between two cases. Note that  $\langle e_k, p^*(n) \rangle \neq 0$  as the joint is not parallel, so we call the joint  $j$  *positive* or *negative* depending on the sign of  $\langle e_k, p^*(n) \rangle = \{n, e_k\}$ . Note that if the joint is positive (negative) then  $\langle e_k, p^*(ae_k + bn) \rangle$  is positive (negative) for all  $b > 0$ .

If the joint is positive, then choose  $\gamma_j$  so that the first wall crossed is  $\mathfrak{d}_k$ , passing from  $\mathcal{H}_{k,-}$  to  $\mathcal{H}_{k,+}$ . We can write

$$(C.4) \quad \theta_{\gamma_j, \overline{\mathfrak{D}}'_j} = \theta_2 \circ \theta_{\mathfrak{d}_k}^{-1} \circ \theta_1 \circ \theta_{\mathfrak{d}_k},$$

where  $\theta_i \in \tilde{G}_j$  are compositions of wall-crossing automorphisms. It then follows from  $\langle e_k, p^*(ae_k + bn) \rangle > 0$  for all  $a \geq 0, b > 0$  and Lemma C.1 that  $\theta_{\mathfrak{d}_k}^{-1} \circ \theta_1 \circ \theta_{\mathfrak{d}_k} \in \tilde{G}_j$ , hence  $\theta_{\gamma_j, \overline{\mathfrak{D}}'_j} \in \tilde{G}_j$ . If the joint is negative, then we use a slightly different loop: without changing the orientation of the loop  $\gamma_j$ , change the endpoints so that  $\gamma_j$  now starts and ends in  $\mathcal{H}_{k,+}$ , crossing  $\mathfrak{d}_k$  just before its endpoint. Then

$$\theta_{\gamma_j, \overline{\mathfrak{D}}'_j} = \theta_{\mathfrak{d}_k} \circ \theta_2 \circ \theta_{\mathfrak{d}_k}^{-1} \circ \theta_1,$$

and again by Lemma C.1,  $\theta_{\gamma_j, \overline{\mathfrak{D}}'_j} \in \tilde{G}_j$ .

Thus in both cases,  $\theta_{\gamma_j, \overline{\mathfrak{D}}'_j} \in \tilde{G}_j$  and is the identity in  $\tilde{G}^{\leq j}$ . Thus we still have (C.3) and we can produce a scattering diagram  $\mathfrak{D}[j]$  in the same way as for the joints  $j$  not contained in  $e_k^\perp$ . We then set

$$\overline{\mathfrak{D}}_{j+1} = \mathfrak{D}'_j \cup \bigcup_j \mathfrak{D}[j],$$

where the union is over perpendicular joints of  $\overline{\mathfrak{D}}'_j$  contained in  $e_k^\perp$ .

*Step V. (1) of the induction hypothesis is satisfied.* Consider a perpendicular joint  $j$  of  $\overline{\mathfrak{D}}_{j+1}$ . First suppose  $j \not\subseteq e_k^\perp$ . We proceed as in the proof of Lemma 1.17. If  $j$  is contained in a joint of  $\overline{\mathfrak{D}}_j$ , there is a unique such joint, say  $j'$ , and we constructed  $\mathfrak{D}[j']$  above. If  $j$  is not contained in a joint of  $\overline{\mathfrak{D}}_j$ , we define  $\mathfrak{D}[j']$  to be the empty set. There are three types of walls  $\mathfrak{d}$  in  $\overline{\mathfrak{D}}_{j+1}$  containing  $j$ :

- (1)  $\mathfrak{d} \in \overline{\mathfrak{D}}_j \cup \mathfrak{D}[j']$ .
- (2)  $\mathfrak{d} \in \overline{\mathfrak{D}}_{j+1} \setminus (\overline{\mathfrak{D}}_j \cup \mathfrak{D}[j'])$ , but  $j \not\subseteq \partial\mathfrak{d}$ . This type of wall does not contribute to  $\theta_{\gamma_j, \overline{\mathfrak{D}}_{j+1}}$  in  $\tilde{G}^{\leq j+1}$ . Indeed, the associated automorphism is in the center of  $\tilde{G}^{\leq j+1}$  and this wall contributes twice to  $\theta_{\gamma_j, \overline{\mathfrak{D}}_{j+1}}$ , with the two contributions inverse to each other, so the contribution cancels.

- (3)  $\mathfrak{d} \in \overline{\mathfrak{D}}_{j+1} \setminus (\overline{\mathfrak{D}}_j \cup \mathfrak{D}[j'])$  and  $\mathfrak{j} \subseteq \partial\mathfrak{d}$ . Since each added wall is of the form  $\mathfrak{j}'' - \mathbb{R}_{\geq 0}m$  for some joint  $\mathfrak{j}''$  of  $\overline{\mathfrak{D}}_j$ , where  $-m$  is the direction of the wall, the direction of the wall is parallel to  $\mathfrak{j}$ , contradicting  $\mathfrak{j}$  being a perpendicular joint. Thus this does not occur.

From this we see by construction of  $\mathfrak{D}[j']$  that  $\theta_{\gamma_i, \overline{\mathfrak{D}}_{j+1}}$  is the identity in  $\tilde{G}^{\leq j+1}$ .

On the other hand, suppose  $\mathfrak{j}$  is a perpendicular joint of  $\overline{\mathfrak{D}}_{j+1}$  contained in  $e_k^\perp$ . Then since no wall of  $\overline{\mathfrak{D}}_{j+1} \setminus \overline{\mathfrak{D}}_j'$  is contained in  $e_k^\perp$ , by definition of  $N_{\text{uf}}^{+,k}$ , in fact  $\mathfrak{j}$  is a joint of  $\overline{\mathfrak{D}}_j'$ . Thus we see again by construction of  $\mathfrak{D}[j]$  that to order  $j+1$ ,  $\theta_{\gamma_i, \overline{\mathfrak{D}}_{j+1}}$  is the identity for  $\gamma_i$  the loop around  $\mathfrak{j}$  described in Step IV. Recall the choice of loop depends on whether the joint is positive or negative.

Now we show that  $\overline{\mathfrak{D}}_{j+1}$  satisfies the induction hypothesis (1). Note that there is a map  $\tilde{G}^{\leq j+1} \rightarrow \exp(\mathfrak{g}/(\mathfrak{g}^{d>j'} + \mathfrak{g}^{\bar{d}>j+1})) =: \hat{G}_{j'}$  for any  $j'$ . The slab automorphism  $\theta_{\mathfrak{d}_k}$  can be viewed as an element of  $\hat{G}_{j'}$  for any  $j'$ , and hence  $\overline{\mathfrak{D}}_{j+1}$  can be viewed as a scattering diagram for  $\hat{G}_{j'}$  in the sense of Definition 1.2. We will first show that  $\overline{\mathfrak{D}}_{j+1}$  is consistent as a diagram for  $\hat{G}_{j'}$  inductively on  $j'$ .

The base case is  $j' = j$ . All walls of  $\overline{\mathfrak{D}}_{j+1} \setminus \overline{\mathfrak{D}}_j$  are trivial to  $\bar{d}$ -order  $j$  and hence to  $d$ -order  $j$ . Now  $\overline{\mathfrak{D}}_j$  satisfies the main induction hypothesis (1) at order  $j$ , which implies via the natural map  $\tilde{G}^{\leq j} \rightarrow \hat{G}_j = G^{\leq j}$  that  $\overline{\mathfrak{D}}_{j+1}$  is consistent as a diagram for  $\hat{G}_j$ . Indeed, as  $\overline{\mathfrak{D}}_{j+1}$  is a finite scattering diagram, it is enough to check that  $\theta_{\gamma_i, \overline{\mathfrak{D}}_{j+1}}$  is the identity in  $G^{\leq j}$  for any small loop  $\gamma_i$  around any joint  $\mathfrak{j}$ . By the hypothesis (1), this is the case for some loop  $\gamma_i$ , and hence for all loops. Note that by uniqueness of consistent scattering diagrams with the same incoming walls, we also record for future use:

$$(C.5) \quad \overline{\mathfrak{D}}_{j+1} \text{ is equivalent to } \mathfrak{D}_s \text{ as diagrams for } G^{\leq j}.$$

The induction step follows from Lemma 1.18, applied to  $\tilde{\mathfrak{D}} = \overline{\mathfrak{D}}_{j+1}$ ,  $\mathfrak{D} = \mathfrak{D}_s$ , and the group being  $\hat{G}^{\leq j+1}$ . Indeed, if we assume  $\overline{\mathfrak{D}}_{j+1}$  is consistent in  $\hat{G}_{j'}$ , then it is equivalent to  $\mathfrak{D}_s$  as a scattering diagram in  $\hat{G}_{j'}$ . Furthermore,  $\mathfrak{D}_s$  is consistent to all orders by the definition of  $\mathfrak{D}_s$  in Construction 1.21, and has the same set of incoming walls as  $\overline{\mathfrak{D}}_{j+1}$  by construction. Finally,  $\theta_{\gamma_i, \overline{\mathfrak{D}}_{j+1}}$  is the identity in  $\hat{G}_{j'+1}$  for any perpendicular joint  $\mathfrak{j}$ , as shown above. Thus  $\overline{\mathfrak{D}}_{j+1}$  and  $\mathfrak{D}_s$  are equivalent in  $\hat{G}_{j'+1}$ , and in particular  $\overline{\mathfrak{D}}_{j+1}$  is consistent in  $\hat{G}_{j'+1}$ .

Thus taking the inverse limit, we see that  $\overline{\mathfrak{D}}_{j+1}$  is consistent as a scattering diagram for  $\hat{G}^{\leq j+1}$ . This almost completes the proof of the induction hypothesis (1) in degree  $j+1$ . Indeed, as  $\tilde{G}^{\leq j+1}$  is a subgroup of  $\hat{G}^{\leq j+1}$ , certainly  $\theta_{\gamma_i, \overline{\mathfrak{D}}_{j+1}}$  is the identity for any joint not contained in  $e_k^\perp$ , including the parallel joints. For a perpendicular joint contained in  $e_k^\perp$ , if we choose  $\gamma_i$  as given in Step IV,  $\theta_{\gamma_i, \overline{\mathfrak{D}}_{j+1}}$  lies in  $\tilde{G}$  and is the

identity in  $\tilde{G}^{\leq j+1}$  by the construction of  $\mathfrak{D}[j]$  in Step IV. Finally, for a parallel joint  $j$  contained in  $e_k^\perp$ , note that all wall and slab-crossing automorphisms associated to walls containing  $j$  commute, and in particular the contribution of  $\theta_{\mathfrak{d}_k}$  and  $\theta_{\mathfrak{d}_k}^{-1}$  in  $\theta_{\gamma, \overline{\mathfrak{D}}_{j+1}}$  as an automorphism of  $\widehat{\mathbb{k}[\overline{P}]_{1+cz^{v_k}}}$  cancel, so that the latter automorphism lies in  $\tilde{G}$ . Hence the image of this automorphism in  $\tilde{G}^{\leq j+1} \subset \hat{G}^{\leq j+1}$  must also be trivial. This gives the induction hypothesis (1).

*Step VI. Uniqueness.* Suppose we have constructed two scattering diagrams  $\overline{\mathfrak{D}}_{j+1}, \overline{\mathfrak{D}}'_{j+1}$  for  $\tilde{G}$  from  $\overline{\mathfrak{D}}_j$  which satisfy the inductive hypothesis (1) to  $\bar{d}$ -order  $j+1$ , but with the group  $\tilde{G}$  replaced with  $\bar{G}$ . By the induction hypothesis (2), these two scattering diagrams are equivalent to  $\bar{d}$ -order  $j$ , and we wish to show they are equivalent to  $\bar{d}$ -order  $j+1$ . One first constructs a finite scattering diagram  $\mathfrak{D}$  consisting only of outgoing walls whose attached functions are of the form  $1 + cz^{p^*(n)}$  with  $c \in \mathbb{k}$  and  $\bar{d}(n) = j+1$ , with the property that  $\overline{\mathfrak{D}}_{j+1} \cup \mathfrak{D}$  is equivalent to  $\overline{\mathfrak{D}}'_{j+1}$  to  $\bar{d}$ -order  $j+1$ . This is done precisely as in the proof of Lemma 1.18. We need to show  $\mathfrak{D}$  is equivalent to the empty scattering diagram to  $\bar{d}$ -order  $j+1$ .

To show this, first note that for any loop  $\gamma$  which does not cross the slab  $\mathfrak{d}_k$ ,  $\theta_{\gamma, \overline{\mathfrak{D}}_{j+1}} = \theta_{\gamma, \overline{\mathfrak{D}}'_{j+1}} = \text{id}$  to  $\bar{d}$ -order  $j+1$  implies that  $\theta_{\gamma, \mathfrak{D}} = \text{id}$  to  $\bar{d}$ -order  $j+1$ . Indeed, all wall-crossing automorphisms of  $\mathfrak{D}$  are central in  $\bar{G}^{\leq j+1}$ . Now if  $n \in N_{\text{uf}}^{+,k}$  with  $\bar{d}(n) = j+1$ , let  $\mathfrak{D}_n \subseteq \mathfrak{D}$  be the set of walls in  $\mathfrak{D}$  with attached functions of the form  $1 + cz^{p^*(n)}$ . Note all wall-crossing automorphisms of  $\mathfrak{D}$ , viewed as elements of  $\bar{G}^{\leq j+1}$ , lie in  $\exp(\bar{\mathfrak{g}}^{>j}/\bar{\mathfrak{g}}^{>j+1})$ , which as a group coincides with the additive group structure on  $\bar{\mathfrak{g}}^{>j}/\bar{\mathfrak{g}}^{>j+1}$ . Thus for any path  $\gamma$  not crossing  $\mathfrak{d}_k$ , we obtain a unique decomposition  $\theta_{\gamma, \mathfrak{D}} = \prod_n \theta_{\gamma, \mathfrak{D}_n}$  from the  $N_{\text{uf}}^{+,k}$ -grading on  $\bar{\mathfrak{g}}^{>j}/\bar{\mathfrak{g}}^{>j+1}$ , and if  $\theta_{\gamma, \mathfrak{D}}$  is the identity, so is each  $\theta_{\gamma, \mathfrak{D}_n}$ .

Fixing  $n$  as above, replace  $\mathfrak{D}_n$  with an equivalent scattering diagram with smallest possible support, and let  $C_n = \text{Supp}(\mathfrak{D}_n)$ . So if  $x \in n^\perp$  is a general point,  $x \in C_n$  if and only if  $g_x(\mathfrak{D}_n)$  is not the identity. Assume first that  $\langle e_k, p^*(n) \rangle \geq 0$ . We shall show  $C_n \subseteq \mathcal{H}_{k,-}$ . Assume not. Taking a general point  $x \in C_n \setminus \mathcal{H}_{k,-}$ , it is not possible for the ray  $L := x + \mathbb{R}_{\geq 0} p^*(n)$  to be contained in  $C_n$ . This is because  $\mathfrak{D}$  consists of only a finite number of walls, none of which are incoming. Let  $\lambda = \max\{t \in \mathbb{R}_{\geq 0} \mid x + tp^*(n) \in C_n\}$ , and  $y = x + \lambda p^*(n)$ . This makes sense as  $t = 0$  is in the set over which we are taking the maximum, as we are assuming  $x \in C_n$ . Then necessarily  $y$  is in a joint  $j$  of  $\mathfrak{D}_n$ , and every wall of  $\mathfrak{D}_n$  containing  $j$  is contained in  $\mathbb{R}j - \mathbb{R}_{\geq 0} p^*(n)$ . Furthermore, since  $\langle e_k, x \rangle > 0$ ,  $\langle p^*(n), e_k \rangle \geq 0$ , it follows that  $y \notin e_k^\perp$  and  $j$  is not contained in  $e_k^\perp$ . Thus

given a loop  $\gamma_j$  around  $j$ ,  $\theta_{\gamma_j, \mathfrak{D}_n}$  is the identity. This implies that in fact to  $\bar{d}$ -order  $j+1$ ,

$$\prod_{\substack{\mathfrak{d} \in \mathfrak{D}_n \\ j \subseteq \mathfrak{d}}} \theta_{\gamma_j, \mathfrak{d}} = \text{id}.$$

In particular, a point  $z = y - \epsilon p^*(n)$  for small  $\epsilon$  is contained in precisely those walls of  $\mathfrak{D}_n$  containing  $j$ . But then  $g_z(\mathfrak{D}_n) = \text{id}$ , contradicting minimality of  $C_n$ . Thus one finds that  $C_n \subseteq \mathcal{H}_{k,-}$ . Similarly, if  $\langle e_k, p^*(n) \rangle \leq 0$ , then  $C_n \subseteq \mathcal{H}_{k,+}$ . In particular, if  $\langle e_k, p^*(n) \rangle = 0$ ,  $C_n \subseteq e_k^\perp$ , but there are no walls contained in  $e_k^\perp$ , so in this case  $\mathfrak{D}_n = \emptyset$ .

Now consider a joint  $j$  of  $\overline{\mathfrak{D}}'_{j+1}$  contained in  $e_k^\perp$ . There are three cases: either  $j$  is perpendicular and positive, perpendicular and negative, or parallel. Consider the first case. Take a loop  $\gamma_j$  around  $j$  as in the positive case in Step IV. Because of positivity, if a wall  $\mathfrak{d}$  of  $\mathfrak{D}$  contains  $j$ , then with  $n$  chosen so that  $\mathfrak{d} \in \mathfrak{D}_n$ , we must have  $\langle e_k, p^*(n) \rangle > 0$  and hence  $\mathfrak{d}$  is contained in  $\mathcal{H}_{k,-}$ . Thus we have that to  $\bar{d}$ -order  $j+1$ ,

$$\text{id} = \theta_{\gamma_j, \overline{\mathfrak{D}}'_{j+1}} = \theta_{\gamma_j, \overline{\mathfrak{D}}_{j+1} \cup \mathfrak{D}} = \theta_{2, \mathfrak{D}} \circ \theta_{2, \overline{\mathfrak{D}}_{j+1}} \circ \theta_{\mathfrak{d}_k}^{-1} \circ \theta_{1, \overline{\mathfrak{D}}_{j+1}} \circ \theta_{\mathfrak{d}_k} = \theta_{2, \mathfrak{D}} = \theta_{\gamma_j, \mathfrak{D}}$$

as in (C.4), where  $\theta_{i, \mathfrak{D}}$  and  $\theta_{i, \overline{\mathfrak{D}}_{j+1}}$  denote the contributions coming from the scattering diagrams  $\mathfrak{D}$  and  $\overline{\mathfrak{D}}_{j+1}$  and the pieces of  $\gamma_j$  not crossing  $e_k^\perp$ . The same argument works for negative joints, while a parallel joint cannot contain any wall of  $\mathfrak{D}$ , (as we showed above that  $\mathfrak{D}_n = \emptyset$  if  $\langle e_k, p^*(n) \rangle = 0$ ), so that  $\theta_{\gamma_j, \mathfrak{D}} = \text{id}$  trivially. We can now repeat the argument of the previous paragraph, taking for any  $n$  a general point  $x \in C_n$  rather than  $x \in C_n \setminus \mathcal{H}_{k,-}$ . This allows us to conclude that  $\mathfrak{D}_n = \emptyset$  for all  $n$ , proving uniqueness.

*Step VII. Finishing the proof of Theorem 1.36.* Having completed the induction step, we take  $\overline{\mathfrak{D}}_s = \bigcup_{j=0}^{\infty} \overline{\mathfrak{D}}_j$ . We need to check it satisfies the stated conditions in Theorem 1.36. Certainly conditions (1) and (2) hold by construction.

For (3), first recall that because by construction  $\overline{\mathfrak{D}}_s$  can be viewed as a scattering diagram for  $\tilde{G}$ , it can also be viewed as a scattering diagram for  $G$  via the inclusion  $\tilde{G} \subset G$ , and in addition  $\theta_{\mathfrak{d}_k} \in G$ , so that  $\overline{\mathfrak{D}}_s$  is viewed as a scattering diagram for  $G$  in the sense of Definition 1.2, i.e., with no slab. Now as a scattering diagram for  $G$ ,  $\overline{\mathfrak{D}}_s$  is equivalent to  $\mathfrak{D}_s$  by (C.5). By consistency of  $\mathfrak{D}_s$ ,  $\theta_{\gamma, \overline{\mathfrak{D}}_s}$  is independent of the endpoints of  $\gamma$  as an element of  $G$ . Now suppose  $g_1, g_2$  are two automorphisms of  $\widehat{\mathbb{k}[\overline{P}]_{1+z^{v_k}}}$  which induce automorphisms of  $\widehat{\mathbb{k}[P]}$ , (i.e., for  $p \in P \subset \overline{P}$ ,  $g_i(z^p) \in \widehat{\mathbb{k}[P]}$ , giving a map  $g_i : \widehat{\mathbb{k}[P]} \rightarrow \widehat{\mathbb{k}[P]}$  which is an automorphism) and agree as automorphisms of the latter ring. Then  $g_1, g_2$  agree as automorphisms of  $\widehat{\mathbb{k}[\overline{P}]_{1+z^{v_k}}}$ . Thus in particular,  $\theta_{\gamma, \overline{\mathfrak{D}}_s}$  is independent of the endpoints of  $\gamma$  as an automorphism of  $\widehat{\mathbb{k}[\overline{P}]_{1+z^{v_k}}}$ . This gives condition (3).

The uniqueness of  $\overline{\mathfrak{D}}_s$  with these properties then follows from the induction hypothesis (2). Indeed, if  $\overline{\mathfrak{D}}'_s$  satisfies conditions (1)-(3) of Theorem 1.36, then working by induction on the order  $j$ , the induction hypothesis (1) holds for  $\mathfrak{D}'_s$  (the existence of  $\gamma_j$  with  $\theta_{\gamma_j, \overline{\mathfrak{D}}'_s} \in \tilde{G}$  only being an issue for joints contained in  $e_k^\perp$ , and Step IV explains how to choose the loop  $\gamma_j$ ). Thus by induction hypothesis (2),  $\overline{\mathfrak{D}}_s$  and  $\overline{\mathfrak{D}}'_s$  are equivalent to order  $j$ .

This completes the proof of Theorem 1.36.  $\square$

**C.2. The proof of Theorem 1.28.** The key point of the proof is just the positivity of the simplest scattering diagram as described in Example 1.29, which we use to analyze general two-dimensional scattering diagrams. We will consider a somewhat more general setup, but only in two dimensions, than considered in the rest of this paper. In particular, we will follow the notation of [G11], §6.3.1, taking  $M = \mathbb{Z}^2$ ,  $N = \text{Hom}(M, \mathbb{Z})$ , and assume given a monoid  $P$  with a map  $r : P \rightarrow M$ ,  $\mathfrak{m} = P \setminus P^\times$ . We will consider scattering diagrams  $\mathfrak{D}$  for this data as in [G11], Def. 6.37, consisting of rays and lines which do not necessarily pass through the origin. Given any scattering diagram  $\mathfrak{D}_{\text{in}}$ , the argument of Kontsevich and Soibelman from [KS06] (see [G11], Theorem 6.38 for an exposition of this particular case) adds rays to  $\mathfrak{D}_{\text{in}}$  to obtain a scattering diagram  $\text{Scatter}(\mathfrak{D}_{\text{in}})$  such that  $\theta_{\gamma, \text{Scatter}(\mathfrak{D}_{\text{in}})}$  is the identity for every loop  $\gamma$ . This diagram is unique up to equivalence.

The fundamental observation involves a kind of universal scattering diagram:

**Proposition C.6.** *In the above setup, suppose given  $p_i \in \mathfrak{m} \subseteq P$ ,  $1 \leq i \leq s$ , with  $r(p_i) \neq 0$ , and positive integers  $d_1, \dots, d_s$ . Consider the scattering diagram*

$$\mathfrak{D}_{\text{in}} := \{(\mathbb{R}r(p_i), (1 + z^{p_i})^{d_i}) \mid 1 \leq i \leq s\},$$

$\mathfrak{D} := \text{Scatter}(\mathfrak{D}_{\text{in}})$ . *We can choose  $\mathfrak{D}$  within its equivalence class so that for any given ray  $(\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D} \setminus \mathfrak{D}_{\text{in}}$ , we have*

$$f_{\mathfrak{d}} = (1 + z^{\sum_{i=1}^s n_i p_i})^c$$

*for  $c$  a positive integer and the  $n_i$  non-negative integers with at least two of them non-zero.*

*Proof. Step I. The change of monoid trick.* Note that if the  $r(p_i)$  generate a rank one sublattice of  $M$ , then all the wall-crossing automorphisms of  $\mathfrak{D}_{\text{in}}$  commute and  $\mathfrak{D} = \mathfrak{D}_{\text{in}}$ , so we are done. So assume from now on that the  $r(p_i)$  generate a rank two sublattice of  $M$ .

Let  $P' = \mathbb{N}^s$ , generated by  $e_1, \dots, e_s$ , define a map  $u : P' \rightarrow P$  by  $u(e_i) = p_i$ , and a map  $r' : P' \rightarrow M$  by  $r'(e_i) = r(p_i)$ . We extend  $u$  to a map  $u : \widehat{\mathbb{k}[P']} \rightarrow \widehat{\mathbb{k}[P]}$ , and define,

for a scattering diagram  $\mathfrak{D}$  for the monoid  $P'$ ,  $u(\mathfrak{D}) := \{(\mathfrak{d}, u(f_{\mathfrak{d}})) \mid (\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D}\}$ . Clearly if  $\theta_{\gamma, \mathfrak{D}} = \text{id}$ , then  $\theta_{\gamma, u(\mathfrak{D})} = \text{id}$ . Thus if  $\mathfrak{D}' = \text{Scatter}(\{(\mathbb{R}r(p_i), (1 + z^{e_i})^{d_i}) \mid 1 \leq i \leq s\})$ , then  $u(\mathfrak{D}')$  is equivalent to  $\text{Scatter}(\mathfrak{D}_{\text{in}})$ , by uniqueness of Scatter up to equivalence. So it is sufficient to show the result with  $P = \mathbb{N}^s$ ,  $p_i = e_i$ .

*Step II. Everything but the positivity of the exponents.* We can construct  $\mathfrak{D}$  specifically using the original method of [KS06], already explained here in Step II of the proof of Theorem 1.36: we construct  $\mathfrak{D}$  order by order, constructing  $\mathfrak{D}_d$  so that  $\theta_{\gamma, \mathfrak{D}_d}$  is the identity modulo  $\mathfrak{m}^d$  for  $\gamma$  a loop around the origin. Given a description

$$(C.7) \quad \theta_{\gamma, \mathfrak{D}_d} = \exp \left( \sum c_i z^{m_i} \partial_{n_i} \right) \pmod{\mathfrak{m}^{d+1}},$$

with the  $n_i$  primitive and the  $m_i$  all distinct, we add a collection of rays

$$\{(-\mathbb{R}_{\geq 0}r(m_i), (1 + z^{m_i})^{\pm c_i})\}$$

for some  $c_i \in \mathbb{k}$ . However, inductively, we can show the  $c_i$  can be taken to be integers. Indeed, if all rays in  $\mathfrak{D}_d$  have this property, then  $\theta_{\gamma, \mathfrak{D}_d}$  is in fact an automorphism of  $\widehat{\mathbb{Z}[P]}$ , and thus the  $c_i$  appearing in (C.7) of  $\theta_{\gamma, \mathfrak{D}_d}$  are also integers.

Next let us show that any exponent  $m_i$  is of the form  $\sum n_j e_j \in P$  with at least two of the  $n_j$  non-zero. The pro-nilpotent group  $\mathbb{V}$  in which all automorphisms live is given by the Lie algebra

$$\mathfrak{v} = \bigoplus_{\substack{m \in \mathfrak{m} \\ r(m) \neq 0}} z^m \mathbb{k} \otimes r(m)^\perp \subseteq \Theta(\mathbb{k}[P]),$$

following the notation of [G11], pp. 290-291. This contains a subalgebra  $\mathfrak{v}'$  where the sum is taken over all  $m \in \mathfrak{m}$  not proportional to one of the  $e_i$ . Then clearly  $[\mathfrak{v}, \mathfrak{v}'] \subseteq \mathfrak{v}'$ , so the corresponding pro-nilpotent group  $\mathbb{V}'$  is normal in  $\mathbb{V}$ . Furthermore,  $\mathfrak{v}/\mathfrak{v}'$  is abelian, hence so is  $\mathbb{V}/\mathbb{V}'$ . For any loop  $\gamma$ , the image of  $\theta_{\gamma, \mathfrak{D}_{\text{in}}}$  is thus the identity in  $\mathbb{V}/\mathbb{V}'$ , as every wall in  $\mathfrak{D}_{\text{in}}$  contributes twice to  $\theta_{\gamma, \mathfrak{D}_{\text{in}}}$ , but with inverse automorphisms. Assume inductively that  $\mathfrak{D}_d \setminus \mathfrak{D}_{\text{in}}$  only contains rays whose attached functions  $(1 + z^{m_i})^{c_i}$  have  $m_i$  not proportional to any  $e_j$ . Then the wall-crossing automorphisms associated to these rays lie in  $\mathfrak{v}'$ , so  $\theta_{\gamma, \mathfrak{D}_d}$  is the identity in  $\mathbb{V}/\mathbb{V}'$ , i.e., lies in  $\mathfrak{v}'$ . Thus the expression  $\sum c_i z^{m_i} \partial_{n_i}$  of (C.7) lies in  $\mathfrak{v}'$ , hence the inductive step follows.

It remains to show that each wall added is of the form  $(\mathfrak{d}, (1 + z^m)^c)$  with  $c$  positive.

*Step III. The perturbation trick.* We will now show the result for all monoids  $P = \mathbb{N}^\alpha$  for all  $\alpha$ , all choices of  $r : P \rightarrow M$ , all choices of  $p_i \in P \setminus \{0\}$  with  $r(p_i) \neq 0$ , and all positive choices of  $d_i$ . (Note by Step I this is a bit more than we need, as we don't take the  $p_i$  to necessarily be generators of  $P$ ). All cases are dealt with simultaneously by induction.

We define for  $p \in P$  the *order*  $\text{ord}(p)$ , which is the unique  $n$  such that  $p \in \mathfrak{m}^n \setminus \mathfrak{m}^{n+1}$ . For a ray  $(\mathfrak{d}, (1+z^p)^c)$ , we write  $\text{ord}(\mathfrak{d}) := \text{ord}(p)$ , and say  $\mathfrak{d}$  is a ray of order  $\text{ord}(\mathfrak{d})$ . We will go by induction on the order, showing that a ray  $(\mathfrak{d}, (1+z^p)^c)$  in  $\mathfrak{D}$  of order  $\leq k$  for any choice of data has  $c$  positive. This is obviously the case for  $k = 1$ , as all elements of  $\mathfrak{D} \setminus \mathfrak{D}_{\text{in}}$  have order at least 2. So assume the induction hypothesis is true for all orders  $< k$ , and we need to show rays added of order  $k$  have positive exponent.

We will use the *perturbation trick* repeatedly. Given a scattering diagram  $\mathfrak{D}_{\text{in}}$  for which we would like to compute  $\mathfrak{D} = \text{Scatter}(\mathfrak{D}_{\text{in}})$ , choose general  $v_{\mathfrak{d}} \in M_{\mathbb{R}}$  for each  $\mathfrak{d} \in \mathfrak{D}_{\text{in}}$ . Define  $\mathfrak{D}'_{\text{in}} := \{(\mathfrak{d} + v_{\mathfrak{d}}, f_{\mathfrak{d}}) \mid \mathfrak{d} \in \mathfrak{D}_{\text{in}}\}$ ; this is the perturbed diagram. We can then run the Kontsevich-Soibelman algorithm for  $\mathfrak{D}'_{\text{in}}$ , for example as described in [G11], Theorem 6.38. This gives a scattering diagram  $\mathfrak{D}' = \text{Scatter}(\mathfrak{D}'_{\text{in}})$  with the property that  $\theta_{\gamma, \mathfrak{D}'}$  is the identity for every loop  $\gamma$ . This is the case in particular for  $\gamma$  a very large loop around the origin which contains all singular points of  $\mathfrak{D}'$ . We can assume as usual that  $\mathfrak{D}'$  has been constructed only by adding rays of the form  $(1+z^m)^c$ .

Then up to equivalence,  $\mathfrak{D}$  can be obtained from  $\mathfrak{D}'$  by taking the *asymptotic scattering diagram of  $\mathfrak{D}'$* , i.e., just translate each line of  $\mathfrak{D}'$  so it passes through the origin and each ray of  $\mathfrak{D}'$  so its endpoint is the origin. See §1.4 of [GPS] for more details. If after performing this translation, we obtain a number of rays with the same support of the form  $(\mathfrak{d}, (1+z^m)^{c_i})$ ,  $i$  in some index set, we can replace all these rays with a single ray  $(\mathfrak{d}, (1+z^m)^{\sum c_i})$  without affecting the equivalence class. Thus if we want to show positivity of the exponents for  $\mathfrak{D}$ , it is enough to show the desired positivity for  $\mathfrak{D}'$ .

We will typically use an induction hypothesis to show positivity for  $\mathfrak{D}'$ . Indeed, for each order, we will run the Kontsevich-Soibelman algorithm at each singular point, and the behaviour at each singular point is equivalent to a scattering diagram of the general type being considered. Indeed, if  $p$  is a singular point of some  $\mathfrak{D}'_d$  constructed to order  $d$ , we obtain a local version  $\mathfrak{D}'_p^{\text{loc}}$  of the scattering diagram at  $p$  by replacing each  $\mathfrak{d}$  with  $p \in \mathfrak{d}$  with  $\mathfrak{d} - p$ , and replacing such translated rays with the line spanned by the ray if the translated ray does not have the origin as its endpoint. As long as all attached functions of rays and lines passing through  $p$  are of the form  $(1+z^m)^c$  with  $c$  a positive integer, we are back in the original situation of the proposition. We shall write  $\mathfrak{D}'_{p, \text{in}}^{\text{loc}}$  for the set of lines in  $\mathfrak{D}'_p^{\text{loc}}$ .

We first observe that using the perturbation trick it is enough to show the induction hypothesis for order  $k$  when at most two of the  $p_i$  have  $\text{ord}(p_i) = 1$ . Indeed, after perturbing, the lines of  $\mathfrak{D}'_{\text{in}}$  only intersect pairwise, but as more rays are added as the Kontsevich-Soibelman algorithm is run, one might have more complicated behaviour at singular points. However, any ray added has order  $> 1$ . Thus we only have to analyze initial scattering diagrams  $\mathfrak{D}'_{p, \text{in}}^{\text{loc}}$  with at most two lines of order 1.

Next we observe the induction hypothesis allows us to show the result only for  $s = 2$ , with both lines having order 1. Indeed, write  $\mathfrak{D}_{p,\text{in}}^{\text{loc}}$  as  $(\mathfrak{d}_i, (1 + z^{p_i})^{c_i})$  and order the  $p_i$  so that  $\text{ord}(p_1) \leq \text{ord}(p_2) \leq \dots$ . Apply Step I, getting a map  $u : P' \rightarrow P$  with  $u(e_i) = p_i$ . We are trying to prove that rays with  $P$ -order  $k$  have positive exponent. But consider a ray  $(\mathfrak{d}, (1 + z^{\sum n_i p_i})^c)$  which is the image under  $u$  of a ray  $(\mathfrak{d}, (1 + z^{\sum n_i e_i})^c)$  appearing in  $\text{Scatter}(\{(1 + z^{e_i})^{c_i} \mid 1 \leq i \leq s\})$  with  $\text{ord}_P(\sum n_i p_i) = k$  and at least one of  $n_j, j \geq 3$  non-zero. Then  $\text{ord}_{P'} \sum n_i e_i < k$ , so by the induction hypothesis, we can assume  $c$  is positive. On the other hand, rays of the form  $(\mathfrak{d}, (1 + z^{\sum n_i e_i})^c)$  with  $n_j = 0$  for  $j \geq 3$  appearing in  $\text{Scatter}(\{(1 + z^{e_i})^{c_i} \mid 1 \leq i \leq s\})$  already appear in  $\text{Scatter}(\{(1 + z^{e_i})^{c_i} \mid 1 \leq i \leq 2\})$ , as follows easily by working modulo the ideal in  $P'$  generated by the  $e_j, j \geq 3$ . Thus we are only concerned about rays which arise from scattering the two order 1 lines. Thus it is sufficient to show the result when  $s = 2$ .

*Step IV. The change of lattice trick.* To deal with the case where  $\mathfrak{D}_{\text{in}}$  consists of two lines, we use the *change of lattice* trick to reduce to a simpler expression for the scattering diagram. By Step I, we can take  $P = \mathbb{N}^2$ ,  $p_i = e_i$ . Let  $M^\circ \subseteq M$  be the sublattice generated by  $v_1 = r(e_1), v_2 = r(e_2)$ . Note as in Step I we can assume that this is a rank 2 sublattice, as otherwise the automorphisms associated to the two lines commute. Then  $N^\circ := \text{Hom}(M^\circ, \mathbb{Z})$  is a superlattice of  $N$ , with dual basis  $v_1^*, v_2^*$ . In what follows, we will talk about scattering diagrams defined using both the lattice  $M$  and  $M^\circ$ . Bear in mind that a wall  $(\mathfrak{d}, f_{\mathfrak{d}})$  could be interpreted using either lattice, and the automorphism induced by crossing such a wall depends on which lattice we are using, as primitive vectors in  $N$  differ from primitive vectors in  $N^\circ$ .

To see the relationship between these automorphisms, for  $w \in N^\circ \setminus \{0\}$ , let

$$e(w) = \min\{e > 0 \mid ew \in N\}.$$

Then a wall  $(\mathfrak{d}, f_{\mathfrak{d}})$  for  $M$  induces a wall-crossing automorphism of  $\widehat{\mathbb{k}[P]}$  which is the same as the automorphism induced by the wall  $(\mathfrak{d}, f_{\mathfrak{d}}^{e(n_{\mathfrak{d}})})$  for  $M^\circ$ , where  $n_{\mathfrak{d}} \in N^\circ$  is primitive and annihilates  $\mathfrak{d}$ .

Consider

$$\mathfrak{D}_{\text{in}}^\circ := \{(\mathbb{R}v_1, (1 + z^{e_1})^{d_1 e(v_2^*)}), (\mathbb{R}v_2, (1 + z^{e_2})^{d_2 e(v_1^*)})\}$$

as a scattering diagram for the lattice  $M^\circ$ . Let  $\mathfrak{D}^\circ = \text{Scatter}(\mathfrak{D}_{\text{in}}^\circ)$ . Let  $\mathfrak{D}'$  be the scattering diagram for  $M$  obtained by replacing every wall  $(\mathfrak{d}, (1 + z^p)^c) \in \mathfrak{D}^\circ$  with  $(\mathfrak{d}, (1 + z^p)^{c/e(n_{\mathfrak{d}})})$ . Thus the wall-crossing automorphism for each wall in  $\mathfrak{D}'$  as a scattering diagram for the lattice  $M$  is the same automorphism for the corresponding wall in  $\mathfrak{D}^\circ$ . Then  $\theta_{\gamma, \mathfrak{D}'}$  is the identity. Thus by uniqueness of the scattering process up to equivalence,  $\mathfrak{D}'$  is equivalent to  $\text{Scatter}(\mathfrak{D}_{\text{in}})$ . (Note this implies that  $c/e(n_{\mathfrak{d}}) \in \mathbb{Z}$  also, as  $\text{Scatter}(\mathfrak{D}_{\text{in}})$  only involves integer exponents.)

Thus it is enough to prove the desired positivity for the scattering diagram  $\mathfrak{D}^\circ$ . To do so, we use a variant of the perturbation trick, factoring the two lines in  $\mathfrak{D}_{\text{in}}^\circ$ . We choose general  $v_{j_1}^1, v_{j_2}^2 \in M_{\mathbb{R}}$ , with  $1 \leq j_1 \leq d_1 e(v_2^*)$ ,  $1 \leq j_2 \leq d_2 e(v_1^*)$ . Define

$$\tilde{\mathfrak{D}}_{\text{in}}^\circ := \{(v_j^1 + \mathbb{R}v_1, 1 + z^{e_1}) \mid 1 \leq j \leq d_1 e(v_2^*)\} \cup \{(v_j^2 + \mathbb{R}v_2, 1 + z^{e_2}) \mid 1 \leq j \leq d_2 e(v_1^*)\}.$$

Again, we initially only have pair-wise intersections. The first stage of this algorithm will then only involve points where two lines of the form  $(v_j^1 + \mathbb{R}v_1, 1 + z^{e_1})$  and  $(v_{j'}^2 + \mathbb{R}v_2, 1 + z^{e_2})$  intersect. The algorithm only adds one ray in the direction  $-v_1 - v_2$  with endpoint the intersection point and attached function  $1 + z^{e_1 + e_2}$ , as follows from Example 1.29. This now accounts for all new rays of order 2. We continue to higher degree, but now we can use the induction hypothesis at every singular point  $p$  as we did in Step III, because every line in  $\mathfrak{D}_{p,\text{in}}^{\text{loc}}$  has order  $\geq 2$  except for possibly one or two of the given lines of order 1, and we have already accounted for all rays produced by collisions of two lines of order 1.  $\square$

**Corollary C.8.** *In the situation of Proposition C.6, suppose instead that*

$$\mathfrak{D}_{\text{in}} := \{(\mathbb{R}r(p_i), (1 + \alpha_i z^{p_i})^{d_i}) \mid 1 \leq i \leq s\},$$

where now  $\alpha_i \in \mathbb{k}$ , the ground field. Choosing  $\mathfrak{D} = \text{Scatter}(\mathfrak{D}_{\text{in}})$  up to equivalence, we can assume that each ray  $(\mathfrak{d}, f_{\mathfrak{d}}) \in \mathfrak{D} \setminus \mathfrak{D}_{\text{in}}$  satisfies

$$f_{\mathfrak{d}} = (1 + \prod_i (\alpha_i z^{p_i})^{a_i})^c$$

for some choice of non-negative integers  $a_i$  and where  $c$  is a positive integer.

*Proof.* This follows easily from Proposition C.6. First, using the change of monoid trick (Step I of the proof of Proposition C.6, we assume  $P = \mathbb{N}^s$  and  $p_i = e_i$ . Consider the automorphism  $\nu : \widehat{\mathbb{k}[P]} \rightarrow \widehat{\mathbb{k}[P]}$  defined by  $\nu(z^{e_i}) = \alpha_i z^{e_i}$ . Applying  $\nu$  to the function attached to each wall of  $\text{Scatter}(\{(\mathbb{R}r(e_i), (1 + z^{e_i})^{d_i})\})$  gives a scattering diagram  $\mathfrak{D}'$  whose incoming walls are precisely those of  $\mathfrak{D}_{\text{in}}$ , and  $\theta_{\gamma, \mathfrak{D}'} = \text{id}$  for  $\gamma$  a loop around the origin. Thus we can take  $\mathfrak{D} = \mathfrak{D}'$  and the result follows from Proposition C.6.  $\square$

*Proof of Theorem 1.28.* In fact one can use the  $\mathfrak{D}_{\mathfrak{s}}$  as constructed explicitly in the algorithm of the proof of Theorem 1.36. The only issue is that we need to know that the walls added at each joint have the desired positivity property. Note that the statement of Theorem 1.28 involves scattering diagrams without slabs, while the proof of Theorem 1.36 given involves a slab. So for the purpose of this discussion, we can ignore all issues concerning the slab in the proof of Theorem 1.36, and the only thing

we need to do is look at the procedure for producing  $\mathfrak{D}[\mathfrak{j}]$  in Step II of the proof of Theorem 1.36.

For a perpendicular joint  $\mathfrak{j}$  of  $\mathfrak{D}_d$ , we can split  $M = \Lambda_{\mathfrak{j}} \oplus M'$ , where  $M'$  is a rank two lattice. For each wall  $\mathfrak{d} \in \mathfrak{D}_d$  containing  $\mathfrak{j}$ , we can inductively assume that  $f_{\mathfrak{d}} = (1+z^m)^c$  for some positive integer  $c$ , and split  $z^m = z^{m_{\mathfrak{j}}} z^{m'}$ , with  $m_{\mathfrak{j}} \in \Lambda_{\mathfrak{j}}$  and  $m' \in M'$ . Because  $\mathfrak{j}$  is perpendicular, we have  $m' \neq 0$ . We will apply Corollary C.8 to the case where the monoid  $P$  is the one being used in Theorem 1.13, and  $r : P \rightarrow M'$  is the projection. We can then view the computation at the joint as a two-dimensional scattering situation in the lattice  $M'$  over the ground field  $\mathbb{k}(\Lambda_{\mathfrak{j}})$ , the quotient field of  $\mathbb{k}[\Lambda_{\mathfrak{j}}]$ . To obtain the relevant two-dimensional scattering diagram we replace each wall  $(\mathfrak{d}, f_{\mathfrak{d}})$  with  $\mathfrak{j} \subseteq \mathfrak{d}$  with  $((\mathfrak{d} + \Lambda_{\mathfrak{j}} \otimes \mathbb{R})/(\Lambda_{\mathfrak{j}} \otimes \mathbb{R}), f_{\mathfrak{d}})$  in  $M'_{\mathbb{R}} = M'_{\mathbb{R}}/\Lambda_{\mathfrak{j}} \otimes \mathbb{R}$ . We are then in the situation of Corollary C.8, and the result follows.  $\square$

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